

Article

# Recognition and Repetition Counting for Local Muscular Endurance Exercises in Exercise-based Rehabilitation: A Comparative Study using Artificial Intelligence Models

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**Abstract:** Exercise-based cardiac rehabilitation requires patients to perform a set of certain prescribed exercises a specific number of times. Local muscular endurance exercises are an important part of the rehabilitation program. Automatic exercise recognition and repetition counting, from wearable sensor data, is an important technology to enable patients to perform exercises independently in remote settings, e.g. their own home. In this paper, we first report on a comparison of traditional approaches to exercise recognition and repetition counting (supervised ML and peak detection) with Convolutional Neural Networks (CNNs). We investigated CNN models based on the AlexNet architecture and found that the performance was better than the traditional approaches, for exercise recognition (overall F1-score of 97.18%) and repetition counting ( $\pm 1$  error among 90% observed sets). To the best of our knowledge, our approach of using a single CNN method for both recognition and repetition counting is novel. Also, we make the INSIGHT-LME dataset publicly available to encourage further research.

**Keywords:** Exercise-based rehabilitation; Local muscular endurance exercises; Deep Learning; AlexNet; CNN, SVM, kNN, RF, MLP, PCA, multi-class classification, INSIGHT-LME dataset.

## 1. Introduction

Cardiovascular disease (CVD) is the leading cause of premature death and disability in Europe and worldwide [1]. Exercise-based cardiac rehabilitation is a secondary prevention program which has been shown to be effective in lowering the recurrence rate of CVD and improves the health related quality of life [2–6]. Exercise-based cardiac rehabilitation is long-term exercise maintenance by patients attending community-based rehabilitation programs or through home-based exercise self-monitoring programs. However, a significant challenge is that uptake and adherence of community-based cardiac rehabilitation are very low, whereby only 14% to 43% of cardiac patients participate in rehabilitation programs [7,8]. Key reasons for lower participation include a lack of disease-specific rehabilitation programmes, long travel times and scheduling issues to such programmes [9]. In addition, patients may have low self-efficacy because of a perception of poor body image or poor exercise technique [9]. A potential solution to these challenges is the development of a technological platform for assessing exercise movement that can motivate the user to engage with exercise-based cardiac rehabilitation and enable them to do so in any environment (“anywhere exercising”).

29 Technology advances in sensor manufacturing and micro-miniaturization have resulted in  
30 low-cost micro-sensor wearable devices, that are capable of effective lossless streaming and/or  
31 storing translatory and rotary movement information for further processing [10,11]. Machine learning  
32 (ML) and deep learning are artificial intelligence methods that employ statistical techniques to learn  
33 underlying hidden distributions from observed data. The application of ML methods to study data  
34 from human movements and activities to detect and understand these activities are referred to as  
35 human activity recognition (HAR). In recent years, many ML and deep learning-based models have  
36 been used along with wearable sensors in the assessment of human movement activities in many  
37 domains including: health [11], recreation activities [12], musculoskeletal injuries or diseases [13],  
38 day-to-day routine activities (e.g. walking, jogging, running, sitting, drinking, watching TV) [11,14–21],  
39 sporting movements [22] and exercises [23–27]. The ML models used for exercise recognition  
40 have predominantly used multiple wearable sensors [28–31], specifically in the areas of free weight  
41 exercise monitoring [32], the performance of lunge evaluation [24], limb movement rehabilitation [33],  
42 intensity recognition in strength training [34], exercise feedback [24], qualitative evaluation of human  
43 movements [28], gym activity monitoring [29], rehabilitation [23,25,33,35] and indoor based exercises  
44 for strength training [36]. However, the use of multiple sensors is far from ideal in practice because  
45 of cost, negative aesthetics and reduced user uptake [17]. Studies [8,15,17,19] on the usage of  
46 wearable sensors, either phone-based or using inertial measurement units, have shown that CVD  
47 patients (67% ~ 68%) have an interest in single sensor-based cardiac rehabilitation [8]. Exercise based  
48 applications, using single sensors, include recognising day-to-day activities [26,37–41], and multiple  
49 complex exercises [23,26,27] or single exercises such as lunges [24] and squats [42], as well as repetition  
50 counting [27,43,44]. Therefore, in our research, we will use a single wrist-worn inertial sensor for  
51 exercise recognition and repetition counting.

52 In an ideal scenario, people would undertake a variety of exercise programs, either specifically  
53 prescribed or based on personal preference, that suits their goals and that allows them to avoid exercise  
54 associated with comorbidities (e.g. arthritis of the shoulder). In this scenario of “exercising anywhere”  
55 or self-responsible home-based exercising, it is extremely important that they receive feedback on  
56 the exercises to help them track their progress and stay motivated. However, two key challenges  
57 are presented with this approach. Firstly, it is important to be able to automatically recognize which  
58 exercises are being completed, and secondly, once recognised to provide the number of repetitions  
59 as quantitative feedback on the amount of exercise performed to build the user’s competence and  
60 confidence. This would also allow people to complete elements of their training program disbursed  
61 over the day in any environment, as recommended by the American College of Sports Medicine [45].  
62 For example, someone could complete different exercises in-home or in the workplace. To date, the  
63 vast majority of HAR studies detailed above have used traditional ML approaches such as decision  
64 trees, Naive Bayes, random forest, perceptron neural networks, k-nearest neighbor and support  
65 vector machines. There is, however, a growing interest in the potential use of deep learning methods  
66 in the field of activity recognition mainly using CNN [27,46–49] and recurrent models [47,50]. A  
67 small number of studies [46,47,49,51] have shown the significant advantage of using deep learning  
68 models in the general area of HAR. However, very few studies [23,25,27,30] appear to have used  
69 deep learning models in exercise recognition and repetition counting, and where employed they use  
70 multiple CNN models for the repetition counting task. To the best of our knowledge, there are no  
71 works reported using a single deep CNN model for exercise recognition and for repetition counting.  
72 The use of a single model for repetition counting is attractive as it eliminates the need for an exercise  
73 specific repetition counter and reduces the dependency on the total number of resources required in  
74 repetition computation. No other studies appear to have studied a wide number of exercises, and none  
75 specifically for CVD rehabilitation through LME exercises. In addition, no studies have undertaken a  
76 comparative study of using traditional ML methods and state of the art CNN methods to identify the  
77 best possible method for exercise recognition and repetition counting.

78 We focus our study on exercise recognition and repetition counting using a single wrist-worn  
79 inertial sensor for 10 local muscular endurance (LME) exercises that are specifically prescribed in  
80 exercise-based CVD rehabilitation, with the following goals:

- 81 • To undertake a comparative analysis between different traditional supervised ML algorithms  
82 and a deep CNN model based on the state-of-the-art architecture and to find the best model for  
83 exercise recognition.
- 84 • To have a comparative analysis of traditional signal processing approach with a single deep  
85 CNN model based on the state of the art architecture and to find the best model for exercise  
86 recognition.

87 As the novelty of this work, we claim the following novel contributions. Firstly, we propose the use  
88 of a single CNN model for the repetition counting task of a wide-range of exercises. Secondly, we are  
89 making the LME exercise dataset ([INSIGHT-LME dataset](#)) publicly available (<https://bit.ly/30UCsmR>)  
90 to encourage further research on this topic.

## 91 2. Materials and Methods

### 92 2.1. Data Acquisition (Sensors and Exercises)

93 Currently, there exist no publicly available data sets with a single wrist-worn sensor for  
94 endurance-based exercises that are commonly prescribed in cardiovascular disease rehabilitation (CVD)  
95 programs. Therefore, we collected a new data set of LME exercises prescribed in CVD rehabilitation  
96 program for balancing and muscle strengthening. In the data collection process, consenting participants  
97 performed the ten LME exercises in two sets (constrained set and unconstrained set) and some common  
98 movements which were observed by any exerciser in between two exercises. The constrained set of  
99 exercises involves participants performing the exercises while observing demonstrative videos and  
100 following the limb movement actions relatively synchronous with the demonstrator in the video. The  
101 unconstrained set of exercises involved participants performing the set of LME exercises without  
102 the assistance of demonstrative videos. Inclusion of the non-exercise movements was essential that  
103 the built models can distinguish the actions corresponding to the exercises movements from that of  
104 non-exercise movements. The data set was then used for training, validating and testing different ML  
105 and deep neural network models.

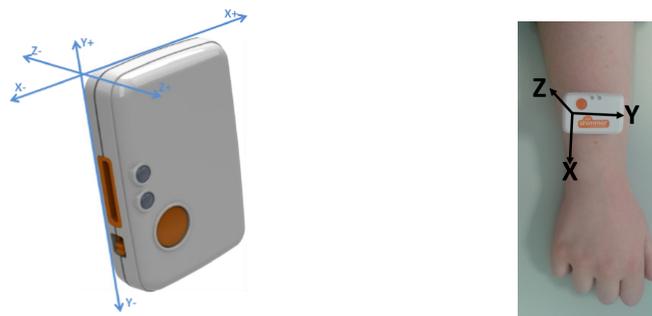
#### 106 2.1.1. Sensor Calibration

107 Sensor calibration is a method of improving the sensor unit's performance to get a very precise and  
108 accurate measurement. The Shimmer3 (**Figure 1(a)**) inertial measurement unit (IMU) is a light-weight  
109 wearable sensor unit from Shimmer-Sensing<sup>1</sup>. Each IMU comprises of a 3 MHz MSP430 CPU, two 3D  
110 accelerometers, a 3D magnetometer and a 3D gyroscope. A calibrated Shimmer3 IMU, when firmly  
111 attached on the limb, is capable of collecting precise and accurate data. Each Shimmer3 has a microSD  
112 to store the data locally or can stream the data over Bluetooth. Shimmer3 inertial measurement units  
113 were used in the exercise data collection process and they were calibrated using Shimmer's 9DoF  
114 calibration application<sup>2</sup>. The IMUs were used with a sampling frequency of 512 Hz along with a  
115 calibration range of  $\pm 16g$  for the 3D low noise accelerometer and a  $\pm 2000dps$  for the 3D gyroscope. All  
116 IMUs used in the process of data capture were calibrated and were securely placed on the right-wrist  
117 of the participants, as shown in **Figure 1(b)**, with the help of an elastic band during the data collection  
118 process. The sensor orientation and pictorial representation of the unit attachment on the right-wrist  
119 are shown in **Figure 1(a)** and **Figure 1(b)** respectively.

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<sup>1</sup> <http://www.shimmersensing.com/products/shimmer3>

<sup>2</sup> <https://www.shimmersensing.com/products/shimmer-9dof-calibration>



(a) Axis direction for Shimmer3 IMU (b) Shimmer IMU placement and orientation

**Figure 1.** Shimmer3 IMU, axis direction, sensor placement and sensor orientation on the right-wrist

### 120 2.1.2. LME Exercise set and Experimental Protocol

121 Ten LME exercises comprise of six upper-body exercises: bicep curls (BC), frontal raise (FR),  
 122 lateral raise (LR), triceps extension-right arm (TER), pec dec (PD), and trunk twist (TT); along with four  
 123 lower-body exercises: squats (SQ), lunges - alternating sides (L), leg lateral raise (LLR), and standing  
 124 bicycle crunches (SBC). The representative postures for the execution of six upper-body LME exercises  
 125 are shown in appendix A, Figure A1 and that of four lower-body LME exercises are shown in Figure A2.  
 126 A pair of 1 kg dumbbells were used by each participant while performing BC, FR, LR, and PD exercises.  
 127 A single dumbbell of 1kg was used during TER, TT, L, and SQ. Exercises LLR and SBC were performed  
 128 without dumbbells. The data from these exercises correspond to ten different classes of exercise. The  
 129 ten exercises that were used in CVD rehabilitation were either employed a single joint movement effect  
 130 (BC, FR, LR, PD, TER, and LLR) or employed multiple joint movements (TT, L, SQ, and SBC). Some of  
 131 these exercises have significantly similar arm movements and hence it was considered of interest to  
 132 investigate how the models were able to distinguish between these exercises. It was also of interest to  
 133 see how robust the models were in terms of their capacity to distinguish between the exercise actions  
 134 in comparison to limb movements that were commonly observed between the exercises. The common  
 135 limb movements selected for inclusion were side bending, sit-to-stand and sand-to-sit, lean down to lift  
 136 water bottle or dumbbell kept on the floor, arm-stretching front-straight, lifting folded arm up-word,  
 137 and body stretching up-word with calf raising for relaxation. These observed common actions have  
 138 significant similarity in terms of limb movement with that of the exercises. The data corresponding to  
 139 these common actions together describes the eleventh class of movement.

140 A total of seventy-six volunteers (47 males, 29 females, age group range: 20 - 54 yrs, median  
 141 age: 27 years) participated in the data collection process. No participants had any musculoskeletal  
 142 injury in the recent past which would affect the exercise performance and all were healthy. Having  
 143 prior knowledge of the exercise was not a criterion in volunteer recruitment. The study protocols  
 144 used in data collection were approved by the university research ethics committee [REC Reference:  
 145 DCUREC/2018/101].

### 146 2.2. Data Collection for the INSIGHT-LME Data set

147 The exercise protocol was explained to the participants on their arrival to the laboratory. Each  
 148 participant underwent a few minutes of warm-up with arm-stretching, leg-stretching and basic  
 149 body-bending exercises. We developed an exclusive MATLAB-GUI module [Appendix A, Figure A3a]  
 150 to collect the data from the participants wearing IMUs via Bluetooth streaming. The "Exercise Data  
 151 Capture Assist Module" was designed to select a particular exercise, to play demo videos, to initialize  
 152 and disconnect Shimmer IMUs remotely, to start recording exercise data, to stop recording exercise data  
 153 and to select a storage path location. The streamed data were stored automatically with participant\_ID  
 154 and the exercise type in the filename, completely anonymizing the details of the participants. We used  
 155 the Shimmer-MATLAB Instrument driver interface to connect and collect data from multiple Shimmer

units, therefore the designed module was capable of recording from multiple participants at any given time.

All consenting participants performed the ten exercises in two sets and the common movements as described in section 2.1.2. During the constrained set of exercising, the participants performed the LME exercises while observing demonstrative videos on the screen and following the limb movement actions relatively synchronous with the demonstrator in the video. Participants were told to pay particular attention to the following: the initial limb resting position, how to grip the dumbbells (in case the exercise requires the use of dumbbells), the limb movement plane and the speed of limb movement during demo video. The constrained setup facilitated minimal variations in the collected data in terms of planar variations and speed and thus ensuring participants perform exercises at a similar tempo of movement. The participants were asked to perform each exercise for 30 seconds which resulted in approximately 7 to 8 repetitions. After each exercise, participants were given sufficient time to rest before moving on to the next exercise.

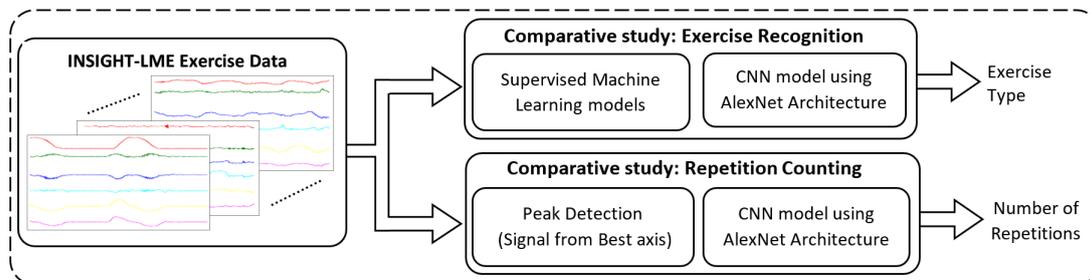
During the unconstrained set of exercising, a timer was used and displayed on the screen. Participants performed the exercises by recalling what they had learned during the constrained performance and were free to execute them for 30 seconds. The data collected during the unconstrained set corresponds to a variable range of variations from that of exercise data collected from the constrained set of execution. The variations observed were in terms of the plane of limb movement, speed, and the rest position of the limb; these variations were used to mimic macro variations that would typically during home-based exercising.

In addition to the constrained set and the unconstrained set of data collection, participants were instructed to perform the common movements as stated in section 2.1.2. Inclusion of these non-exercise movements was essential that the built models can distinguish the actions corresponding to the exercises movements from that of non-exercise movements. Participants were asked to perform each of these actions repeatedly for about 30 seconds. The 5-second instances from each of these actions represent almost one full action and collectively constitutes the eleventh class.

Data collected from both the constrained set and the unconstrained set were class-labelled and stored in ten different exercise folders. An eleventh class-labelled as “others” was created to store the data from all of the common movements. The entire data set is termed the INSIGHT-LME dataset.

### 2.3. The Framework of Different Models

Figure 2 represents an overall framework with three major processing blocks. The comparative study aims to find the best possible method from the different AI models for each task in automatic exercise recognition and repetition counting. The first block represents the INSIGHT-LME data set processing and data preparation in terms of filtering, segmentation, 6D vector generations and/or 2D image creation. Data preparation requirements were different for each specific method used in both comparative studies and hence data processing specifics pertaining to the individual method are discussed along with each model below.



**Figure 2.** Framework for the comparative study of artificial intelligence models

The second block represents the comparative study for the exercise recognition task. The exercise recognition task was treated as a multi-class classification task. We compared traditional

195 approaches (supervised ML models) in exercise recognition, with a deep CNN approach based on  
 196 AlexNet architecture [52]. In supervised ML models, different models were constructed using the  
 197 four supervised algorithms such as support vector machine (SVM) [53,54], random forest(RF) [55],  
 198 k-nearest neighbor (kNN) [56] and multilayer perceptron (MLP) [57]. The eight models from these four  
 199 ML algorithms were studied with and without the dimensionality reduction measures using principal  
 200 component analysis (PCA) [58]. The best model from the supervised ML was then compared with the  
 201 deep CNN model to find the best possible method for the exercise recognition task.

202 The third block represents the comparative study for the repetition counting task. The repetition  
 203 counting task was treated as a binary classification task followed by a counter to count the repetitions.  
 204 Again two different methods were used in repetition counting and the performances were compared  
 205 to find the best method for repetition counting. We compared traditional signal processing models  
 206 based on peak detection with a deep CNN approach based on the AlexNet architecture.

### 207 2.3.1. Exercise Recognition with Supervised ML Models

208 Figure 3 illustrates the end-to-end pipeline framework adopted for supervised ML exercise  
 209 recognition. As discussed in section 2.3, a total of eight supervised ML models were studied using this  
 210 framework to classify the eleven activity classes, in which, 10-classes were corresponding to the ten  
 211 LME exercises and the eleventh class “others” for the common movements observed during exercising.  
 212 The eight supervised ML models were constructed using four algorithms, SVM, RF, kNN, and MLP,  
 213 either with or without dimensionality reduction using PCA.

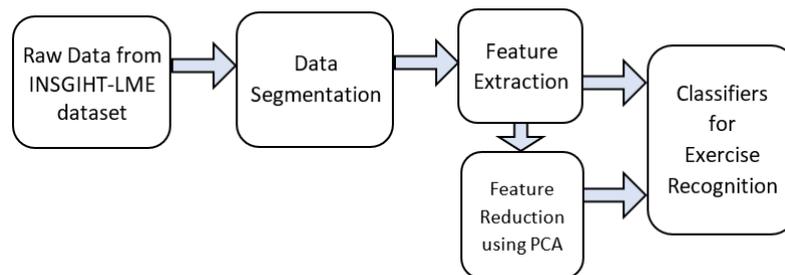


Figure 3. End-to-end pipeline framework for the machine learning models

#### 214 **Data Segmentation:**

215 25 seconds of 3D accelerometer and 3D gyroscope data of each exercise were segmented from the  
 216 INSIGHT-LME dataset (section 2.2) retaining class-label information. The segmentation was carried  
 217 out on all the three sets: training set, validation set and test set from the INSIGHT-LME dataset. 3D  
 218 accelerometer plots and 3D gyroscope plots for all ten LME exercises are given in Appendix E and  
 219 Appendix F. The 25 seconds of 6D segmented data consists of approximately five or six repetitions of  
 220 an exercise, with each repetition duration lasting approximately 4 seconds. The segmented data with  
 221 retained class-label information was used in feature extraction in the next stage.

#### 222 **Feature Extraction:**

223 Time and frequency features [59] were extracted from the 6D segmented data using an overlapping  
 224 sliding window method [59]. Three sliding window-lengths of 1s, 2s and 4s were used along with an  
 225 overlap of 50% in all cases to find an optimum window-length selection in the classifier design. The  
 226 maximum window-length selection was restricted to 4s because the length of one complete repetition  
 227 of an exercise was approximately 4 seconds.

228 A vector of 48 features (Table 1), 24 time-frequency features each from the accelerometer and  
 229 gyroscope, were computed for each sliding window and repeated for every slide. Class-label  
 230 information was retained. A combined feature set, referred to as “training feature set”, was formed by

**Table 1.** List of time and frequency domain features computed from the 3D accelerometer and 3D gyroscope data.

Number of features	Feature description from accelerometer and gyroscope
12	Minimum and Maximum from each axis
12	Mean and Std Deviation from each axis
6	RMS values from each axis
6	Entropy value computed from each axis
6	Energy from the FFT coefficient from each axis
6	Pearson correlation coefficients between the axis

231 combining feature vectors from all the exercise classes and the “others” class from the training set. The  
 232 training feature set is computed for each sliding windows of the 1s, 2s and 4s window-length on the  
 233 training set of the INSIGHT-LME data set. Similarly, the “validation feature set” and the “test feature  
 234 set”, is computed on each of the sliding windows of 1s, 2s and 4s input data from the validation set  
 235 and the test set of the INSIGHT-LME data set, respectively.

236 Feature sets computed over each sliding window were then used for training, validation and  
 237 testing of the supervised ML models using four algorithms (SVM, RF, kNN, and MLP) forming a total  
 238 of 12 classifiers.

#### 239 *Feature Reduction using PCA:*

240 To study the effect of dimensionality reduction, principal component analysis (PCA) was used  
 241 on the feature sets computed from section 2.3.1 to reduce the overall feature dimensionality of the  
 242 input vectors to the ML models. Significant principal components, which were having an accumulated  
 243 variance greater than 99%, were retained [59]. New feature sets corresponding to the training feature  
 244 set, validation feature set and test feature set were computed using PCA for each of the 1s, 2s and 4s  
 245 window-length cases. New feature sets with dimensionality reduction using PCA were then used in  
 246 the training, validation and testing of additional ML models using algorithms (SVM, RF, kNN, and  
 247 MLP) for each window-length case, resulting in an additional 12 classifiers. Appendix B indicates the  
 248 PCA computation procedure on the feature vector using the accumulated variance measure.

#### 249 *Classifiers for Exercise Recognition:*

250 Exercise recognition from the single wrist-worn inertial sensor data for a set of exercises prescribed  
 251 for cardio-vascular disease rehabilitation is a classic classification task using ML or deep learning  
 252 methods. A total of twenty-four classifiers were constructed from the feature vectors as explained  
 253 in section 2.3.1 and 2.3.1 and were analysed for exercise recognition. Each classifier model was  
 254 constructed using the training set feature vectors, with 10 fold cross-validation using the grid-search  
 255 method to ensure the models to have optimum hyper-parameters (for SVM models, kernel options  
 256 between rbf and linear, and model parameters C and gamma values; for kNN models to find the best  
 257 k-value or number of nearest neighbors; for RF models the number n\_estimator or the number of trees  
 258 to be used in the forest; for MLP the step value  $\alpha$ ).

259 All models were first evaluated using the validation set feature vectors to evaluate the following:  
 260 firstly, the optimum sliding window-length among all possible selected windowing methods was  
 261 determined based on the validation accuracy measure. Secondly, to see the effect of dimensionality  
 262 reduction in ML model performance. Finally, to select the single best-supervised ML model to  
 263 recognize the exercises based on validation score measure. Further, the best model is evaluated for  
 264 individual class performance based on statistical measures such as precision, recall and F1-score using  
 265 equations (1), (2) and (3) respectively, where TP represents the number of times the model correctly  
 266 predict the given exercise class, FP represents the number of times the model incorrectly predicts the  
 267 given exercise class and FN represents the number of times the model incorrectly predicts other than  
 268 the given exercise class.

$$Precision = \frac{TP}{TP + FP} \quad (1)$$

$$Recall = \frac{TP}{TP + FN} \quad (2)$$

$$F1 = \frac{2 * Precision * Recall}{Precision + Recall} \quad (3)$$

### 2.3.2. Exercise Recognition with a deep CNN using AlexNet Architecture

The second method used in the comparative study of the exercise recognition task (Figure 2) was a deep CNN model using the AlexNet architecture (Figure 4) [52]. The AlexNet model consists of eight layers in which five are convolutional layers and three fully-connected maximum pooling layers. A rectified linear unit (ReLU) was used as an activation function in each layer and batch normalization were used before passing the ReLU output to the next layer. A 0.4 dropout was applied in the fully connected layers to prevent overfitting of the data. This eight layered architecture generates a trainable feature map, capable of classifying a maximum of 1000 different classes. The LME exercise recognition task was an 11-class classification task and hence we used a final output layer, a fully connected dense layer, with a softmax activation function for the classification of 11-classes. An optimum CNN model was constructed having the best learning rate, optimizer function and loss function using the training data set and was further validated using the validation data set and then tested the model with the test data set from the INSIGHT-LME dataset (Section 2.2). We refer this constructed deep CNN model based on AlexNet architecture as *CNN\_Model* hereafter.

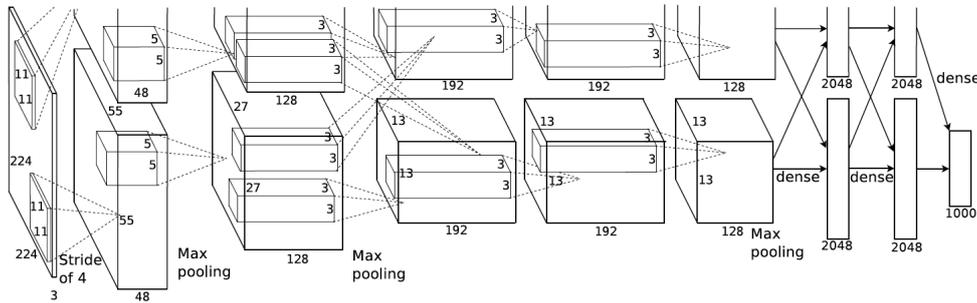


Figure 4. AlexNet architecture [52]

### 2.3.3. Data Segmentation and Processing:

The *CNN\_Model* requires input data in the form of 2D images of size  $227 \times 227$ . Data segmentation and processing methods were used to convert the 6D time-series data from the input INSIGHT-LME dataset to 2D images. To compare the results of *CNN\_Model* with the ML models discussed in Section 3.2.1, a 4s windowing method with an overlap of 1s was used to segment the 6D (3D accelerometer and 3D gyroscope) time-series data and an image of size  $576 \times 576$  with plots of all 6 axes was plotted. An image dataset was generated through data segmentation and processing. This was taken from the entire time-series raw data of the INSIGHT-LME dataset using a 4s windowing method with a 1s overlap. The image dataset comprises of 11-classes of image data, among which, 10-classes were from the ten LME exercises and the eleventh class from the common movements observed during the exercises. The training set was formed with a total of 43306 images from 11-classes of data from 46 participants. Similarly, the validation set was formed with 13827 images from 15 participants and the test set was formed with 14340 images from 15 participants. Downsampling of input images to  $227 \times 227$  images were further achieved by data augmentation method in the input layer during the model implementation.

### 298 *CNN\_Model for the Exercise Recognition Task:*

299 An optimum model, *CNN\_Model*, was developed using python sequential modelling along with  
 300 the Keras API [60], a high-end API for TensorFlow [61]. The model constructed here was an optimum  
 301 model with the best possible optimizer function, good learning rate to achieve better accuracy and with  
 302 a very good loss function. The model was constructed with the choice of optimizer function among  
 303 stochastic gradient descent (SGD) [62], Adam [63], and RMSprop [64] and the model was trained with  
 304 varied learning rates ranging from 1e-03 to 1e-6 values. Also, the model was trained with loss functions  
 305 such as categorical cross-entropy (CCE) [65] and Kullback-Leibler divergence (KLD) [66]. The best  
 306 model parameters were selected with an iterative evaluation using a varied number of epochs.

307 Data augmentations, like resizing of input dataset images and shuffling of input images were  
 308 achieved using “flow\_from\_directory” method in “ImageDataGenerator class” from Keras image  
 309 processing. Since the input images correspond to time-series data, augmentation operations such  
 310 as shearing, flipping, and rotation tasks were not performed. CNN models were constructed using  
 311 the training image dataset and validated using the validation image dataset while monitoring the  
 312 validation loss. A model with a minimum validation loss was saved for each combination of network  
 313 parameters. The model parameters such as training accuracy, validation accuracy, training loss and  
 314 validation loss against the number of iterations were obtained and were plotted. The best model  
 315 having the highest validation accuracy was selected and tested with the test image dataset and the  
 316 resulting evaluation parameters such as test accuracy and loss measures were recorded. The best  
 317 model, *CNN\_Model*, was then compared with the best model selected from the supervised ML models.  
 318 A complete list of the architecture parameters can be found in Table A1 in Appendix D.

### 319 2.3.3. Exercise Repetition Counting with Peak Detection Method

320 The first method, among three, investigated for exercise repetition counting was a signal  
 321 processing method based on peak detection. The concept of the peak detection method [43,59]  
 322 lies in the identification of the peaks corresponding to the maximum or minimum signal strength of  
 323 any periodic time-series data. Figure 5 represents the end-to-end pipeline used for peak detection and  
 324 counting repetitions using peak information. Raw data from the INSIGHT-LME data set corresponds  
 325 to the 3D accelerometer and 3D gyroscope recordings for limb movement having for each of the  
 326 exercises. Each exercise type exhibits different signal patterns on the different sensor axes and the  
 327 signal strengths on any given axes are proportional to the plane of limb movement. The periodicity of  
 328 the signal observed on any significant axis of the sensor was used in the peak detection after completion  
 329 of the exercise recognition task. Hence, ten peak detectors were used, one for each exercise. The raw  
 330 data from all the participants from the INSIGHT-LME dataset was used here to count the number  
 331 of repetitions for each of the exercises. Data processing, filtering, peak detection and counting are  
 332 discussed in the following section.

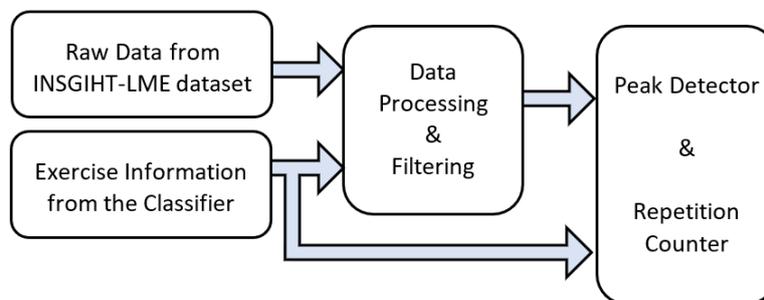


Figure 5. Pipeline for repetition counting using a peak detector

### 333 *Data Processing and Filtering:*

334 6D time-series data from INSIGHT-LME dataset were the information obtained from each  
 335 participant while exercising. The signal pattern variations in all the three axes of the accelerometer  
 336 and the gyroscope represent the significant translatory motion and rotary motion respectively. While  
 337 exercising, repetitions are reflected in the periodicity in the signal patterns on these axes of the sensors.  
 338 The signal amplitude on each axis represents the significance of limb movement in any particular  
 339 direction. However, these signals were affected by the inherent noise introduced by the sensor. To  
 340 understand and retrieve these signal variations and to calculate repetitions, the raw data were first  
 341 processed and filtered.

342 The first step is to identify a dominant sensor axis for individual exercise and use this signal in  
 343 peak detection. The dominant sensor axis in the plane of limb movement was evaluated using the  
 344 mean square values of acceleration measurements from all the three axes of the accelerometer and the  
 345 mean square values of the rotation rate from all the three axes of the gyroscope.

**Table 2.** The sensor and the dominant axis information for Individual LME Exercises

	Exercise Type	Acronym	Sensor used & Dominant Axis
<b>Upper-Body LME Exercises</b>	Bicep Curls	BC	Accelerometer: X – Axis
	Frontal Raises	FR	Accelerometer: X – Axis
	Lateral Raises	LR	Accelerometer: X – Axis
	Triceps Extension Right	TER	Accelerometer: X – Axis
	Pec Dec	PD	Gyroscope: X – Axis
	Trunk Twist	TT	Gyroscope: Y – Axis
<b>Lower-Body LME Exercises</b>	Standing Bicycle Crunch	SBC	Gyroscope: X – Axis
	Squats	SQ	Accelerometer: X – Axis
	Leg Lateral Raise	LLR	Accelerometer: Y – Axis
	Lunges	L	Accelerometer: X – Axis

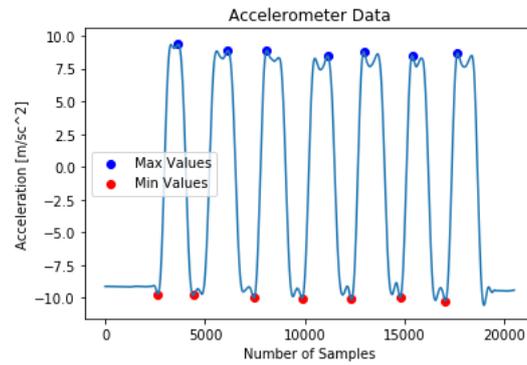
346 For each exercise, the observed plane of movement of the right wrist of the participant exercising  
 347 was matched with the calculated dominant sensor axis using the mean square method (Table 2).  
 348 Signal plots of 3D accelerometer and 3D gyroscope for all the exercises are shown in Figure A6 of  
 349 Appendix E and Figure A7 of Appendix F respectively. Dominant axis signals were smoothed to  
 350 remove the possible noise using a low pass Savitzky-Golay filter [67]. The Savitzky-Golay filter  
 351 removes high-frequency noise and has the advantage of preserving the original shape and features of  
 352 the time-series signal. A window of 1023 samples and a filter order 4 was used.

### 353 *Peak Detection and Repetition Counting:*

354 The peak detector detects both positive peak and negative peak values from the input time-series  
 355 signal using a threshold value. For individual exercise type, the threshold value was unique and was  
 356 calculated using the dominant-axis signal information [43]. Two cut-off points were calculated using  
 357 the threshold value, an upper threshold point and a lower threshold point. Using these two cut-off  
 358 values the peak detector determined the subsequent max and min values from the input wearable  
 359 sensor signal. A max-min pair constitutes a repetition count and used as an increment in the repetition  
 360 counting process. Figure 6 represents the filtered accelerometer x-axis signal for the Bicep Curls with  
 361 the positive and negative peaks marked using a peak detector. Accelerometer x-axis was the dominant  
 362 signal information for Bicep Curls (Table 2). A total of ten different peak detectors were used, one for  
 363 each exercise.

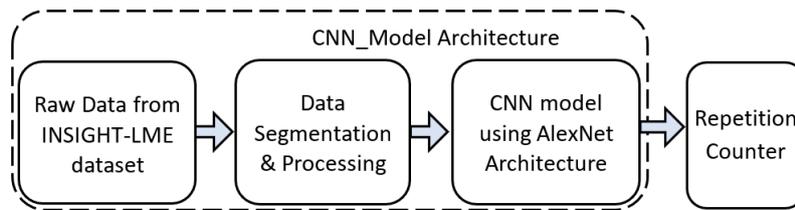
#### 364 2.3.4. Exercise Repetition Counting with a deep CNN using AlexNet Architecture

365 The second approach investigated for repetition counting was a deep CNN model, based on the  
 366 AlexNet architecture (*CNN\_Model*). We compare a single deep CNN model for the repetition counting  
 367 task of all the exercises as opposed to the use of multiple CNN models as used in [27]. Figure 7  
 368 illustrates the pipeline used for the repetition counting task using the *CNN\_Model* as a binary classifier



**Figure 6.** An example of repetition counting for Bicep Curls on the filtered dominant signal from the x-axis of the accelerometer sensor

369 along with an additional repetition counter block. Inspired by the signal processing approach to the  
 370 repetition counting, *CNN\_Model* uses the peak information from the signals. However, the *CNN\_Model*  
 371 uses a binary classifier for the repetition counting instead of 11-class classifier as in the case of the  
 372 exercise recognition task (Section 2.3.2). The output of the binary classifier using the *CNN\_Model* was  
 373 given to a repetition counter which counts the total repetitions for any given exercise.



**Figure 7.** Pipeline for repetition counting using *CNN\_Model*

#### 374 *Data Segmentation & Processing:*

375 Using the dominant axis information and the image dataset created with a 4s sliding window  
 376 from section 2.3.2 and we created new binary target label information. New binary target class-label  
 377 information was generated using a grid of 50% width of the image and if the peak of the dominant axis  
 378 signal plot in the image lies on the left half of the vertical axis of the grid then the image was labelled  
 379 with “Peak” (“1”) otherwise, the image was labelled with “NoPeak” (“0”). The binary class-label were  
 380 applied to the training, validation and test image data-sets.

#### 381 *CNN\_Model as a Repetition Counter:*

382 Models were trained with the training dataset of the newer image dataset with binary class-label  
 383 information and validated with the validation results. *CNN\_Model* was built to have optimum  
 384 parameters with variation in learning rate and selection of optimizer as discussed in section 2.3.2. We  
 385 used a binary cross-entropy loss function while training all models and the best model was selected  
 386 based on the validation score evaluation. Repetition counting was done by testing a sequence of 43  
 387 images corresponding to a 25-second exercise data. The predicted result, from the model, on each  
 388 image of the sequence, was recorded and used in the repetition counter. A repetition counter counts  
 389 the total number of transitions from “Peak” to “NoPeak” (“1” to “0”) and from “NoPeak” to “Peak”  
 390 (“0” to “1”). The total repetition count corresponds to half the number of total transitions from the  
 391 prediction labels (Figure 8).

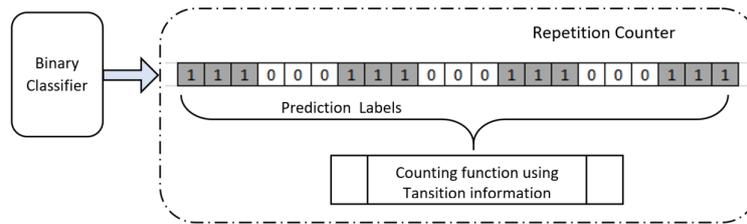


Figure 8. Repetition Counter

### 392 3. Results

#### 393 3.1. Results of Data sampling

394 Among 76 participants, 75 people participated both in the constrained set and unconstrained  
 395 set of data collection. However, one participant performed only the constrained set. Only a few  
 396 participants had not performed all the exercises. The collected data set was an overall well-balanced  
 397 dataset and Table 3 indicates the participation summary for each exercise under the constrained set  
 398 and the unconstrained set of data capture. The data set was then segregated and stored into three  
 399 different sets: the training set, the validation set and the test set, and was used in all model building.  
 400 The data from 46 participants were used in the training set and the data from 15 participants were  
 401 used in both the validation set and the test set.

Table 3. Data capture participation summary

Exercise Type	Exercise Acronym	Number of Participants	
		Constrained Set	Unconstrained Set
Upper-Body LME exercises	BC	76	75
	FR	76	75
	LR	76	74
	TER	76	75
	PD	75	74
	TT	76	75
Lower-Body LME exercises	SBC	75	74
	SQ	73	73
	LLR	75	74
	L	73	75
Others	OTH	76	75

#### 402 Summary of Data sampling:

403 No public dataset was available with a single sensor wearable device specifically for the LME  
 404 exercises used in CVD rehabilitation which could be used on mHealth platforms. We created the  
 405 INSIGHT-LME dataset from 76 willing participants performing LME exercises in two sets. Data  
 406 collected from the participants wearing a single wrist-worn wearable device under the supervision  
 407 of health experts from the sports clinic and with the guidance from clinical staff. The new dataset  
 408 will encourage further research in the field of application using a single wrist-worn inertial sensor in  
 409 exercise-based rehabilitation.

#### 410 3.2. Results for the Exercise Recognition Task

##### 411 3.2.1. Experimental Results of Exercise Recognition with Supervised ML Models

412 A total of twenty-four classifiers were constructed using three sliding windowing methods with  
 413 four supervised ML algorithms with and without dimensionality reduction using PCA. These models

414 were constructed using a 10-fold cross-validation method. The SVM models were constructed using  
 415 One-Vs-Rest multi-class classifier and were designed to have optimum hyper-parameters using a grid  
 416 search method with 10-fold cross-validation. The values,  $C = 100$ ,  $\gamma = 0.01$  and rbf kernel were  
 417 found to be the optimum hyper-parameters for all the 6 SVM classifiers. For all the 6 kNN models,  
 418  $k = 1$  found to be the optimum value and for all the 6 RF models,  $n\_estimator = 10$  found to be the  
 419 optimum value. Similarly, for all the 6 MLP classifiers the step value,  $\alpha = 1$ , was optimum over a range  
 420 of  $1.0E-5$  to  $1E+3$  on a logarithmic scale.

421 Selection of suitable sliding window-length was done based on the validation results using the  
 422 validation feature set. While the training score indicates the self classifying ability of the model, the  
 423 validation score helps in accessing the suitability of any model deployment on the unseen data. The  
 424 training and validation scores for all the twenty-four classifiers segregated with the corresponding  
 425 window-length are shown in Table 4. Validation score measures for the models built using 1s  
 426 window-length were less compared to the validation score measures of the models built using 2s and  
 427 4s window-length for all the four (SVM, MLP, kNN, and RF) models with and without PCA. Therefore  
 428 all the models built using 1s are not selected. In addition, in terms of validation score measure, the  
 429 performance of the supervised ML models built using 4s window-length was showing 1% to 2%  
 430 improvement when compared with the models built with a window-length of 2s. Therefore, the eight  
 431 supervised ML models constructed using 4s sliding window-length (with and without PCA) were  
 432 retained for further comparison. All the eight models, from 4s window-length, were tested with the  
 433 same test set data using the test set features to find a single best supervised classifier for exercise  
 434 recognition.

Table 4. Classifier performance comparison over varied window-lengths

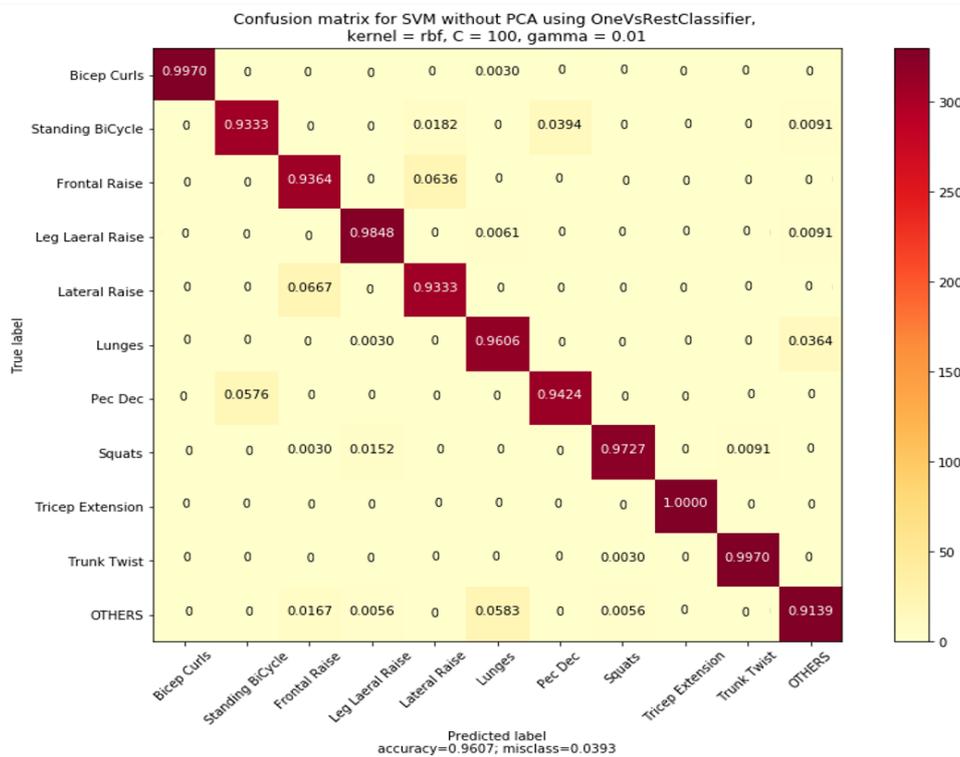
Window length	Classifiers	Scores (without PCA)			Scores (with PCA)		
		Training	Validation	Test	Training	Validation	Test
1s	SVM	0.9735	0.8559	<b>Models Not Selected</b>	0.9674	0.8525	<b>Models Not Selected</b>
	MLP	0.9232	0.8190		0.9041	0.8041	
	kNN	0.9390	0.8248		0.9307	0.8227	
	RF	0.9925	0.8165		0.9898	0.8179	
2s	SVM	0.9907	0.8906	<b>Models Not Selected</b>	0.9875	0.8816	<b>Models Not Selected</b>
	MLP	0.9690	0.8615		0.9568	0.8475	
	kNN	0.9715	0.8571		0.9613	0.8520	
	RF	0.9956	0.8607		0.9850	0.8439	
4s	SVM	0.9974	0.9171	0.9607	0.9965	0.9089	0.9596
	MLP	0.9961	0.8709	0.9328	0.9939	0.8709	0.9347
	kNN	0.9944	0.8848	0.9415	0.9845	0.8828	0.9388
	RF	0.9995	0.8905	0.9467	0.9994	0.8670	0.9333

435 Test score measures for eight selected supervised ML classifiers are recorded in Table 4. The SVM  
 436 model without PCA was found to be the single best performing model with a test score of 96.07%. The  
 437 SVM model with PCA was found to be the second-best model with a test score of 95.96%. Further,  
 438 a common observation can be drawn between the models constructed with and without PCA. For  
 439 all the four supervised ML algorithms (SVM, MLP, kNN, and RF) the test score measures have not  
 440 improved with the dimensionality reduction. The SVM model without PCA was selected as the best  
 441 supervised ML model and was further evaluated to find the performance on individual exercises. The  
 442 SVM model performance for each exercise, in terms of precision, recall and F1-score measures, were  
 443 tabulated in Table 5.

444 From the performance evaluation of the SVM classifier on individual exercises (Table 5), we can  
 445 conclude that, using a single wrist-worn inertial sensor in the CVD rehabilitation process, we could  
 446 achieve the exercise recognition with an overall recall rate of 96.07%. This result is very important as  
 447 the set of LME exercises used in this study are not only single joint upper-body exercises but also have  
 448 exercises with multi-joint lower-body exercises. For the upper-body LMEs, measured overall precision

**Table 5.** Performance evaluation measures of SVM Classifier on individual exercises

Exercise Type		Acronym	Precision	Recall	F1-score
<b>Upper-Body LME exercises</b>	Bicep Curls	BC	1	0.9970	0.9985
	Frontal Raise	FR	0.9142	0.9364	0.9252
	Lateral Raise	LR	0.9194	0.9333	0.9263
	Triceps Extension	TER	1	1	1
	Pec Dec	PD	0.9599	0.9424	0.9511
	Trunk Twist	TT	0.9910	0.9970	0.9940
<b>Lower-Body LME Exercises</b>	Standing Bicycle Crunches	SBC	0.9419	0.9333	0.9376
	Squats	SQ	0.9907	0.9727	0.9817
	Leg Lateral Raise	LLR	0.9760	0.9849	0.9804
	Lunges	L	0.9296	0.9606	0.9449
<b>Common Movements</b>	Others	OTH	0.9481	0.9139	0.9307



**Figure 9.** Normalized confusion matrix for the SVM model

449 was 96.41%, overall recall was 96.77% and overall F1-score was 96.59% and for the lower-body LMEs,  
 450 measured overall precision was 95.96%, overall recall was 96.29% and overall F1-score was 96.12%.

451 The model’s normalized confusion matrix plot representing the confusions among the exercises are  
 452 plotted and shown in Figure 9. Confusions among the exercises having similar wrist-movement actions  
 453 were evident from the confusion matrix plot and are discussed here. The first observed confusion was  
 454 between two upper-body LMEs, the Frontal raises (FR) and the Lateral raises (LR), and 6.36% of the FR  
 455 exercises were confused with that of the LR while 6.67% of the LR exercises were confused with that of  
 456 FR. In both FR and LR exercises, raising the hands straight was commonly observed with significant  
 457 movements on the plane of the accelerometer x-axis direction. However, the wrist-movement actions  
 458 were different for FR from that of LR only during the movement from the initial resting position. The  
 459 second observed confusion was between the exercises Pec Dec (PD) and the Standing bicycle crunches  
 460 (SBC). A 3.94% confusion was observed in SBC from PD, whereas a 5.76% of PD was getting confused  
 461 with SBC. The wrist rotary movements in the plane of the gyroscope y-axis direction were similar for  
 462 these SBC and PD exercises. The third observation was for the lower-body LME exercise Lunges were

463 getting confused with the common movements (others) and a 3.64% confusion was observed. However,  
 464 the common movements (others) were confused with Lunges with a 5.83% confusion. AUC-ROC plot  
 465 for individual exercise recognition is given in Appendix C(Figure A5).

### 466 3.2.2. Experimental Results of *CNN\_Model*

467 The *CNN\_Model* having Adam optimizer, a learning rate  $1e-4$  with KLD loss function was the  
 468 best model with a training score of 99.96% and a validation score of 94.01%. The model was further  
 469 evaluated using the test set image dataset and measured an overall test score of 96.90%. This overall  
 470 test-score measure was almost 1% improved in comparison with the SVM model, the best performing  
 471 supervised ML model (Section 3.2.1). The performance of *CNN\_Model* for the individual exercises was  
 472 evaluated and the statistical parameters measures like precision, recall and F1-score for each exercise  
 473 were tabulated in Table 6. These test score measures in terms of precision, recall and F1-score for the  
 474 individual exercise recognition of the CNN model with AlexNet architecture (Table 6) were improved  
 475 in comparison to the test score measures obtained from the SVM model (Table 5).

**Table 6.** Performance evaluation measures of *CNN\_Model*

	Exercise Type	Acronym	Precision	Recall	F1-score
<b>Upper-Body LME exercises</b>	Bicep Curls	BC	1	1	1
	Frontal Raise	FR	0.9052	0.9552	0.9296
	Lateral Raise	LR	0.9273	0.9105	0.9188
	Triceps Extension	TER	0.9962	1	0.9981
	Pec Dec	PD	0.9850	0.9990	0.9920
	Trunk Twist	TT	0.9962	0.9990	0.9976
<b>Lower-Body LME Exercises</b>	Standing Bicycle Crunches	SBC	0.9921	0.9600	0.9758
	Squats	SQ	0.9814	0.9552	0.9681
	Leg Lateral Raise	LLR	0.9209	0.9867	0.9526
	Lunges	L	0.9748	0.9952	0.9849
<b>Common Movements</b>	Others	OTH	0.9868	0.8991	0.9409

476 Figure 10 represents the normalized confusion matrix for the *CNN\_Model*. The values on the main  
 477 diagonal representing recall or sensitivity of the model to the individual exercises. The improvement of  
 478 overall recall rate by almost 1% can be seen from the amount of less confusions among exercises from  
 479 the confusion matrix. Major confusions between the exercises are improved compared to the SVM  
 480 model. For example, confusion between LR and FR is reduced to 4% in comparison with 6% in the SVM  
 481 model. Similarly, confusion among SBC and PD is reduced to almost 1% in comparison with 5% in  
 482 SVM model. Overall performance comparison of the SVM model and *CNN\_Model* for upper-body and  
 483 lower-body exercises along with standard deviation measure is shown in Figure 11. The *CNN\_Model*  
 484 outperformed the SVM model in both the upper-body LME exercises and the lower-body LME  
 485 exercises.

### 486 *Summary of Comparative Study of Models for the Exercise Recognition Task*

487 Our first study was to find a single best model for the exercise recognition by comparing traditional  
 488 supervised ML methods with a deep learning method. We studied the supervised ML models using  
 489 SVM, RF, kNN and MLP with and without dimensionality reduction using PCA. Also we studied a  
 490 deep CNN model based on the AlexNet architecture. We selected the supervised ML models with  
 491 4s window-length based on validation score. The models with PCA were observed with lower test  
 492 score performances compared to the models without PCA. SVM model without PCA was found to  
 493 be the single best performing supervised ML model with an overall test accuracy measure of 96.07%.  
 494 In addition, the deep CNN model, *CNN\_Model*, had an overall test accuracy measure of 96.89% and  
 495 found to be the single best performing model for the exercise recognition task. Beside overall test score

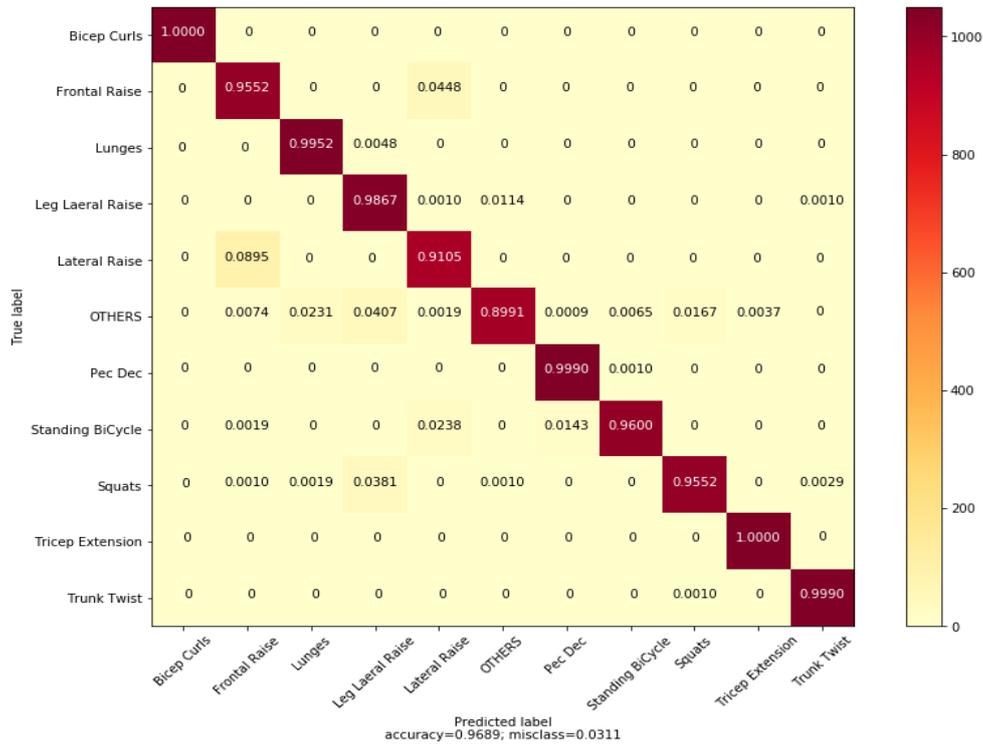


Figure 10. Normalized confusion matrix for CNN model with AlexNet architecture

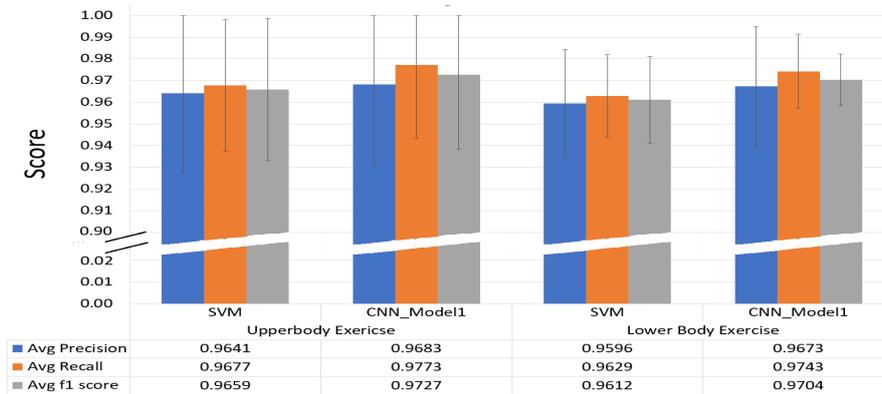


Figure 11. Statistical parameter comparison for CNN\_Model and SVM models

496 measure, overall precision, recall and F1-score measures of the *CNN\_Model* outperformed the SVM  
 497 model both in the upper-body and the ower-body LME exercise recognition tasks.

498 3.3. Results for the Exercise Repetition Counting Task

499 3.3.1. Experimental Results of repetition counting using peak detectors

500 All the input data signals from the INSIGHT-LME dataset were used in testing to evaluate the  
 501 overall performance of the peak detectors. The number of error counts, i.e. the difference between  
 502 the actual number of repetition counts and the number of detected counts, was recorded in each case.  
 503 Table 7 shows the results of repetition counting for individual LME exercise in terms of the number of  
 504 errors with that of the actual count using peak detection method.

505 The table also indicates the total number of subjects that were used in testing each exercise.  
 506 The repetition error counts were indicated by the columns “Error counts” or “ $e|X|$ ” where “ $e|X|$ ”  
 507 indicates the number of exercise sets having ‘ $|X|$ ’ repetition error count. ‘ $|X|$ ’ represents the absolute

508 error count in terms of 0, 1, 2, or more than 2 errors. The peak detector method used for the repetition  
 509 counting performed better for upper-body exercises like BC, FR, LR and TER in comparison to the  
 510 repetition counting of the lower-body exercises. For example, from Table 7, for Bicep Curls, an  
 511 upper-body LME exercise, repetition counting without any error were reported for 144 instances  
 512 among 151 subject trials. However, for 7 subject trials,  $\pm 1$  error count was reported.

**Table 7.** Number of error counts in the repetition using Peak Detector Method

Exercise Type	Exercise	Acronym	Total Subjects	Error Count			
				e 0	e 1	e 2	e> 2
<b>Upper-Body LME Exercises</b>	Bicep Curls	BC	151	144	7	0	0
	Frontal Raises	FR	151	140	11	0	0
	Lateral Raises	LR	150	141	9	0	0
	Triceps Extension Right	TER	152	143	9	0	0
	Pec Dec	PD	149	120	8	3	18
	Trunk Twist	TT	151	128	14	5	4
<b>Lower-Body LME Exercises</b>	Standing Bicycle Crunch	SBC	149	132	8	4	5
	Squats	SQ	146	63	11	6	66
	Leg Lateral Raise	LLR	149	73	10	18	48
	Lunges	L	147	11	9	13	114

### 513 3.3.2. Experimental Results of repetition counting using *CNN\_Model*

514 The optimization of parameters was selected based on lowest validation loss measures and the  
 515 optimum *CNN\_Model* for the repetition counting task was with Adam optimizer and with a learning  
 516 rate of  $1e-5$ . The model was further tested with the test dataset images. The test data set corresponds to  
 517 the data from fifteen participants and each exercise was performed twice by each participant resulted  
 518 in a total of 30 exercises data for each exercise.

519 Table 8 shows the result of the repetition counting for individual LME exercises in terms of the  
 520 number of errors with that of the actual count. The overall performance of the model in the repetition  
 521 counting using a single AlexNet architecture based *CNN\_Model* was very accurate for most of the  
 522 upper-body LME exercises. However, for the lower-body exercises, the repetition count performance  
 523 for LLR was 80% and was better compared to the performance with other lower-body exercises. For  
 524 the lunges, the model performance was poorest in the repetition counting.

525 The performance of the model for the upper-body LMEs like FR and LR, it was 100%. For other  
 526 upper-body LMEs like BC, TER, PD correct counting was 96.67%. In the case of LLR, a lower-body  
 527 LME exercise, the correct counting was 80%. For other exercises the performances of the model with  
 528 zero error count were poor. However, the overall count performance of *CNN\_Model* was improved for  
 529 most of the exercises, when compared to the repetition counting using the signal processing model  
 530 (Table 7). Thus the repetition count performance of the *CNN\_Model* five out of ten exercises was  
 531  $>95\%$  and for four exercises it was in the range of  $60\% \sim 80\%$ . Also, It was observed that the overall  
 532 performance by the *CNN\_Model* in repetition counting of the upper-body LMEs was  $>92\%$ . However,  
 533 the *CNN\_Model* for repetition counting suffered in the case of Lunges, a lower-body LME exercise. In  
 534 total, with a tolerance of  $\pm 1$  count error, the performance of the CNN model was accurate in 90% or  
 535 repetition sets.

### 536 *Summary of Comparative Study of Models for the Exercise Repetition counting Task*

537 We studied two different methods for the exercise repetition counting task. First, the signal  
 538 processing based approach or peak detectors and the second, *CNN\_Model* using the AlexNet  
 539 architecture. We designed ten different peak detectors based on the dominant sensor-axis signal  
 540 information, one for each exercise. The peak detector was a dependent model and works as a  
 541 sequential block after a particular exercise recognition. This brings inherited latency of sequential  
 542 processing. Signal processing method found to be more accurate method in terms of accurate counting

**Table 8.** Number of error counts in the repetition using *CNN\_Model*

Exercise Type	Exercise	Acronym	Total Subjects	Error Count			
				e 0	e 1	e 2	e> 2
<b>Upper-Body LME Exercises</b>	Bicep Curls	BC	30	29	1	0	0
	Frontal Raises	FR	30	30	0	0	0
	Lateral Raises	LR	30	30	0	0	0
	Triceps Extension Right	TER	30	29	0	0	1
	Pec Dec	PD	30	29	0	0	1
	Trunk Twist	TT	30	19	5	3	3
<b>Lower-Body LME Exercises</b>	Standing Bicycle Crunch	SBC	30	18	9	1	2
	Squats	SQ	30	19	10	0	1
	Leg Lateral Raise	LLR	30	24	3	1	2
	Lunges	L	30	3	6	11	10

543 of repetition counts including the lower-body LME exercise, lunges. However, the models were  
544 under-weighted because of two facts: first, the requirement of ten different peak detectors one for each  
545 exercise recognition and second, the method was a follow-up sequential block with the dependency on  
546 the completion of the exercise recognition. However, the *CNN\_Model* was a single deep CNN model  
547 used for the repetition counting which can count the repetition without waiting for the completion  
548 of exercise recognition. To the best of our knowledge, use of a single deep CNN model for the  
549 repetition counting among a varied range of exercises is novel. With a tolerance of  $\pm 1$  count error, the  
550 performance of *CNN\_Model* was accurate in 90% or repetition sets.

#### 551 4. Discussion

552 In this paper, we compared models to find a single best artificial intelligence model for automatic  
553 recognition and repetition counting in LME exercises used in CVD rehabilitation program using  
554 single wrist-worn device. We found a deep CNN model constructed using state-of-the-art AlexNet  
555 architecture is the best model for the exercise recognition and repetition counting in terms of accuracy  
556 measure. The deep structure associated with the AlexNet learns better compared to the handcrafted  
557 feature learning associated with supervised ML models. Considering only supervised ML models,  
558 the SVM model without PCA was best for recognizing the set of LME exercises. In addition, we  
559 demonstrated a novel method of using a single CNN model for all the exercise repetition counting.  
560 We generated a novel dataset comprising of data for ten LME exercises and six common movements  
561 observed between the exercises (INSIGHT-LME).

562 Though our work was carried out on the LME dataset generated during this study, we would like  
563 to compare our findings with the outcome of recent relevant research works in the area of exercise-based  
564 rehabilitation. First study, Soro et. al. [27] examined exercise recognition and repetition counting  
565 using deep CNN models. The work [27] was carried on a set of ten Cross-Fit exercises and makes  
566 use of two sensors one on a foot and one on hand, and uses a single deep CNN for the exercise  
567 recognition task, designed from scratch. However, this study of exercise recognition is only on the  
568 exercise movements with an assumption of only exercising environment and does not consider any  
569 other commonly observed non exercise movements between exercises. The data was recorded from  
570 accelerometer, gyroscope and orientation sensor giving rise to 9D data from each sensor. The work [27]  
571 reports a test accuracy measure of 97% using a single hand-worn sensor device. In contrast, our model  
572 for the exercise recognition, *CNN\_Model*, uses 6D data, (accelerometer and gyroscope) and reports  
573 96.89% test accuracy score which is almost same. However, our model was trained to recognize the  
574 exercises considering an additional eleventh class ("Others"), having non exercise movement data along  
575 with the ten exercise class data. In addition, the work [27] also studies exercise repetition counting  
576 and uses ten different CNN models, one each for the repetition counting of each type of exercise.  
577 The individual models built for repetition counting were sequential blocks, which work only after  
578 the exercise recognition. They achieved  $\pm 1$  error among 91% observed sets. In contrast, we built a

single CNN model as it eliminates the need for an exercise specific repetition counter and reduces the dependency on the total number of resources required in repetition computation. Our single CNN model was capable of counting repetitions from all the exercises distinguishing from non-exercise actions. We have achieved repetition counting with  $\pm 1$  error count on 90% observed data sets. It appears that our study is novel in using a single CNN model for the exercise repetition counting.

A second study, by Um Terry et al [23], uses the PUSH data set for the exercise motion classification using a single CNN model for the automatic rehabilitation and sport training. The data set is a private dataset provided by PUSH Inc., collected using PUSH, a forearm-worn wearable device for measuring athletes' exercise motions. The study uses a subset of exercise data for gym-based exercising from athletes and uses 50 exercises for their classification study. The 9D data comprises of accelerometer, gyroscope and orientation sensors. Similar to our study of generating 2D image patterns from the raw 6D data, their study uses the image patterns obtained using 9D data. However, their study differs in the input image data set formation, where the input image data set was formed using 3 different rectangular grids of varied sizes. Their CNN model resulted in an overall test accuracy measure of 92.1% for the exercise classification. They also found that a CNN model with 3 levels performed better than with 2. In our study, our deep CNN model uses AlexNet architecture which is with a deep model with a depth of 8 levels. The additional levels with the AlexNet may have contributed to the improved accuracy (96.89%).

A third study, by Zheng-An et al [35], used a multipath CNN model for sensor based rehabilitation exercise recognition. The study made use of a CNN model based on Gaussian mixer models on the wearable sensor data as first channel path information and second CNN to calculate state transition probability using Lemple-Ziv-Welch coding. A third CNN was used on the combined two channel information for the exercise classification. The study used four rehabilitation exercises using an internet of things (IoT) based wearable sensor and the data used information from the accelerometer, gyroscope, and magnetometer. The four exercises were stretching exercises while sitting on a chair. The study reported a test accuracy measure of 90.63% using the multipath CNN model. This approach of multipath CNN based learning is a combination of feature learning from different methods and is different to our approach as we used a single deep CNN model for the exercise recognition and repetition counting. However, our study also differs with [35] in that we employed a greater number of exercises, more diverse limb movements and larger limb movements in the exercises.

## 5. Conclusion

While our study and those of Soro et. al. [27], Um Terry et. al. [23] and Zheng-An et. al. [35] used different exercises and different data sets, they all have tried to address exercise-based rehabilitation using deep learning models. The present state-of-the-art deep CNNs appear to show higher accuracy measures in comparison to the supervised ML models due to the ability of deep CNNs to learn a higher number of features in comparison to the associated handcrafted feature learning with ML models. Our work also shows that it is possible to use a single CNN model to count exercise repetition, with very little loss in accuracy. This may be beneficial in reducing the dependency on the total number of resources required in repetition computation in the case of multiple exercise evaluation.

We studied exercise recognition and repetition counting using single CNN models; future research should explore their use in providing qualitative feedback on the 'correctness' of the movement technique by observing the variations in the exercise execution in comparison to an 'acceptable' technique. Finally, we have studied the tasks of exercise recognition and repetition counting in an offline mode with a windowing method. These approaches can be further studied in terms of their time complexity in order to examine their implementation on miniaturized wearable devices.

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 637 publish the results.

638 **Appendix A. Representative postures for the LME exercises used and MATLAB-GUI module**  
 639 **used in the data capture process**

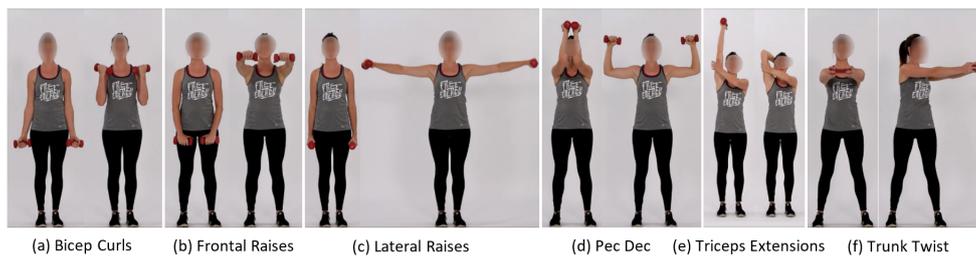


Figure A1. Upper-body LME exercises

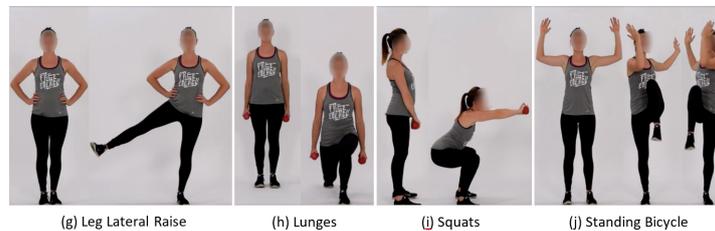


Figure A2. Lower-body LME exercises

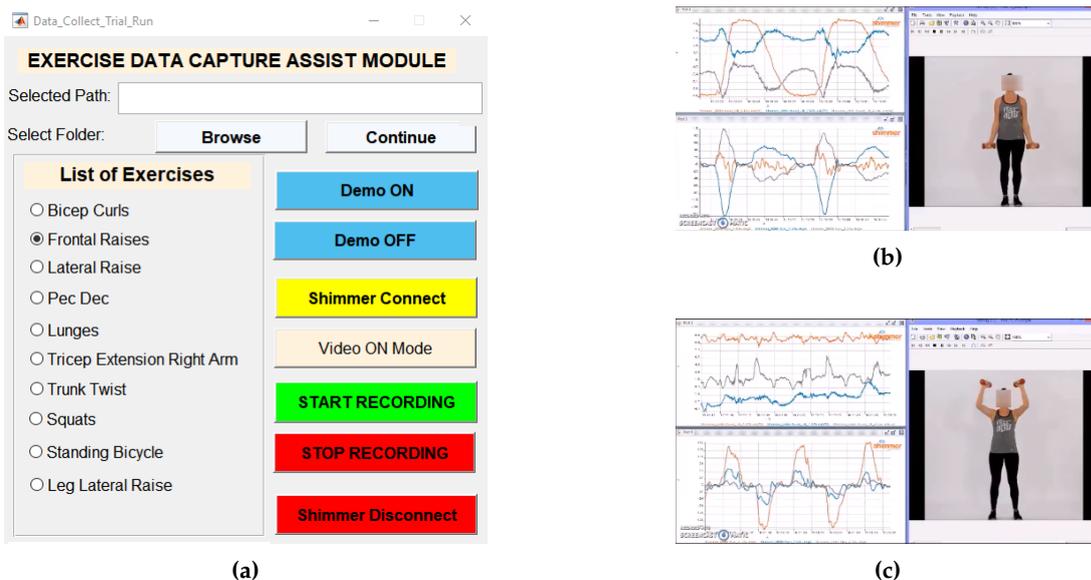
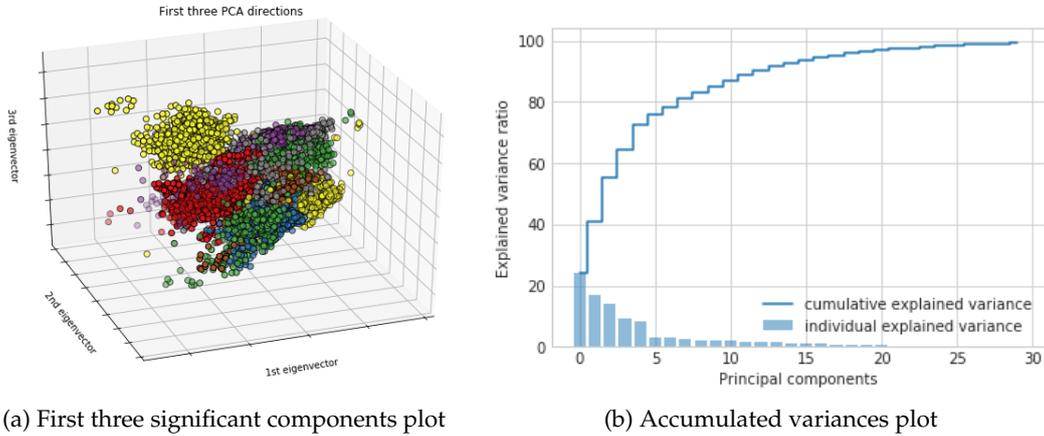


Figure A3. MATLAB GUI for exercise data capture process. (a) Interactive MATH Lab GUI (b) Bicep Curls Data Streaming (c) Pec-Dec Data Streaming

640 **Appendix B. Representation of PCA Computation of time-frequency feature vectors**

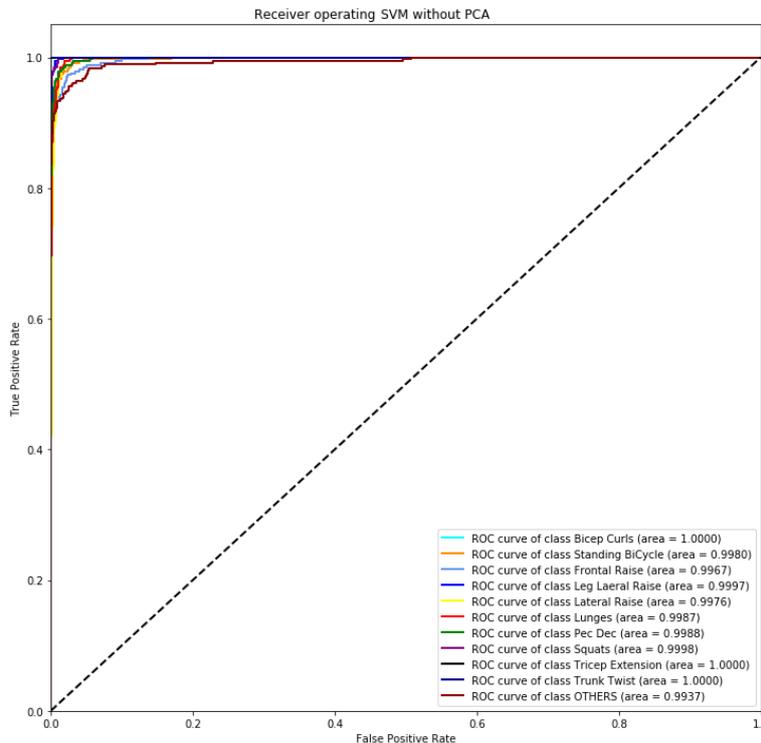
641 PCA plots based on 30 traits measured on 11-classes of movements (10-classes of exercise  
 642 movements and an 'other class') from the INSIGHT-LME dataset shown in Figure A4. First three  
 643 significant components are given in Figure A4(a). An accumulated variance plot is shown in  
 Figure A4(b).



**Figure A4.** PCA plots for the training feature set for a 4s sliding window (a) 3D plot of the first three significant components, (b) Accumulated variances plot

644

645 **Appendix C. Receiver operating characteristic of the SVM-Model(AUC-ROC plot)**



**Figure A5.** Receiver operating characteristic of the SVM model

646 Performance measurement or the capability of the classifier models were represented using the  
 647 area under the curve plot or also known as the receiver operating characteristic (AUC-ROC) curve  
 648 plots. The AUC-ROC curves are plotted with the true positive rate (TPR) on the y-axis against the false

649 positive rate (FPR) the x-axis. Figure A5 represents the AUC-ROC plot for the SVM classifier without  
 650 PCA and a minimum AUC value of 99.67% for FR to a maximum of 100% for BC, TT and TER.

#### 651 Appendix D. Model architecture for *CNN\_Model*

652 CNN Model architecture used in the exercise recognition task for *CNN\_Model* is given in Table A1  
 653 and represents the number of layers and the parameters used. The same model with only output layer  
 654 variation is used in the repetition counting task

**Table A1.** All architecture parameters for *CNN\_Model*. CL: Convolution Layer, DL: Dense Layer

Layer	Value	Parameters
Input Layer	227x227x3	0
Convolution Filters CL1	96	34944
Kernel Size CL1	(11, 11)	-
Strides CL1	(4, 4)	-
Pooling PL1	(3, 3)	0
Strides PL1	(2, 2)	-
Convolution Filters CL2	256	614656
Kernel Size CL2	(5, 5)	-
Strides CL2	(1, 1)	-
Pooling PL2	(3, 3)	0
Strides PL2	(2, 2)	-
Convolution Filters CL3	384	885120
Kernel Size CL3	(3, 3)	-
Strides CL3	(1, 1)	-
Convolution Filters CL4	384	1327488
Kernel Size CL4	(3, 3)	-
Strides CL4	(1, 1)	-
Convolution Filters CL5	256	884992
Kernel Size CL5	(3, 3)	-
Strides CL5	(1, 1)	-
Pooling PL3	(2, 2)	0
Strides PL3	(2, 2)	-
Dense DL1	4096	4198400
Dropout DL1	0.4	0
Dense DL2	4096	16781312
Dropout DL2	0.4	0
Dense DL3	1000	4097000
Dropout DL3	0.4	0
Batch Normalization CL1, CL2, CL3, CL4, CL5, DL1, DL2, DL3	Yes	384+1024+1536+1536+ 1024+16384+16384+4000
Activation function CL1, CL2, CL3, CL4, CL5, DL1, DL2, DL3	ReLU	0
Activation function DL2	softmax	0
<b>Total Parameters</b>	:	<b>28,877,195</b>
<b>Trainable Parameters</b>	:	<b>28,856,059</b>
<b>Non-trainable Parameters</b>	:	<b>21,136</b>

#### 655 Appendix E. 3D Accelerometer Raw Data Signal Plots for all exercises

656 3D Accelerometer raw data signal plots for all the 10 LME exercises corresponding to the data  
 657 from the wrist-worn sensor of one participant is shown in Figure A6.

#### 658 Appendix F. 3D Gyroscope Raw Data Signal Plots for all exercise

659 3D Gyroscope raw data signal plots for all the 10 LME exercises corresponding to the data from  
 660 the wrist-worn sensor of one participant is shown in Figure A7.

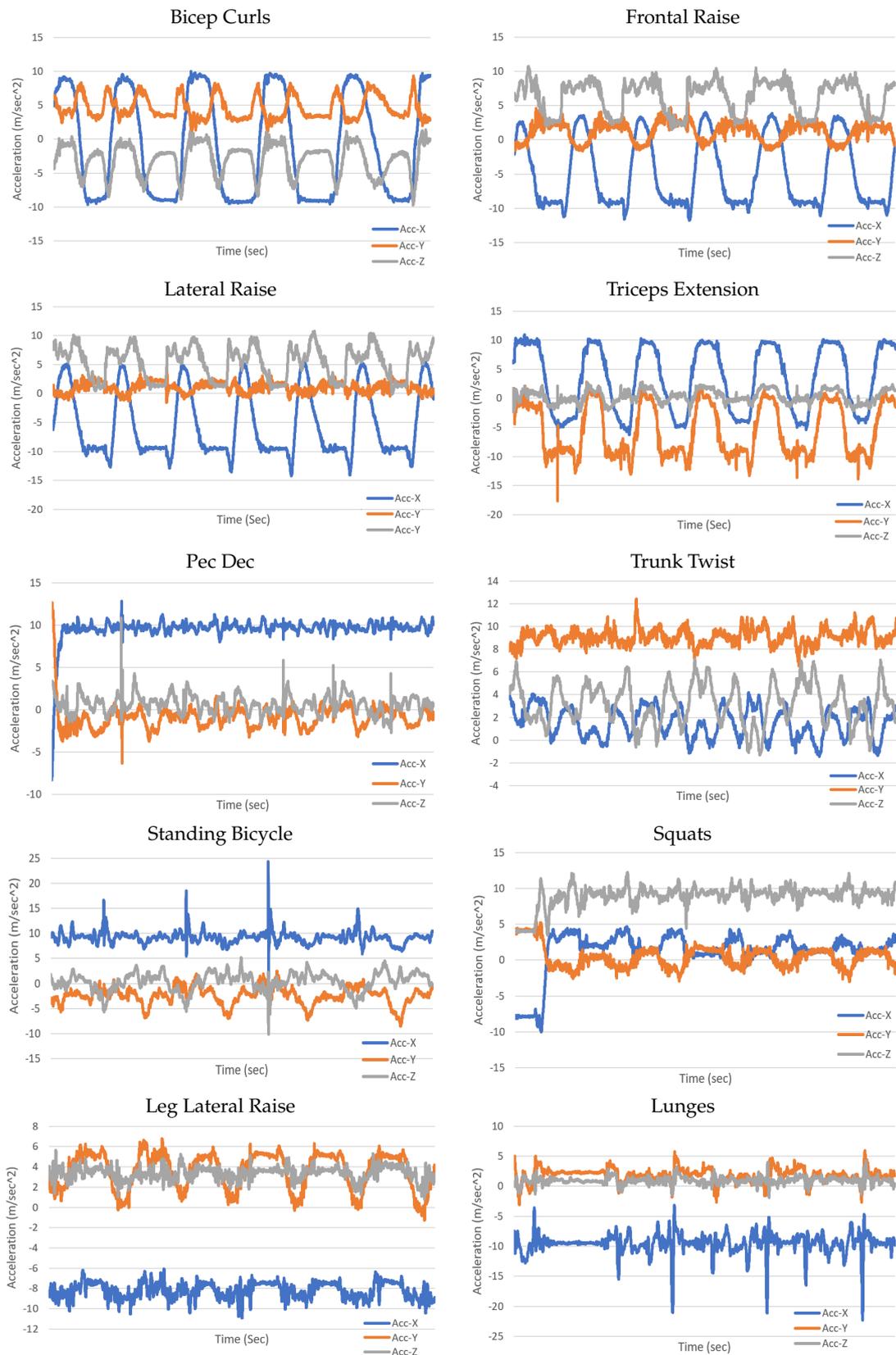
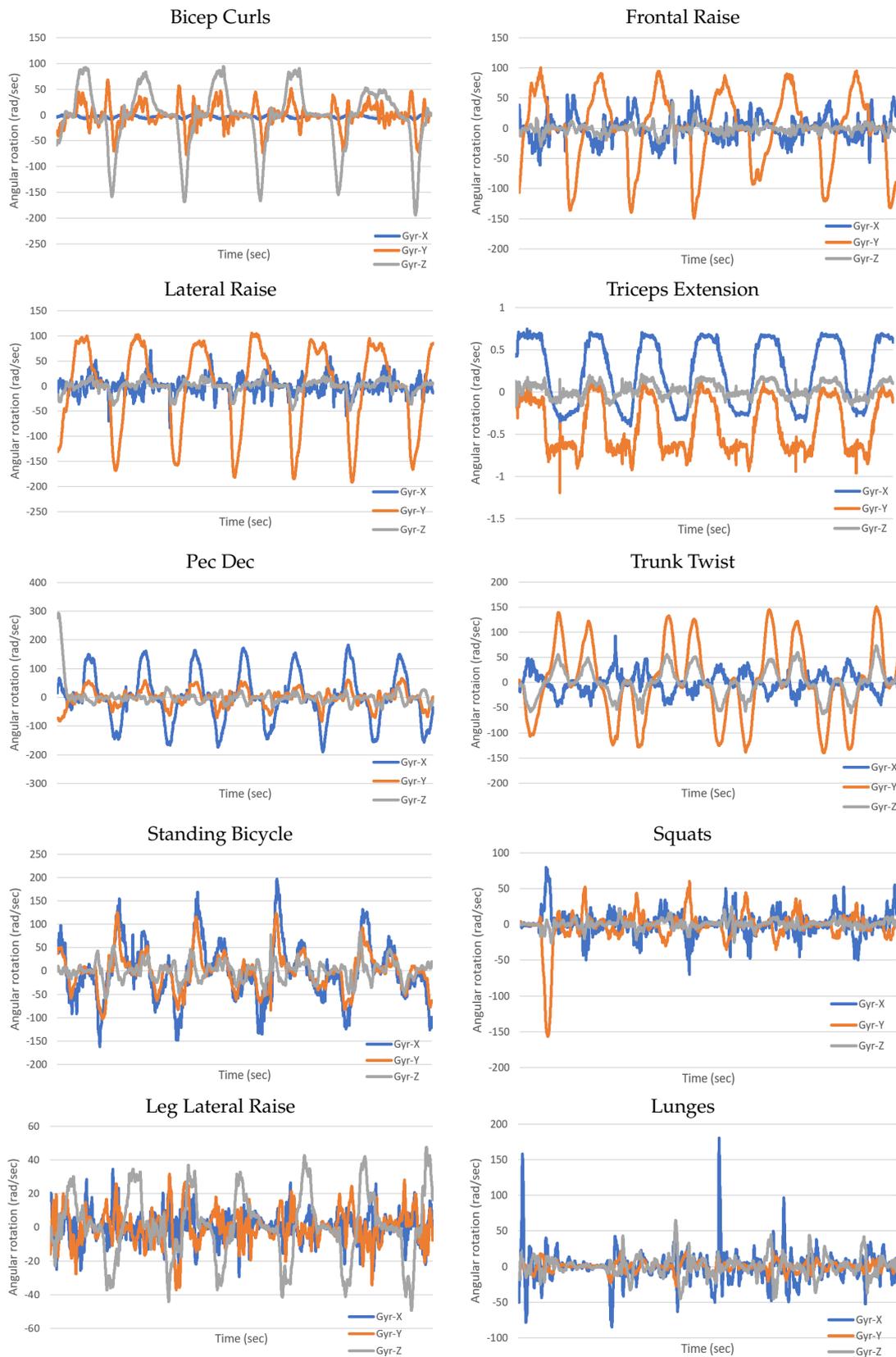


Figure A6. 25 seconds 3D accelerometer signal plots for all exercises

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**Figure A7.** 25 seconds 3D gyroscope signal plots for all exercises

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