

1 **Brain tumor segmentation based on deep learning and an attention**
2 **mechanism using MRI multi-modalities brain images**

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23 **Abstract:**

24 Brain tumor localization and segmentation from magnetic resonance imaging (MRI) are hard
25 and important tasks for several applications in the field of medical analysis. As each brain imaging
26 modality gives unique and key details related to each part of the tumor, many recent approaches
27 used four modalities T1, T1c, T2, and FLAIR. Although many of them obtained a promising
28 segmentation result on the BRATS 2018 dataset, they suffer from a complex structure that needs
29 more time to train and test. So, in this paper, to obtain a flexible and effective brain tumor
30 segmentation system, first, we propose a preprocessing approach to work only on a small part of
31 the image rather than the whole part of the image. This method leads to a decrease in computing
32 time and overcomes the overfitting problems in a Cascade Deep Learning model. In the second
33 step, as we are dealing with a smaller part of brain images in each slice, a simple and efficient
34 Cascade Convolutional Neural Network (C-ConvNet/C-CNN) is proposed. This C-CNN model
35 mines both local and global features in two different routes. Also, to improve the brain tumor
36 segmentation accuracy compared with the state-of-the-art models, a novel Distance-Wise
37 Attention (DWA) mechanism is introduced. The DWA mechanism considers the effect of the
38 center location of the tumor and the brain inside the model. Comprehensive experiments are
39 conducted on the BRATS 2018 dataset and show that the proposed model obtains competitive
40 results: the proposed method achieves a mean whole tumor, enhancing tumor, and tumor core dice
41 scores of 0.9203, 0.9113 and 0.8726 respectively. Other quantitative and qualitative assessments
42 are presented and discussed.

43 **Keywords:** Brain tumor segmentation, Image segmentation, Medical image analysis, Attention
44 mechanism

45 **1. Introduction**

46 Brain tumors include the most threatening types of tumors around the world. Glioma, the
47 most common primary brain tumors, occurs due to the carcinogenesis of glial cells in the spinal
48 cord and brain. Glioma is characterized by several histological and malignancy grades, and an
49 average survival time of fewer than 14 months after diagnosis for glioblastoma patients[1].
50 Magnetic Resonance Imaging (MRI), a popular non-invasive strategy, produces a large and diverse
51 number of tissue contrasts in each imaging modality and has been widely used by medical
52 specialists to diagnose brain tumors [2]. However, the manual segmentation and analysis

53 of structural MRI images of brain tumors is an arduous and time-consuming task which, thus far,
54 can only be accomplished by professional neuroradiologists [3], [4]. Therefore, an automatic and
55 robust brain tumor segmentation will have a significant impact on brain tumor diagnosis and
56 treatment. Furthermore, it can also lead to timely diagnosis and treatment of neurological disorders
57 such as Alzheimer’s disease (AD), schizophrenia, and dementia. An automatic technique for
58 Lesion segmentation can support radiologists to deliver key information about the volume,
59 localization, and shape of tumors (including enhancing tumor core regions and whole tumor
60 regions) to make therapy progress more effective and meaningful. There are several differences
61 between the tumor and its normal adjacent tissue (NAT) which hinder the effectiveness of
62 segmentation in medical imaging analysis, e.g., size, bias field (undesirable artifact due to the
63 improper image acquisition), location, and shape [5]. Several models that try to find accurate and
64 efficient boundary curves of brain tumors in medical images have been implemented in the
65 literature. These models can be divided into three main categories:

66 1) Machine learning approaches address these problems by mainly using hand-crafted
67 features (or pre-defined features) [6]–[9]. As an initial step in this kind of segmentation, the key
68 information is extracted from the input image using some feature extraction algorithm, and then a
69 discriminative model is trained to recognize the tumor from normal tissues. The designed machine
70 learning techniques generally employ hand-crafted features with various classifiers, such as
71 random forest [10], support vector machine (SVM) [11], [12], fuzzy clustering [3]. The designed
72 methods and features extraction algorithms have to extract features, edge-related details, and other
73 necessary information—which is time-consuming [13]. Moreover, when boundaries between
74 healthy tissues and tumors are fuzzy/vague, these methods demonstrate poorer performances.

75 2) Multi-atlas registration (MAS) algorithms are based on the registration and label fusion of
76 multiple normal brain atlases to a new image modality [4]. Due to the difficulties in registering
77 normal brain atlases and the need for a large number of atlases, these MAS algorithms have not
78 been successfully dealing with applications that require speed [14].

79 3) Deep learning methods extract crucial features automatically. These approaches have
80 yielded outstanding results in various application domains, e.g., pedestrian detection [15], [16],
81 speech recognition and understanding [17], [18], and brain tumor segmentation [19], [20].

82 Zhang et al. [21] proposed a TSBTS network (task-structured brain tumor segmentation
83 network) to mimic the physicians’ expertise by exploring both the task-modality structure and the

84 task-task structure. The task-modality structure identifies the dissimilar tumor regions by weighing
85 the dissimilar modality volume data since they reflect diverse pathological features, whereas the
86 task-task structure represents the most distinct area with one part of the tumor and uses it to find
87 another part in its vicinity.

88 A learning method for representing useful features from the knowledge transition across
89 different modality data employed in [22]. To facilitate the knowledge transition, they used a
90 generative adversarial network (GAN) learning scheme to mine intrinsic patterns from each
91 modality data. Zhou et al. [23] introduced a One-pass Multi-Task Network (OM-Net) to overcome
92 the problem of imbalanced data in medical brain volume. OM-Net uses shared and task-specific
93 parameters to learn discriminative and joint features. OM-Net is optimized using both learning-
94 based training and online training data transfer approaches. Furthermore, a cross-task guided
95 attention (CGA) module is used to share prediction results between tasks. The extraction of both
96 local and global contextual features simultaneously was proposed inside the Deep CNN structure
97 by Havaei et al. [24]. Their model uses a simple but efficient feature extraction method. An
98 AssemblyNet model was proposed by Coupé et al. [25] which uses the parliamentary decision-
99 making concept for 3D whole-brain MRI segmentation. This parliamentary network is able to
100 solve unseen problems, take complex decisions, and reach a relevant consensus. AssemblyNet
101 employs a majority voting by sharing the knowledge among neighboring U-Nets. This network is
102 able to overcome the problem of limited training data.

103 Owing to the small size of tumors compared to the rest of the brain, brain imaging data are
104 imbalanced. Due to this characterization, existing networks get to be biased towards the one class
105 that is overrepresented, and training a deep model often leads to low true positive rates.
106 Additionally, existing deep learning approaches have complex structures—which makes them
107 more time-consuming.

108 To overcome the mentioned difficulties, in our work, a powerful pre-processing strategy to
109 remove a huge amount of unimportant information has been used, which causes promising results
110 even in the present deep learning models. Owing to this strategy, we do not use a complex deep
111 learning model to define the location of the tumor and extract features that lead to a time-
112 consuming process with a high fault rate. Furthermore, thanks to the reduction in the size of the
113 region of interest, the preprocessing step in this strategy also decreases overfitting problems.
114 Besides, after the pre-processing step, a cascade CNN approach is employed to extract both local

115 and global features in an effective way. In order to make our model robust to variation in size and
116 location of the tumor, a new distance-wise attention mechanism is applied inside the CNN model.

117 This study is structured as follows. In Section 2.1, the pre-processing procedure including Z-
118 Score normalization is described in detail for four MRI modalities. In Section 2.2, deep learning
119 architecture is described. In Section 2.3.1, the distance-wise attention module is demonstrated. In
120 Section 2.3.2, the architecture of the proposed Cascade Convolutional Neural Networks (C-
121 ConvNet/C-CNN) is explained. The experiments, discussion, and concluding remarks are in
122 Sections 3 and 4.

123 **2. Material and Methods**

124 In this section, we will discuss the proposed method in detail.

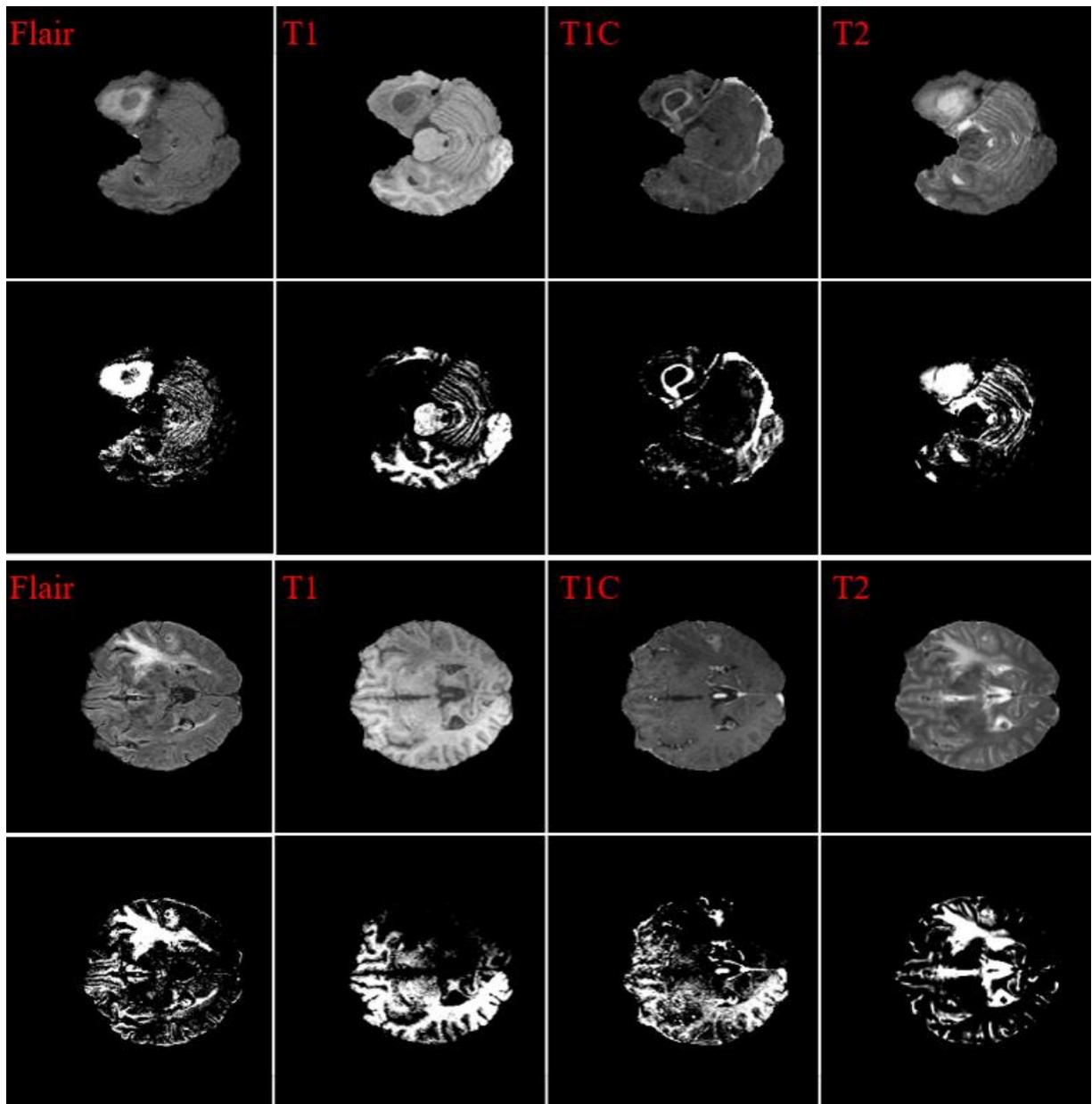
125 **2.1 Pre-processing**

126 Unlike many other recent deep learning approaches which use the whole of the image, we
127 only focus on a limited area of it to extract key features. By removing these unnecessary
128 uninformative parts, the true negative results are dramatically decreased. Also, by applying such a
129 strategy, we do not need to use a very deep convolutional model.

130 **2.1.1 Similar distributions**

131 To improve the final segmentation accuracy, we use four brain modalities, namely T1,
132 FLAIR, T1C, and T2 [26], [27]. To enforce the MRI data more uniform and remove the effect of
133 the anisotropic (especially for the FLAIR modality), we conduct the Z-Score normalization for the
134 used modalities. By applying this approach to a medical brain image, the output image has zero
135 mean and unit variance [24]. We implemented this step by subtracting the mean and dividing by
136 the standard deviation in only the brain region (not the background). This step was implemented
137 independently for each brain volume of every patient. [Fig. 1](#) shows some samples of the four input
138 modalities and their corresponding normalization results.

139



140

141 Fig. 1. Two sets of four MRI modalities and their corresponding Z-Score normalization.

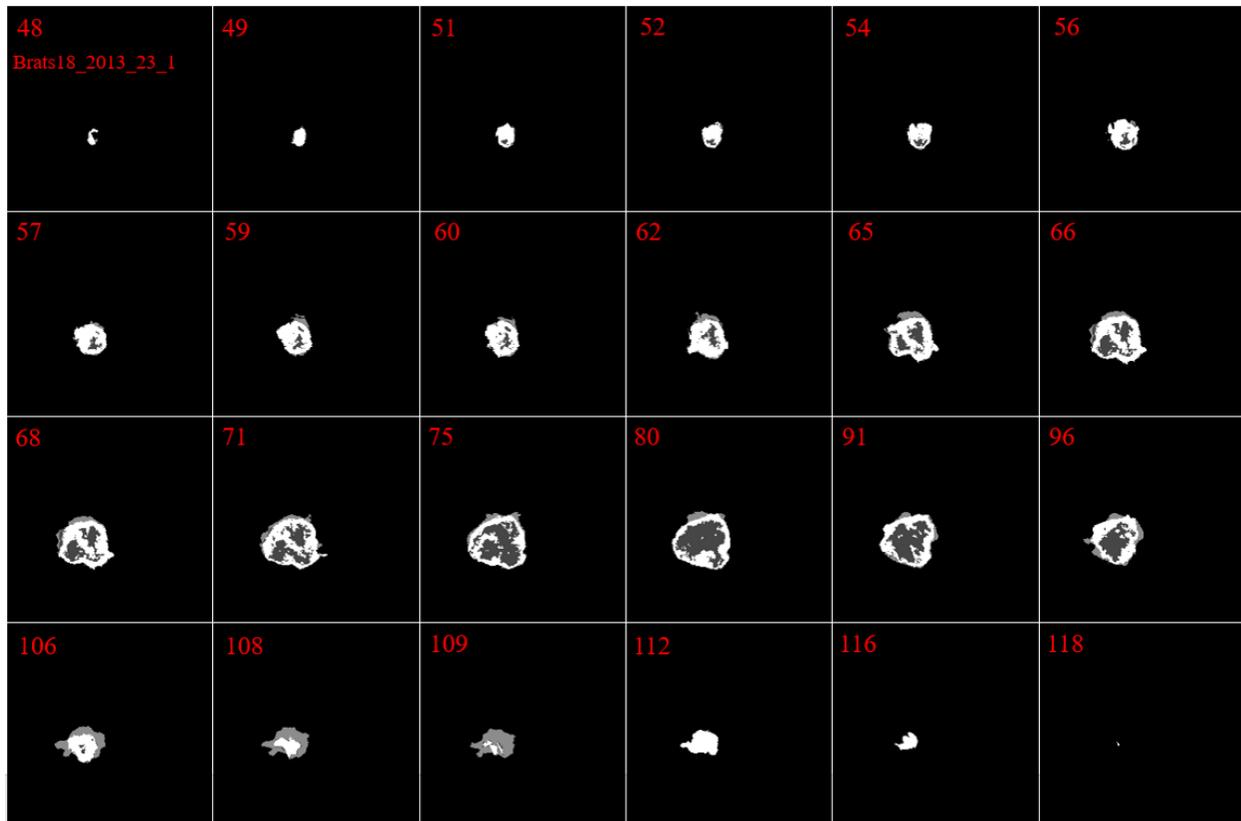
142 **2.1.2 Tumor representation in each slice**

143 In our investigation, we found that the size and the shape of the tumor in sequential slices
 144 increase or decrease steadily. The tumor emerges in the first slices with a small size at any possible
 145 location of the image. Then, in the following slices, the tumor will remain in the same location
 146 inside the image, but it will have a bigger size. Next, after reaching maximum size, the tumor size
 147 will start to decrease until it vanishes entirely. This is the core concept of our pre-processing

148 method. These findings are indicated in Figs. 2 and 3.

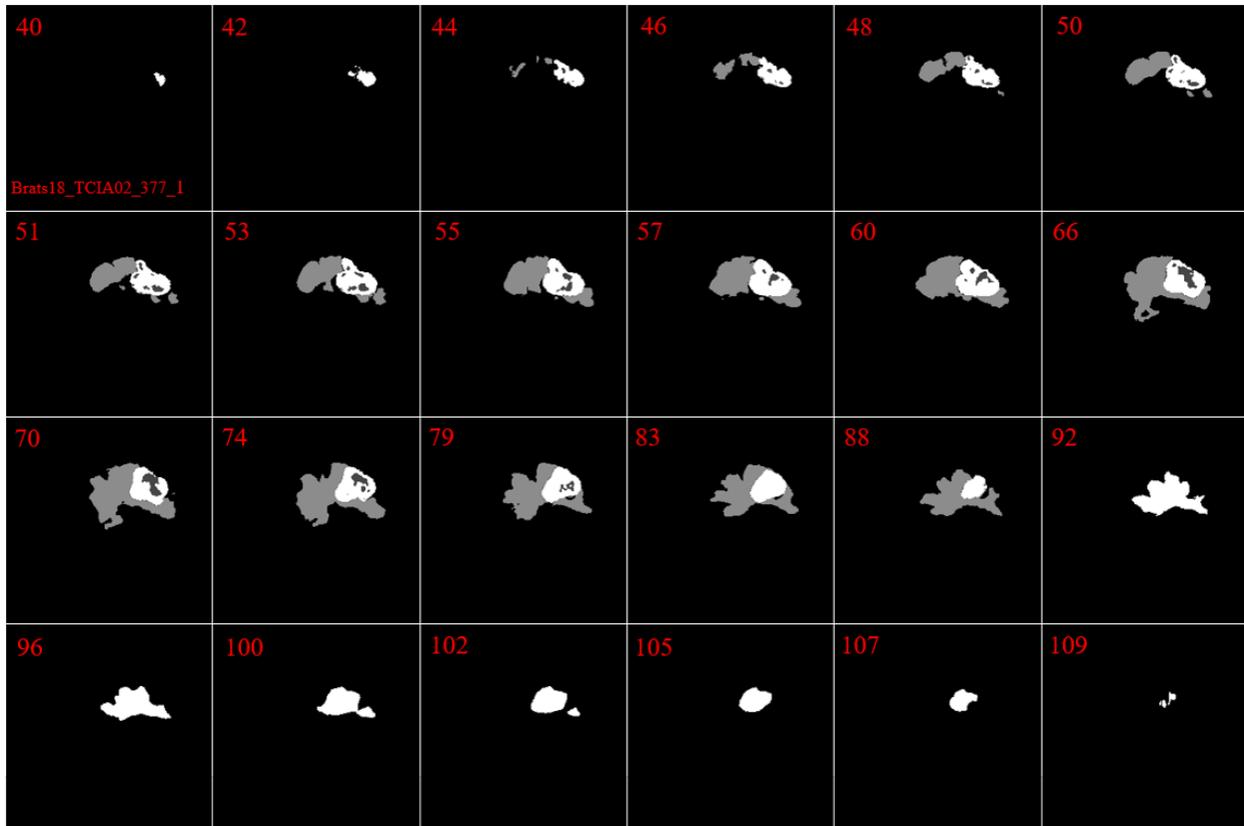
149 The main reason for using the mentioned four brain modalities is their unique characteristics
150 for detecting some parts of the tumor. Moreover, to find a tumor, we need to find all three parts in
151 each of the four modalities, then combine them to make a solid object. So, our first goal is to find
152 one part of the tumor in each modality.

153



154

155 Fig. 2. Illustration of the ground truth in 24 different slices in Brats18_2013_23_1. The red numbers
156 indicate the number of the slice.



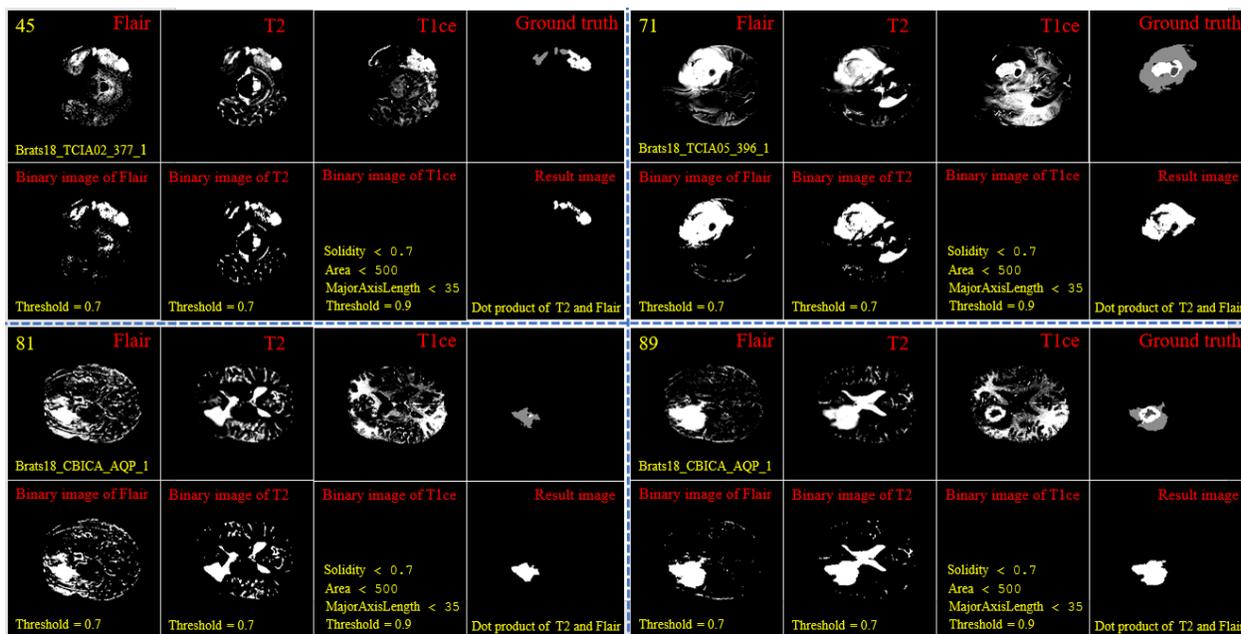
157

158 Fig. 3. Demonstration of the ground truth in 24 different slices in Brats18_TCIA02_377_1. The red
 159 numbers indicate the number of the slice. Different parts of tumor are illustrated with different colors.

160 2.1.3 Finding the expected area of the tumor

161 By looking deeper into [Figs. 2](#) and [3](#), we notice emerging, vanishing, and big tumor sizes are
 162 encountered in different slices related to different patients. For instance, the biggest tumors are
 163 depicted in slices 80 and 74 for [Figs. 2](#) and [3](#), respectively. Another important fact is that to the
 164 best of our knowledge no sharp difference can be observed in the size of continuous slices and
 165 tumor size can be varied slightly. During the investigation phase, we noticed that finding the
 166 location of the emerging and vanishing tumor is a hard and challenging task. But this is not true
 167 when we are looking for the biggest tumor inside the image. To detect the tumor area in each slice
 168 we follow four main steps: 1) read all modalities except the T1 image and compute the Z-Score
 169 normalized image, 2) binarize the obtained image with the thresholds 0.7, 0.7, and 0.9 for FLAIR,
 170 T2, and T1ce, respectively, 3) apply a morphological operator to remove some irrelevant areas, 4)
 171 multiply both binary images of FLAIR and T2 to create a new image and 5) combine the obtained
 172 areas from each image together. This procedure is demonstrated in [Figs. 4](#) and [5](#) in details.

173 As the observed tumor in FLAIR and T2 images is demonstrated with a higher intensity than
 174 other parts of the brain, the threshold value of binarization needs to be larger than the mean value
 175 (we selected 0.7). Moreover, the tumor is much brighter in T1ce than FLAIR and T2 images.
 176 Therefore, a bigger threshold value of binarization needs to be selected (we selected 0.9). If a small
 177 threshold value is selected for binarization, several normal tissues will be identified as tumor
 178 objects.
 179



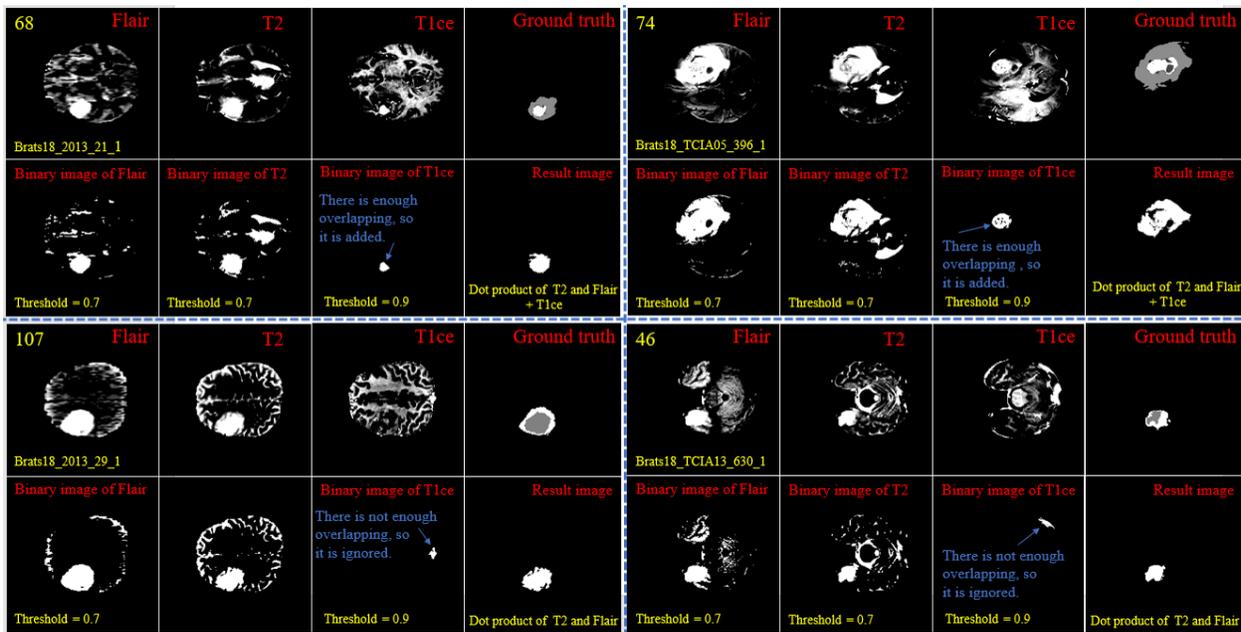
180
 181 Fig. 4. Demonstration of the process of finding a part of the tumor in each slice. The yellow color in the
 182 top left corner and the bottom indicates the slice number and sample ID, respectively. Also, the conditions
 183 for selecting the object are shown in yellow color. The red color is chosen for identifying the presented
 184 image. All binary objects inside the binarized T1ce image are bigger than the threshold criteria, so they
 185 were eliminated.

186 In the next step, as there are some tumor-like objects inside the obtained image, we need to
 187 discard them using some simple but precise rules. As shown in Figs. 4 and 5, to decide whether to
 188 select a binary object as a part of the tumor or not, extra constraints are applied to the binarized
 189 T1ce images: 1) object solidity bigger than 0.7, 2) object area bigger than 500 pixels, and 3) length
 190 of the major axis of the object needs to be bigger than 35 pixels. Any object in the binarized T1ce
 191 image that does not pass these criteria is removed from the image (Fig. 4). The defined constraints
 192 (rules) are the same for all the binarized images and we do not need to be altered to obtain good

193 result. Moreover, to overcome the problem of using MRI images with different sizes and
 194 thicknesses, the value for each constraint was selected based on a wide span. For instance, in the
 195 BRATS 2018 dataset, we defined the smallest object area value as 500 pixels. While using a wide
 196 span for selecting an object decreases accuracy, applying the other rules (solidity and major axis
 197 length) enables us to overcome that problem effectively.

198 After detecting all binary objects using morphological operators, we need to add them to each
 199 other to create a binary tumor image. But there is still another condition before adding the binarized
 200 T1ce to the obtained image from the binary dot product of the FLAIR and T2 images. We can only
 201 consider the effect of a binary object inside the T1ce images if it has an overlapping area bigger
 202 than 20 pixels with a binary object inside the image obtained from the binary dot product of FLAIR
 203 and T2 (Fig. 5).

204



205

206 Fig. 5. Demonstration of the process of finding a part of the tumor in each slice. The yellow color in the
 207 top left corner and the bottom indicates the slice number and sample ID, respectively. Also, the conditions
 208 for selecting the object are shown in yellow color. The red color is chosen to identify the presented image.
 209 The detected object from T1ce is indicated by the blue text.

210 In the next step, we need to find the location of the big tumor inside the slices. To this end,
 211 we need to be sure that all detected objects are truly tumor objects. To overcome this issue, we
 212 track each tumor object in sequential slices. It means if a tumor object is found in almost the same

213 position with a small change in the size in the sequential slices, we can be sure that this object is a
214 true tumor object. After finding the true tumor object in a slice, we search in the same area inside
215 all other slices to find the biggest object. This procedure is explained in [Fig. 6](#) in details. Finally,
216 using morphological operators this object can be enlarged to cover all possible missing tumor areas
217 (we call this area the biggest expected area). By finding this object and its location, we can search
218 only in this area to find the tumor and segment it in all slices ([Fig. 7](#)). Finally, based on the
219 information explained in Section 2.1.2 and also [Figs 2.](#) and [3](#), it is obvious that by moving to the
220 first or last slice, the size of the tumor will be decreased. So, we can create a binary mask for all
221 slices in which the size of the expected areas differs slightly from the expected slice to slice
222 difference.

223

Proposed algorithm for detecting a tumor in each slice and find the location of the biggest tumor.

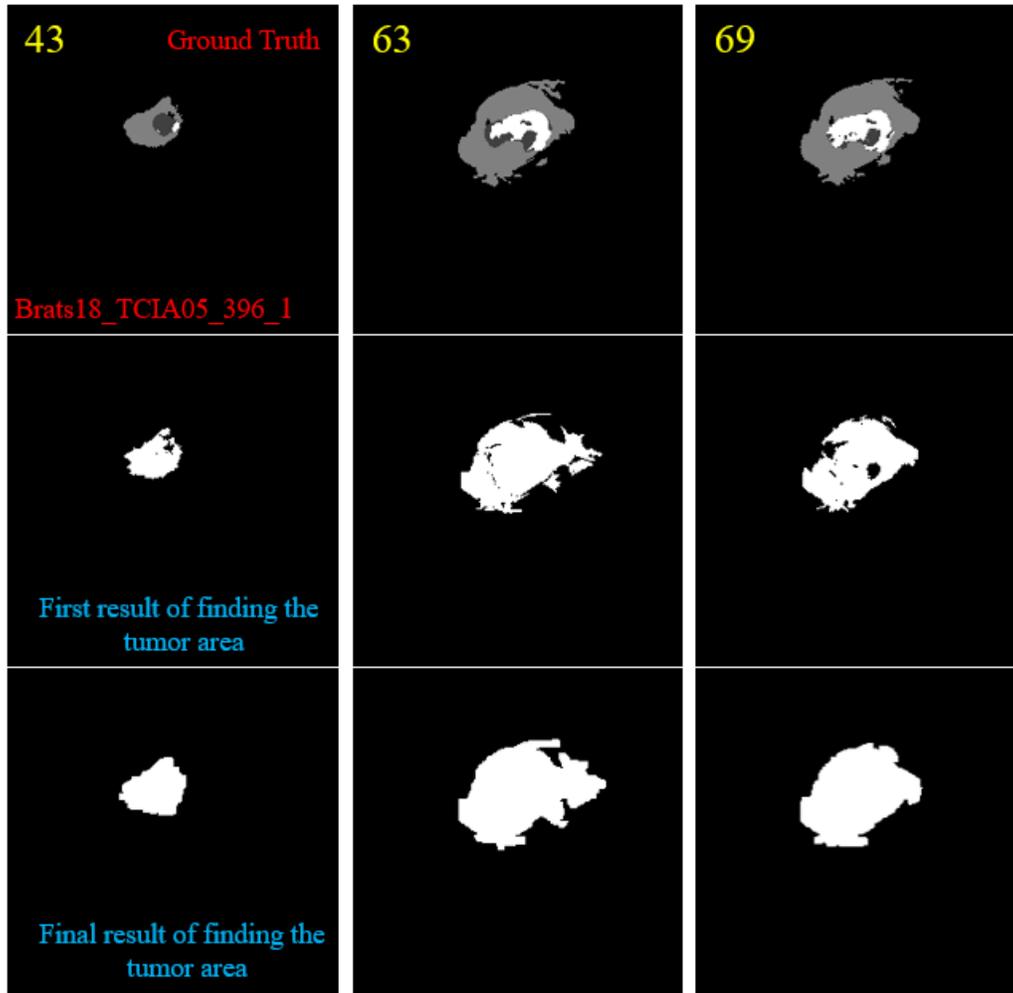
Input: Four $240 \times 240 \times 150$ images of the four modalities.

Output: A $240 \times 240 \times 150$ image.

1. Read each slide of the flair, t2 and t1ce and calculate the Z-Score.
 2. Compute the binary image with the threshold of 0.9 for t1ce. (T1B)
 3. Compute the binary image with the threshold of 0.7 for flair and t2 that we name them FB and T2B, respectively.
 4. The dot product of FB and T2B to find overlapping pixels is computed. (FT2B)
 5. All objects less than 100 pixels in T1B need to be eliminated.
 6. All objects less than 400 pixels in FT2B need to be eliminated.
 7. All objects in T1B which have all following characteristics need to be eliminated:
Major Axis Length > 35 & Area > 500 & Solidity < 0.7
 8. If remains only one object in T1B image:
 - a. Write the number of one in the present location of the slice in the flag variable. (For example, if it is slice of 54, flag_T1B (54,1) = 1).
 - b. If the value of the previous location is bigger than zero, the value of the present location is sum of the value of previous location and 1.
(For example, if it is slice of 54, and value of flag_T1B (53,1) == 1, then the value of flag_T1B (54,1) will be 1+1=2).
 9. If there is only one object in FT2B:
 - a. Write the number of one in the present location of the slice in the flag variable. (For example, if it is slice of 54, flag_FT2B (54,1) = 1).
 - b. If the value of the previous location is bigger than zero, the value of the present location is sum of the value of previous location and 1.
(For example, if it is slice of 54, and value of flag_FT2B (53,1) == 1, then the value of flag_FT2B (54,1) will be 1+1=2).
 - c. If there are more than 20 white pixels (object) that are in the same position in both images (overlapping objects), two image are added and a bigger object is created.
 10. Find the location of the maximum value in flag_FT2B and flag_T1B.
 11. If this value in flag_FT2B is bigger than 1 we use it (ind1), otherwise, the maximum value of flag_T1B is used (ind2).
 12. The maximum obtained value for ind1 or ind2 indicate the location of the maximum area of the whole of the tumor.
-

224

225 Fig. 6. Pseudocode of the proposed algorithm for detecting the biggest tumor among all slices.



226
 227 Fig. 7. Two examples of finding the tumor object (expected area) and its corresponding center location and
 228 applying morphological filters to enlarge the tumor regions. The first row indicates the ground-truth images.
 229 The second row demonstrates the tumor object. The third row shows the enlarged tumor objects obtained
 230 in the second row. The yellow color in the top left corner indicates the slice number.

231 2.2 Deep learning architecture

232 In today's artificial intelligence (AI) applications, the convolutional neural network
 233 (ConvNet/CNN) pipelines that are a class of deep feed-forward artificial neural networks exhibit
 234 a tremendous breakthrough in medical image analysis and processing [28]–[32]. The structure of
 235 a CNN model was inspired by the biological organization of the visual cortex in the human brain
 236 which uses the local receptive field. This architecture is similar to that of the connectivity pattern
 237 of neurons.

238 As the CNN model is not invariant to rotation and scale, it is a tremendous task to segment

239 an object that can be moved in the image. One of the key concerns about using a CNN model in
240 the field of medical imaging lies in the time of the evaluation, as many medical applications need
241 prompt responses to minimize the process for additional analysis and treatment. The condition is
242 more complicated when we are dealing with a volumetric medical image. So, by applying a 3D
243 CNN model for detecting lesions using the traditional sliding window approaches, an acceptable
244 result cannot be achieved. This is highly impractical when there are high-resolution volumetric
245 images, and a large number of 3D block samples need to be investigated. In all brain volumetric
246 images, the location, size, orientation, and shape of the tumor are different from a patient to another
247 and cause uncertainty in finding the potential region of the tumor. Also, it is more reasonable to
248 only search a small part of the image rather than the whole image.

249 To this end, in this work, we first identify the region of interest with a high probability of
250 encountering the tumor and then apply the CNN model to this smaller region--thus reducing
251 computational cost and increasing system efficacy.

252 The major drawback of convolutional neural network models (CNN) lies in the fuzzy
253 segmentation outcomes and the spatial information reduction caused by the strides of convolutions
254 and pooling operations [32]. To further improve the segmentation accuracy and efficiency, several
255 advanced strategies have been applied to obtain better segmentation results [21], [25], [33], [34]
256 with approaches like dilated convolution/pooling [35]–[37], skip connections [38], [39], as well as
257 additional analysis and new post-processing modules like Conditional Random Field (CRF) and
258 Hidden Conditional Random Field (HCRF) [10], [40], [41]. Using the dilated convolution method
259 causes a large receptive field to be used without applying the pooling layer to the aim of relieving
260 the issue of information loss during the training phase. The skip connection has the capability of
261 restoring the unchanged spatial resolution progressively with the integration of features and adding
262 outputs from previous layers to the existing layer in the down-sampling step.

263 Recently, the attention mechanism has been employed in the deep learning context that has
264 shown excellent performance for numerous computer vision tasks including instance segmentation
265 [42], image-denoising [43], person re-identification [44], image classification [45], [46], etc.

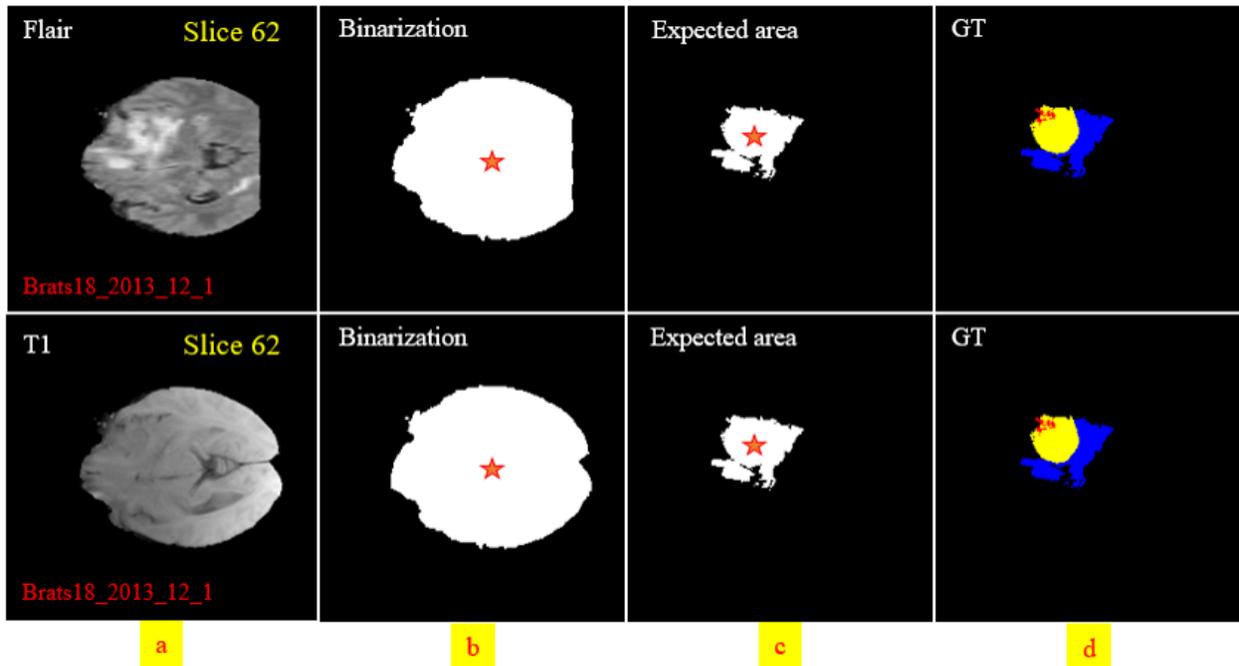
266 **2.3 Proposed structure**

267 In this study, a cascade CNN model has been proposed that combines both local and global
268 information from across different MRI modalities. Also, a distance-wise attention mechanism is
269 proposed to consider the effect of the brain tumor location in four input modalities. This distance-

270 wise attention mechanism successfully applies the key location feature of the image to the fully-
 271 connected layer to overcome overfitting problems using many parallel convolutional layers to
 272 differentiate between classes like the self-co-attention mechanism [47]. Although many CNN-
 273 based networks have been employed for similar multi-modality tumor segmentation in prior
 274 studies, none of them uses a combination of an attention-based mechanism and an area-expected
 275 approach.

276 2.3.1 Distance-Wise Attention (DWA) module

277 By considering the effect of dissimilarity between the center of the tumor and the expected
 278 area, we can guess the probability of encountering each pixel in the investigating process. In other
 279 words, knowing the location of the center of the expected (see Fig. 8) leads to a better
 280 differentiation between pixels of the three tumor classes.



281
 282 Fig. 8. An example depicting the whole brain and its corresponding binary mask for two modalities. The
 283 expected area is shown in the third column. The center of the binary mask and the expected area is shown
 284 by a red star.

285
 286 The DWA module explores distance-wise dependencies in each slice of the four employed
 287 modalities for the selection of useful features. Given an input feature channel set $\mathbb{A} \in \mathbb{R}^{H \times W \times N}$,
 288 $\mathbb{A} = \{\mathbb{A}_1, \mathbb{A}_2, \dots, \mathbb{A}_N\}$, where $\mathbb{A}_i \in \mathbb{R}^{H \times W}$ indicates a channel. The variables N, H, and W, are the

289 input channels, spatial height, and spatial width, respectively. So, as it is shown in Fig. 9, the O^{th}
 290 centroid of the object is obtained on each channel map by

$$291 \quad \begin{cases} y_c = y_0 + \frac{H_{object}}{2} \\ x_c = x_0 + \frac{W_{object}}{2} \end{cases} \quad (1)$$

$$292 \quad \begin{cases} W_{object} = \max \arg(\text{sum pixels} == 1 \text{ in each row}) \\ H_{object} = \max \arg(\text{sum pixels} == 1 \text{ in each column}) \end{cases} \quad (2)$$

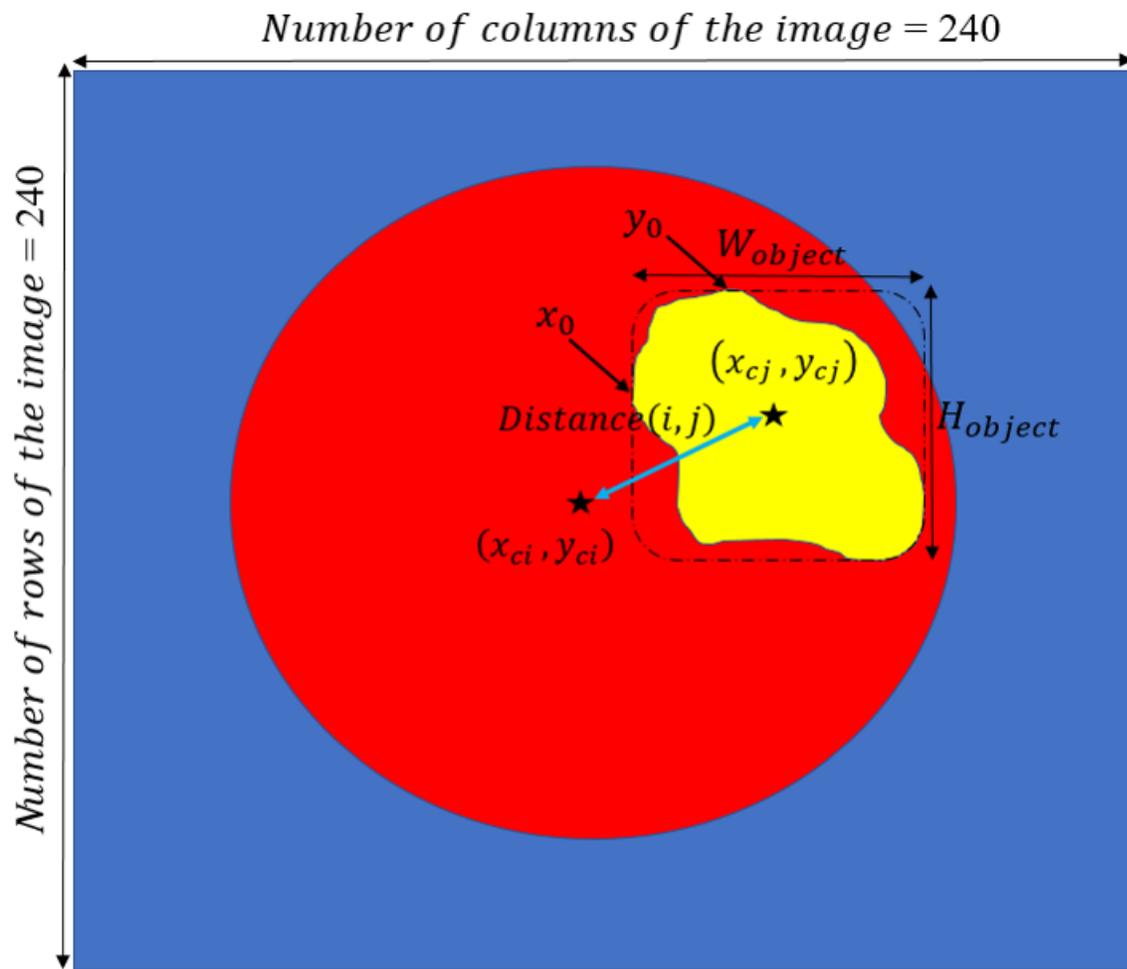
$$293 \quad \begin{cases} y_0 = \text{location of the starting point in } H_{object} \\ x_0 = \text{location of the starting point in } W_{object} \end{cases} \quad (3)$$

294 where y_c and x_c represent the center of the white object, W_{object} and H_{object} indicate the width
 295 and height of the object, respectively.

296 By calculating Equation (1) for both the expected area (see Fig.8 (c)) and binarization of the input
 297 modality in each slide (see Fig.8 (b)), the distance-wise can be defined as

$$300 \quad Distance(i, j) = \frac{\sqrt{(x_{ci} - x_{cj})^2 + (y_{ci} - y_{cj})^2}}{\text{Number of rows of the image}} \quad (4)$$

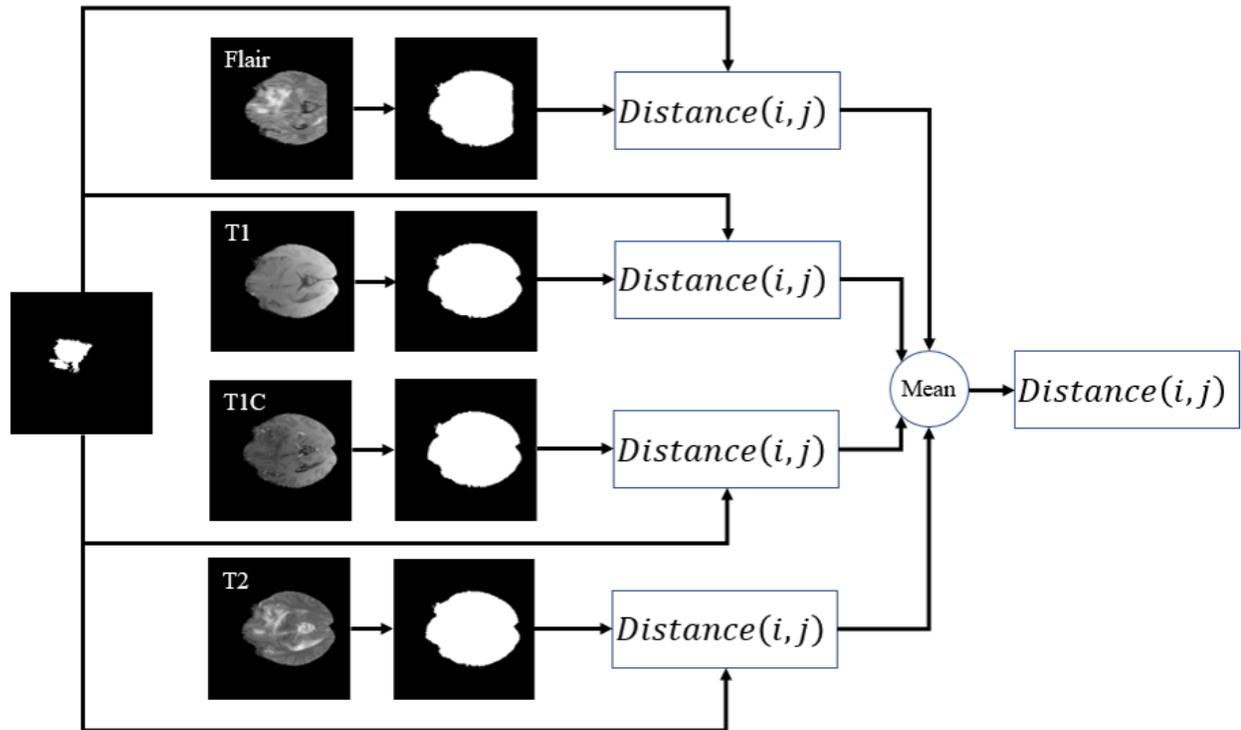
301 where i and j represent the binarized input modality and expected region, respectively. To
 302 obtain the width W_{object} of the object in Equation (2), we need to count the number of pixels in
 303 each row that have the value 1, and then select the row with the maximum count. For calculating
 304 the height H_{object} , we do the same strategy but in vertical. Fig. 9 provides more details about
 305 computing parameters in the DAW module. As shown in Fig. 10, this process is done for all input
 306 modalities and the mean of them is fed to the output of the module for each slice.



307

308 Fig. 9. Illustration of parameter calculation in the Distance-Wise Attention (DAW) module. The blue and
 309 red pixels are the background and the brain, respectively. The expected area is represented by a yellow
 310 object. The size of the image is 240×240.

311



312
 313 Fig. 10. Distance calculation based on the center of the expected area and the four input modalities mask.

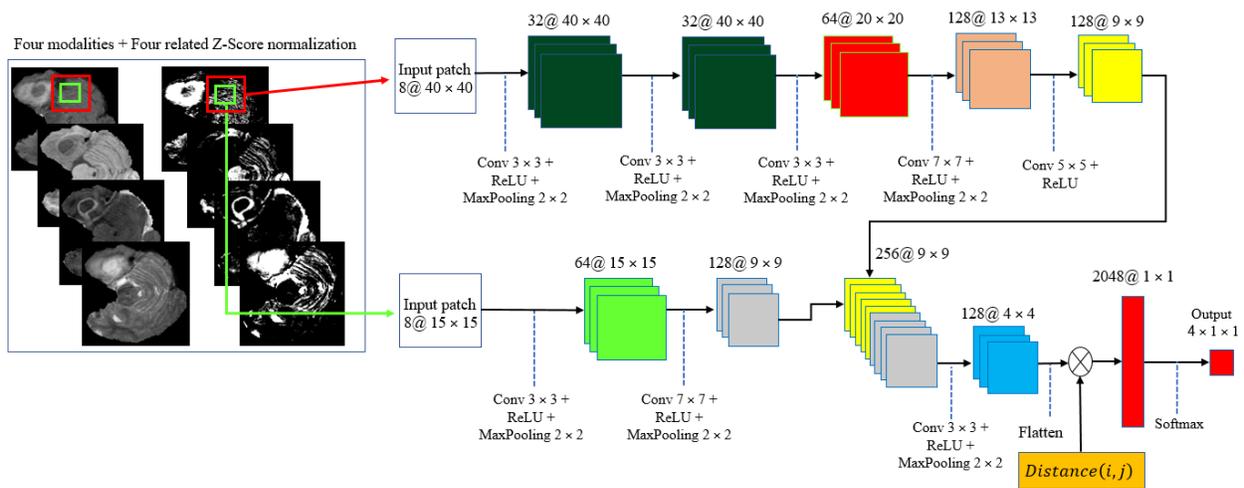
314
 315 **2.3.2 Cascade CNN model**

316 The flowchart of our cascade mode is depicted in Fig. 11. To capture as many rich tumor
 317 features as possible, we use four modalities, namely, fluid attenuated inversion recovery (Flair),
 318 T1-contrasted (T1C), T1-weighted (T1), T2-weighted (T2). Moreover, we add four corresponding
 319 Z-Score normalized images of the four input modalities to improve the dice score of segmentation
 320 results without adding more complicated layers to our structure.

321 Due to the use of a powerful preprocessing step that eliminates about 80% of the insignificant
 322 information of each input image, there is no need for a complex deep network such as [10], [22],
 323 [32]. In other words, by selecting approximately 20% of the whole image (this percentage is the
 324 mean of the whole slices of a patient) for each input modality and corresponding Z-Score
 325 normalized image, there fewer pixels to investigate.

326 Also, considering the effect of the center of the tumor to correct detection leads to improve the
 327 segmentation result without using a deep CNN model. So, in this study, a cascade CNN model
 328 with eight input images is proposed which employs the DWA module at the end of the network to
 329 avoid overfitting.

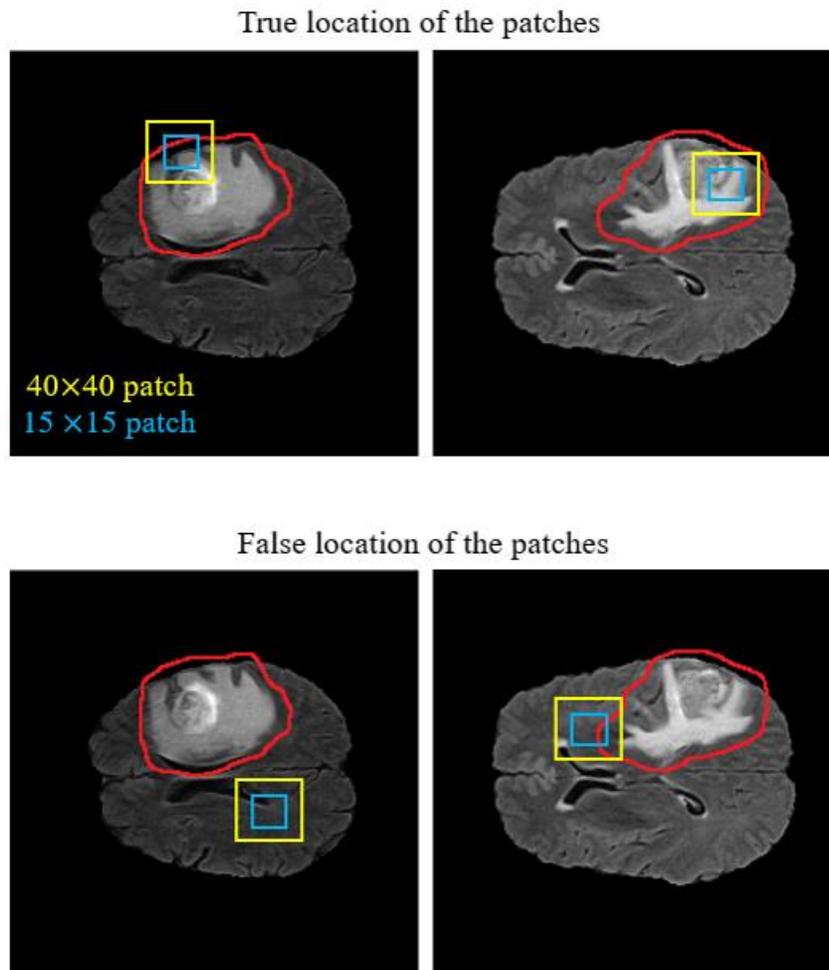
330 As demonstrated in Fig. 11, our CNN model includes two different routes which extract local
 331 and global features from the four input modalities and the corresponding Z-Score normalized
 332 images. The key goal of using the first route is detecting the pixels on the border of each tumor
 333 (the global feature), whereas the key goal of the second route is labelling each pixel inside the
 334 tumor (the local feature). In the first route, a 40×40 patch (red window) is selected from each
 335 input image to feed the network. It is worth noting that we extract only patches that have their
 336 centers located in the obtained expected area, as shown in Fig. 12. The presence of Z-Score
 337 normalized images improves the accuracy of the tumor border recognition. The number of
 338 convolutional layers for extracting the global feature is five. Unlike the first route, in the local
 339 feature extraction route, there are only two convolution layers and they are both fed with eight 15×15
 340 $\times 15$ input patches (green window). The core building block of the proposed CNN structure is
 341 expressed as the convolutional layer. This layer can calculate the dot-product between input data
 342 with arbitrary size and a set of learnable filters (masks), much like a traditional neural network
 343 [32], [48], [49].



344
 345 Fig. 11. Our implemented cascade structure. The green and red windows inside the input images represent
 346 the local and global patches, respectively. The DWA module is represented at the end of the structure before
 347 the FC layer.

348 The size of the applied masks is always smaller than the dimensions of the input data in all
 349 kinds of CNNs. Regularly, the first convolution layers which are applied at the beginning of the
 350 CNN model play a significant role in extracting low-level features such as luminance and texture
 351 discontinuity [50], [51]. The high-level features including tumor region masks are investigated in
 352 the deeper convolutional layers of the pipeline, while the middle convolutional layers are utilized

353 for investigating the mid-level features including edges, curves, and points.
354



355
356 Fig. 12. Our implemented cascade structure. The blue and yellow windows inside the input images represent
357 the local and global patches, respectively. The red contour indicates the obtained expected area.

358 As demonstrated in the first row of Fig. 12, the center of each patch is located inside the red
359 border, regardless of whether there is part of the window outside the red border or not. By doing
360 this, we do not investigate insignificant areas (which do not include the tumor). This is more
361 helpful and reasonable when we are encountering imbalanced data. So, samples of the lesion are
362 being equalized to the normal tissue which avoids overfitting in the training step. Additionally,
363 this approach is helpful when dealing with images of various sizes and thicknesses as insignificant
364 parts of the images are discarded before affecting the recognition of the tumor algorithm.

365 After each convolution layer, there is an activation layer that helps the network to learn

366 complex patterns without changing the dimension of the input feature maps [52]. In other words,
367 in the case of an increased number of layers and to overcome the vanishing gradient problem in
368 the training step, an activation function is applied to each feature map to enhance the computational
369 effectiveness by inducing sparsity [51], [53].

370 In this study, all negative values are changed to zero using the Non-Linearity (ReLU)
371 activation function which acts as a linear function for positive and zero values. It means some
372 nodes obtain null weights and become useless and do not learn anything. So, fewer neurons would
373 be activated because of the limitations applied by this layer.

374 In contrast to the convolution operation, the pooling layer which is regularly incorporated
375 between two sequential convolutional layers has no parameters and summarizes the key
376 information without losing any details in the sliding window (mask). Additionally, as the
377 dimension of the feature maps (in both column and row) is decreased in this layer, the training
378 time will be smaller and mitigates overfitting [32], [49]. By using the max-pooling method in this
379 paper, the feature map is divided into a set of regions with no overlapping, then takes the maximum
380 number inside each area.

381 As in a CNN pipeline, the dimension of the receptive field does not cover the entire spatial
382 dimension of the image in the last convolutional layer, the produced maps by the last convolutional
383 layer related to only an area of the whole input image. Due to this characterization of the receptive
384 field, to learn the non-linear combinations of the high-level features, one or more FC layers have
385 to be used. It should be noticed that before employing the achieved feature maps in the fully
386 connected layer, these two-dimensional feature maps need to be changed into a one-dimensional
387 matrix [54]. Furthermore, to reduce the effect of the overfitting a dropout layer [55] with a 7%
388 dropout probability has been employed (before the FC layer).

389 Unlike the convolutional layers, the fully connected layers are composed of independent more
390 parameters, so they are harder to train [56]. The last layer in the proposed pipeline for the
391 classification task is the Softmax regression (Multi-class Logistic Regression) layer that is used to
392 distinguish one class from the others. This Multi-class Logistic regression can follow a probability
393 distribution between the range [0,1] by normalizing an input value into a vector of values. This
394 procedure demonstrates how likely the input data (image) belongs to a predefined class. It should
395 be mentioned that the sum of the output probability distribution is equal to one [24], [48].

396 In the proposed network, we employed the stochastic gradient descent approach as the cross-

397 entropy loss function to overcome the class imbalance problem [57]. This loss function calculates
398 the discrepancy between the ground truth and the network's predicted output. Also, in the output
399 layer, four logistic units were utilized to investigate the probabilities of the given sample belonging
400 to either of the four classes. The loss function can be formulated as follows:

$$401 \quad loss_i = -\log\left(\frac{e^{U_p}}{\sum_{d=1}^Q e^{U_d}}\right) \quad (5)$$

402 where $loss_i$ implies the loss for the i -th training sample. Also, U_p demonstrates the
403 unnormalized score for the ground-truth class P . This score can be generated by considering the
404 effect of the outputs of the former FC layer (multiplying) with the parameters of the corresponding
405 logistic unit. To get a normalized score to determine the between-class variation in the range of 0
406 and 3, the denominator adds the predicted scores for all the logistic units Q . As only four output
407 neurons have been used in this study, the value for Q is equal to four. In other words, each pixel
408 can be categorized into one of four classes.

409 **3. Experiments**

410 **3.1 Data and Implementation Details**

411 In this study, training, validation, and testing of our pipeline have been accomplished on the
412 BRATS 2018 dataset which includes the Multi-Modal MRI images and patient's clinical data with
413 various heterogeneous histological sub-regions, different degrees of aggressiveness, and variable
414 prognosis. These Multi-Modal MR images have the dimensions of $240 \times 240 \times 150$ and were
415 clinically obtained using various magnetic field strengths, scanners, and different protocols from
416 many institutions that are dissimilar to the Computed Tomography (CT) images. There are four
417 MRI sequences for training, validation, and testing steps which include the Fluid Attenuated
418 Inversion Recovery (FLAIR), highlights water locations (T2 or T2-weighted), T1 with
419 gadolinium-enhancing contrast, and highlights fat locations (T1 or T1-weighted).

420 This dataset includes 75 cases with LGG and 210 cases with HGG which we randomly
421 divided into training data (80%), validation data (10%), and test data (10%). Also, labels of images
422 were annotated by neuro-radiologists with tumor labels (necrosis, edema, non-enhancing tumor,
423 and enhancing tumor are represented by 1, 2, 3, and 4, respectively. Also, the zero value indicates
424 a normal tissue). Label 3 is not used.

425 The experimental outcomes are achieved for the proposed structure using MATLAB on Intel

426 Core I7- 3.4 GHz, 32 GB RAM, 15 MB Cache, over CUDA 9.0, CuDNN 5.1, and GPU 1080Ti
427 NVIDIA computer under a 64-bit operating system. We adopted the Adaptive Moment Estimation
428 (Adam) for the training step, with a batch size 2, weight decay 10^{-5} , an initial learning rate 10^{-4} .
429 We took in total 13 hours to train and 7s per volume to test.

430 **3.2 Evaluation measure**

431 The effectiveness of the approach is assessed by metrics regarding the enhancing core (EC),
432 tumor core (TC, including necrotic core plus non-enhancing core), and whole tumor (WT,
433 including all classes of tumor structures). The Dice similarity coefficient (DSC) is employed as the
434 evaluation metric to compute the overlap between the ground truth and the predictions.

435 The experimental results were obtained using the three criteria, namely HAUSDORFF99,
436 Dice similarity, and Sensitivity [23], [58]–[60]. The Hausdorff score assesses the distance between
437 the surface of the predicted regions and that of the ground-truth regions. Dice score is employed
438 as the evaluation metric for computing the overlap between the ground truths and the predictions.
439 Specificity (actual negative rate) is the measure of non-tumor pixels that have been calculated
440 correctly. Sensitivity (Recall or True positive rate) is the measure of tumor pixels that have been
441 correctly calculated. These three criteria can be formulated as:

$$442 \quad \text{DICE}(R_p, R_a) = 2 * \frac{R_p \cap R_a}{R_p + R_a} \quad (6)$$

$$443 \quad \text{Sensitivity} = (R_p \cap R_a) / (R_a) \quad (7)$$

444 where R_p , R_a , and R_n demonstrate the predicted tumor regions, actual labels, and actual non-
445 tumor labels, respectively.

446 **3.3 Experimental results**

447 To have a clear understanding and for quantitative and qualitative comparison purposes, we
448 also implemented five other models (Multi-Cascaded [34], Cascaded random forests [10], Cross-
449 modality [22], Task Structure [21], and One-Pass Multi-Task [23]) to evaluate the tumor
450 segmentation performance. Quantitative results of different kinds of our proposed structure are
451 presented in [Table 1](#).

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Table 1. Evaluation results with different pipeline configurations on BRATS 2018 dataset.

Method	Dice score (mean)			Sensitivity (mean)		
	Enh.	Whole	Core	Enh.	Whole	Core
Two-route CNN	0.2531	0.2796	0.2143	0.2456	0.2569	0.2007
Global route CNN + Attention mechanism	0.3128	0.3410	0.3025	0.3343	0.2947	0.2896
Local route CNN + Attention mechanism	0.3412	0.3671	0.3625	0.3356	0.3819	0.3808
Two-route CNN + Attention mechanism	0.4136	0.3754	0.3988	0.3910	0.3951	0.3822
Global route CNN + Preprocessing	0.7868	0.7916	0.7867	0.7426	0.7965	0.7448
Local route CNN + Preprocessing	0.8602	0.8343	0.8516	0.8751	0.8569	0.8485
Two-route CNN + Preprocessing	0.8756	0.8550	0.8715	0.8941	0.9036	0.8512
Proposed method	0.9113	0.9203	0.8726	0.9217	0.9386	0.9712

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From Table 1, we can observe that the two-route CNN model without using a preprocessing approach is not able to segment the tumor area properly. Adding an attention mechanism to a two-route model without using the preprocessing method causes to gain better segmentation results in terms of all three criteria. Also, by adding the preprocessing approach, the Dice scores in three tumor regions observe a surge increase from 0.2531, 0.2796, and 0.2143 to 0.8756, 0.8550, and 0.8715 for End, Whole, and Core, respectively. Despite only having a one-route CNN model (local or Global features) and thanks to the use of the preprocessing approach, the CNN model consistently obtains improved segmentation performance in all tumor regions. Moreover, it is observed that the use of the preprocessing method is more influential than only using an attention mechanism. In other words, the proposed attention mechanism can be more helpful when we are dealing with a smaller part of the input image extracted by the preprocessing method. By comparing the effect of local and global features, it can be recognized that the local features are more effective than global features.

The Dice, Sensitivity, and HAUSDORFF99 values of all input images using all the structures are described in Table 2. For each index in Table 2, the highest Dice, Sensitivity, and the smallest HAUSDORFF99 values are highlighted in bold. From Table 2, it is obvious that our strategy can achieve the highest Sensitivity values in Enh and Whole tumor areas and the highest value for the

474 Core area was obtained by [10]. Also, there is a minimum difference between the values of
 475 HAUSDORFF99 using [34] and [23]. In [22], there is a significant improvement in the Enh area
 476 for all three measures. Also, [21] achieves the worst results in the Whole and Core areas for
 477 HAUSDORFF99 measure.

478

479 Table 2. Comparison between the proposed method and other baseline approaches on BRATS 2018
 480 dataset.

Method	Dice score (mean)			Sensitivity (mean)			HAUSDORFF99 (mm)		
	Enh.	Whole	Core	Enh.	Whole	Core	Enh.	Whole	Core
[34] Multi-Cascaded	0.7178	0.8824	0.7481	0.8684	0.7621	0.9947	2.80	4.48	7.07
[10] Cascaded random forests	0.75	0.86	0.79	0.83	0.91	0.86	-	-	-
[22] Cross-modality	0.903	0.791	0.836	0.919	0.846	0.835	4.998	3.992	6.369
[21] Task Structure	0.782	0.896	0.824	-	-	-	3.567	5.733	9.270
[23] One-Pass Multi-Task	0.811	0.908	0.857	-	-	-	2.881	4.884	6.932
Proposed method	0.9113	0.9203	0.8726	0.9217	0.9386	0.9712	1.669	1.427	2.408

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483 Table 3. Comparison of execution time of different techniques applied on BRATS 2018 dataset for one
 484 subject patient.

Approach	Multi-Cascaded [34]	Cascaded random forests [10]	Cross-modality [22]	Task Structure [21]	One-Pass Multi- Task [23]	Proposed method
Time	261 sec	314 sec	208 sec	193 sec	277 sec	84 sec

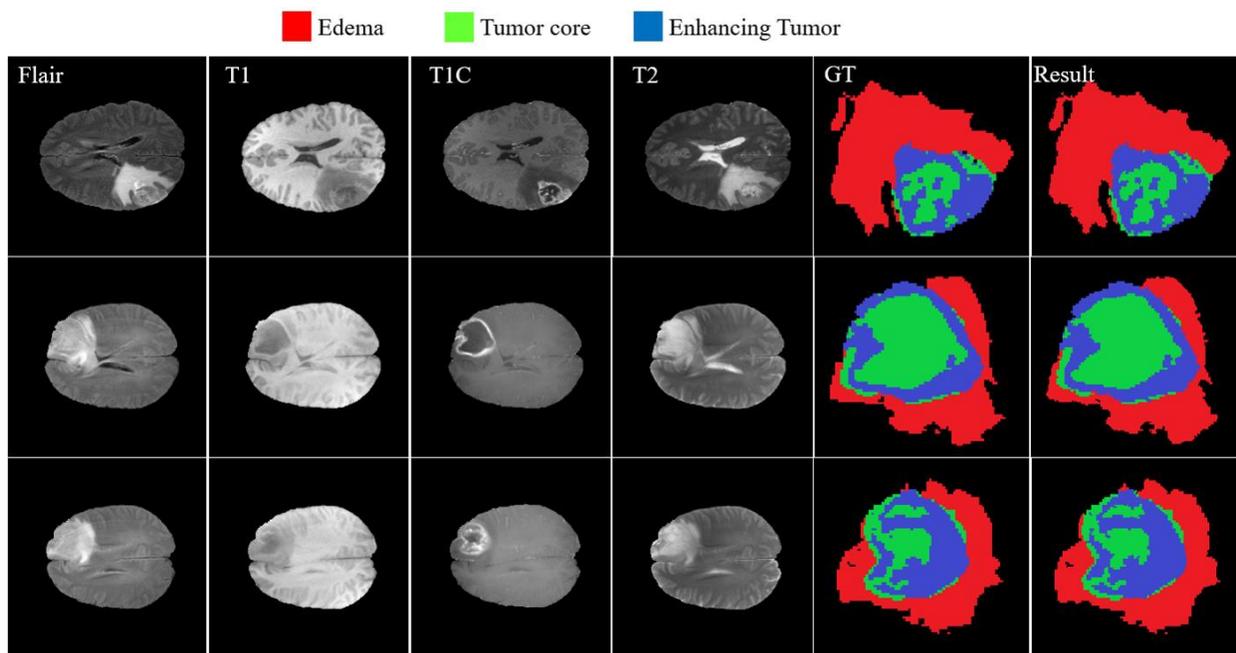
485

486 Notice that when using the proposed method, all criteria were improved in comparison to
 487 other mentioned approaches, but the sensitivity value in the Core area using [34] is still higher. To
 488 our best knowledge, there are three reasons. First, the proposed strategy pays special attention to
 489 removing insignificant regions inside the four modalities before applying them to the CNN model.
 490 Second, our method uses both the local and global features with different numbers of convolutional
 491 layers which explores the richer context tumor segmentation. Third, by considering the effect of
 492 the dissimilarity between the center of the tumor and the expected area, the network can be biased

493 to a proper output class. Additionally, compared to the state-of-the-art algorithms with heavy
494 networks, such as [22] and [23], our approach obtains more promising performance and decreases
495 the running time by only using a simple CNN structure. Moreover, as shown in Table 3, the
496 proposed method is faster at segmenting the tumor than other compared models.

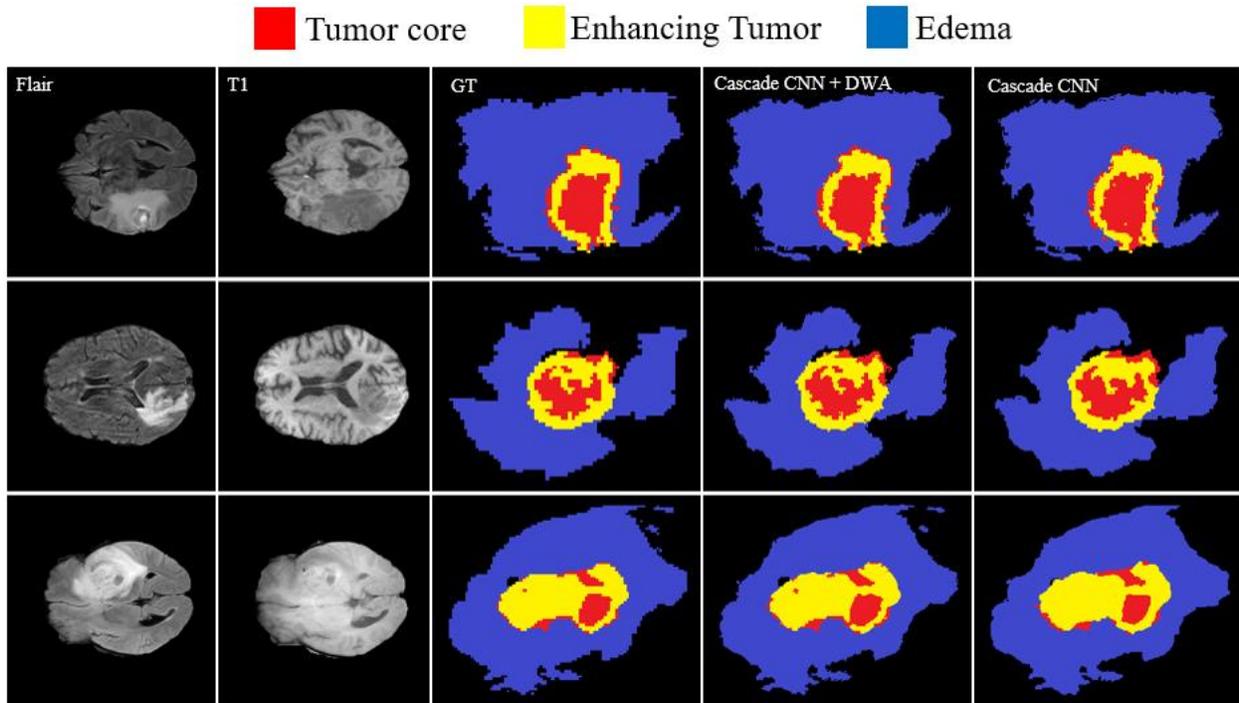
497 [Fig. 13](#) provides a visual demonstration of the good results achieved by our approach on the
498 BRATS 2018 dataset. As shown, all regions have a mutual border with all of the other regions.
499 Due to the difference between the value of tumor core and enhancing areas inside the TIC images
500 (third column), the border between them can be easily distinguished with a high rate of accuracy
501 without using other modalities. But it is not true when we are dealing with the border of a tumor
502 core, edema areas, or enhanced edema areas. Due to these mentioned characteristics of each
503 modality, we observe that there is no need for a very deep CNN model if we decrease the searching
504 area.

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506
507 Fig. 13. The results of brain tumor segmentation using the proposed strategy (the blue, green, and red colors
508 are enhanced, core, and edema regions respectively).

509 Owing to the use of the DWA module, our model can mine more unique contextual
510 information from the tumor and the brain which leads to a better segmentation result. [Fig. 14](#) shows
511 the improved segmentation resulting from the application of the DWA module in the proposed
512 method—particularly in the border of touching tumor areas.



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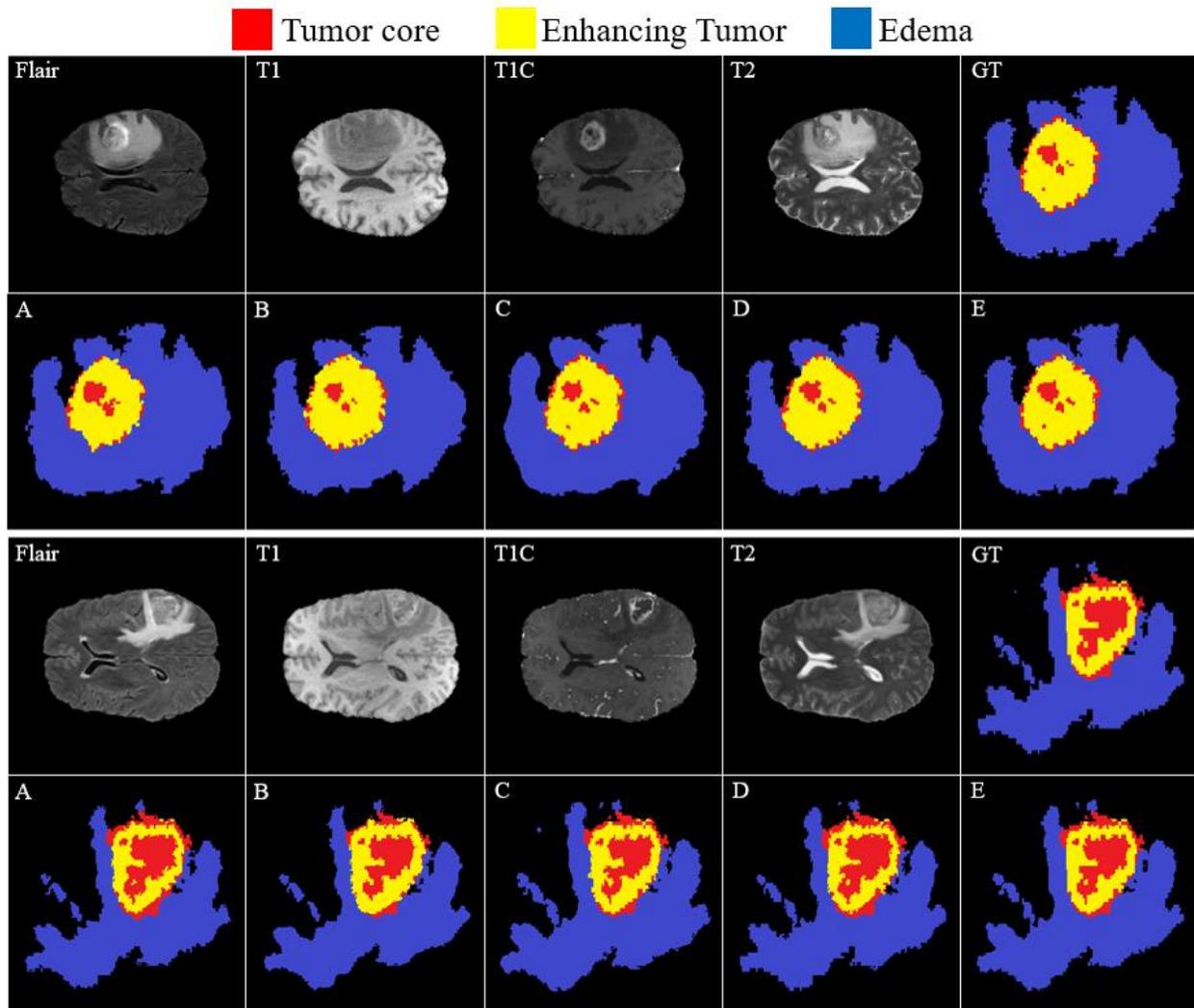
514 Fig. 14. Comparing the results of brain tumor segmentation by applying DWA method to the proposed
 515 CNN structure. The blue, yellow, and red colors are edema, enhanced, and core regions respectively.

516

517 The comparison between the baseline and our model in Fig. 15 shows the effectiveness of the
 518 proposed method in the capability of distinction between all four regions.

519 Fig. 15(GT) indicates the ground truth corresponding to all four modalities in the same row.
 520 The Multi-Cascaded (Fig. 15(A)) and Cascaded random forests (Fig. 15(B)) approaches show
 521 satisfactory results in detecting the Edema area but cannot detect the small regions of Edema
 522 outside the main Edema body. The Cross-modality (Fig. 15(C)) and One-Pass Multi-Task (Fig.
 523 15(D)) approaches gain promising results in detecting the tumor Core and Enhancing areas,
 524 especially in detecting tumor Core in outside border of the Enhancing area.

525



526
 527 Fig. 15. Comparing the results of brain tumor segmentation using the proposed strategy with four state-of-
 528 art methods (the blue, yellow, and red colors are edema, enhanced, and core regions respectively). (A)
 529 Multi-Cascaded [34], (B) Cascaded random forests [10], (C) Cross-modality [22], (D) One-Pass
 530 Multi-Task [23], and (E) Our method.

531 It is illustrated that some separated Edema regions are stuck together in final segmentation
 532 using the Cross-modality method. As shown in Fig. 15(C), applying the Cross-modality structure
 533 reaches the minimum segmentation accuracy for detecting the Edema regions compared to others.
 534 This model under-segments the tumor Core areas and over-segments the Edema areas. The One-
 535 Pass Multi-Task approach shows a better core matching with the ground-truth compared to Fig.
 536 15(A-C) but still has insufficient accuracy, especially in the Edema areas. Based on our evaluation,
 537 estimation of the three distinct regions of the brain tumor using an attention-based mechanism is

538 an effective way to help specialists and doctors to evaluate the tumor stages which is of high
539 interest in computer-aided diagnosis systems.

540 **4. Discussion and Conclusions**

541 In this paper, we have developed a new brain tumor segmentation architecture that benefits
542 from the characterization of the four MRI modalities. It means that each modality has unique
543 characteristics to help the network efficiently distinguish between classes. We have demonstrated
544 that working only on a part of the brain image near the tumor tissue allows a CNN model (that is
545 the most popular deep learning architecture) to reach performance close to human observers.
546 Moreover, a simple but efficient cascade CNN model has been proposed to extract both local and
547 global features in two different ways with different sizes of extraction patches. In our method, after
548 extracting the tumor's expected area using a powerful preprocessing approach, those patches are
549 selected to feed the network that their center is located inside this area. This leads to reducing the
550 computational time and capability to make predictions fast for classifying the clinical image as it
551 removes a large number of insignificant pixels off the image in the preprocessing step.
552 Comprehensive experiments have indicated the effectiveness of the Distance-Wise Attention
553 mechanism in our algorithm as well as the remarkable capacity of our entire model when compared
554 with the state-of-the-art approaches.

555 Although the proposed approach's outstanding results compared to the other recently
556 published models, our algorithm has still limitations when encountering tumor volume of more
557 than one-third of the whole of the brain. This is because of an increase in the size of the tumor's
558 expected area which leads to a decrease in the feature extraction performance.

559 **Acknowledgement**

560 The author Malika Bendeche is supported, in part, by Science Foundation Ireland (SFI)
561 under the grants No. 13/RC/2094_P2 (Lero) and 13/RC/2106_P2 (ADAPT).

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