

Design and Application of a Plasma Impedance Monitor for RF Plasma Diagnostics

A thesis for the degree of M.Sc.

**Presented to
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By

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DECLARATION

I hereby certify that this material, which I now submit for assessment on the programme of study leading to the award of M.Sc., is entirely my own work and has not been taken from the work of others save and to the extent that such work has been cited and acknowledged within the text of my work.

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18th September 2000

ACKNOWLEDGEMENTS

The *Plasma Impedance Monitor (PIM)* is the result of a commercial project funded by *Scientific Systems Ltd* and is now a leading product for *Scientific Systems Ltd* under the trade name *SmartPIM*. *Scientific Systems Ltd* designs and manufactures RF plasma sensors and instruments for RF plasma process control and diagnostics.

Due to the complexity and commercial nature of the project it was necessary to employ the skills of other people to help with the design of the PIM in order to complete the project within the period required by *Scientific Systems Ltd*. I wish, therefore, to acknowledge those people who have helped to successfully complete the design of the PIM.

The main project tasks, and those responsible, can be outlined as follows:

Project Concept

The concept and basic design of a Plasma Impedance Monitor came from Dr Mike Hopkins (*Plasma Research Laboratory, DCU*), who, through regular meetings with the key personnel, ensured that the project goal and system design were completed as required. Mike was directly involved in all aspects of the system design, including both hardware and software.

Project Management

It was the author's responsibility to ensure that the project schedule was maintained through regular meetings and correspondence with the personnel involved.

RF Vector Integrator

Mr John Matthews (*Teltec Irl, DCU*) performed the majority of the digital electronics design and the final circuit board layout. John was contracted by *Scientific Systems Ltd* to do some of the design due to commercial constraints. The author was involved in most of the analogue electronics design and in some of the digital electronics design. The author was also responsible for component purchasing and the manufacture of the first prototypes. The author has been responsible for all subsequent design modifications of the *RF Vector Integrator* resulting in the final system as traded by *Scientific Systems Ltd*.

IV Sensor

Mr Ciaran O'Morain (*Scientific Systems Ltd*) designed the mechanical components of the IV Sensor. The author was responsible for the design of the PCB sensor.

PIMSoft.

Mr Andrew Graydon (*Scientific Systems Ltd*) performed the design of PIMSoft.

PIMCode

The author performed the design of PIMCode, with help from Mike and Andrew with the communication and analysis sections.

Testing

Mr John Matthews and the author performed functional tests of the various sections of the RF Vector Integrator. Tests of the complete system (i.e. *RF Vector Integrator*, *IV Sensor* and software applications) were performed by those responsible for each component. The author performed testing of the system using a test rig known as a Dynamic Dummy Load, or DDL.

Calibration

The author performed calibration of the complete system.

I would also like to thank and acknowledge everyone else who helped me during this period, especially my friends and companions in DCU, the technicians (especially Alan and Des), lecturers, and the other members of the Plasma Research Laboratory - Sam, Barry, Deirdre, Brendan, Jim, Seamus, the two Catherine's, Declan and John.

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Finally, a special word of thanks to my parents without whose support during both my education and personal life I would not now be writing this. It is with great sadness that I cannot share this moment with my Father (due to his bereavement) who has undoubtedly had the biggest influence on my life and career. I therefore dedicate this dissertation to him.

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ABSTRACT

This thesis presents the design and application of a *Plasma Impedance Monitor* (PIM) as a plasma diagnostic for use with RF plasmas, focusing on its potential use in the semiconductor manufacturing industry. The plasma impedance monitor system was designed to measure the amplitude and phase of the first five Fourier components (harmonics) of the plasma voltage and current of RF plasma process reactors.

The first two chapters of this thesis discuss Plasma and Plasma Process Reactors as commonly used in the manufacture of semiconductors. The most important parameters of a plasma in terms of materials processing are introduced as are the most common types of plasma process reactors and their characteristics.

Chapters 3 and 4 discuss the PIM hardware and its method of calibration. In chapter 3, the RF sensor and waveform sampling card, known as the IV Sensor and RF Vector Integrator respectively, are discussed in detail. The technique of measuring the RF voltage and current using the IV Sensor and the unique waveform sampling technique used by the sampling card are explained. In chapter 4, the method of calibration for the IV Sensor and RF Vector Integrator are explained. Finally, the use of a Dynamic Dummy Load (DDL) to test the performance of the system is shown.

Chapter 5 demonstrates the measurement repeatability and resolution of the PIM as used with a commercial plasma process reactor. In chapter 6 some applications of the PIM for use in the semiconductor manufacturing industry are explained and demonstrated.

As the manufacture of integrated circuits becomes more complex due to the reduction in component size, improved control of the manufacturing processes becomes essential. Present day plasma processing tools provide real-time information such as RF power, chamber pressure, temperature, gas flow rate and gas composition that are used to control the process. However, the reduction in device geometry, the need for higher yield, and the high cost of equipment ownership means that engineers require tighter control of the process and must be able to diagnose process and machine faults quickly and efficiently.

Plasma-based processing systems can be characterised by their RF electrical parameters and it has been shown that changes in process conditions such as RF power or matching efficiency can be correlated to variability in process results and wafer quality. The use of a plasma impedance monitor to measure and control the electrical properties of the RF discharge, and ultimately detect or predict process faults, can therefore become a most valuable process diagnostic.

Chapter 1

INTRODUCTION TO PLASMA

Chemically reactive plasma discharges are widely used to modify the surface properties of materials. It is due to this development that plasma processing has now become an essential process of some of the largest manufacturing industries in the world. Most applications based on plasma enhanced chemical processing focus on treating solid surfaces, film deposition, modification of surfaces, and etching of surface layers [1,2,6]

1.1 Plasma

Plasma is the fourth state of matter [2] and is the state of most of the visible matter in the universe. The solid, liquid, and gaseous states of matter that occur at the surface of the earth are not typical of matter in the universe at large. In a plasma, the energy of the particles is so great that the electric forces that bind the electrons to the nucleus of the gas atoms are overcome. The resulting assembly can be thought of as a gas consisting of positively and negatively charged particles moving in random directions, that is, on average, electrically neutral. The motion of the charged particles can cause local concentrations of charges that affect the motion of other particles some distance away. Therefore, the elements of the plasma can affect each other resulting in the plasma having a collective behaviour [1,2,3]. It is due to this collective behaviour that plasma is referred to as the fourth state of matter.

The majority of plasmas used for processing of materials are weakly ionised plasmas. Weakly ionised plasmas are plasma having a very small percentage of charged particles in relation to neutral particles. That is, the density of electrons, n_e , and ions, n_i , are only a small fraction of the density of the neutral particles, n_n . Typically, the degree of ionisation is of the order of 10^{-4} with the result that the plasma is essentially a neutral ground state gas [3]. However, it is the interaction, quantity and properties of the

various particles that determine the type of chemical process that a particular plasma will perform

A plasma is obtained when sufficient energy, higher than the ionisation energy, is added to atoms of a gas, causing ionisation and production of ions and electrons. The plasma is usually sustained by providing electromagnetic energy to the gas in different forms such as direct current, radio frequency and microwaves, for example. Plasmas are often referred to as gas discharges because the most common way to produce plasma is by passing an electrical current through the gas.

A simple RF plasma discharge is shown schematically in Figure 1.1. It consists of a RF voltage source that drives current through a low-pressure gas between two parallel conducting plates or electrodes. The atoms of the gas “break down” or “decompose” into freely moving charged particles that form a weakly ionised plasma. The plasma state is generated due to sufficient energy being supplied to the gas atoms from the external source that results in ionisation of the gas atoms.

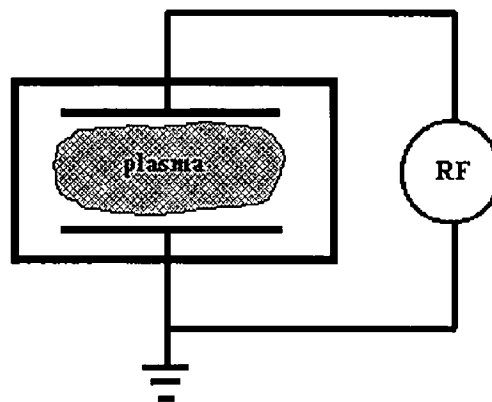


Fig 1.1 – Simple Plasma Discharge

1.2 Plasma Parameters

Plasma parameters are attributes of plasmas that allow a particular plasma to be described or compared to other similar plasmas. These characteristics arise from the basic nature of the plasma in that it is a partially ionised gas with equal numbers of positive and negative charges.

1.2.1 Plasma Density

The plasma density, that is the density of electrons, n_e , and ions, n_i , is an important parameter in plasma processing. The efficiency of the processes occurring in the plasma and their reaction rates are generally dependent directly on the density of the charged particles [1]. The electrons and ions affect the reactions occurring in plasma in different ways, but specifically, the reactions are controlled, or affected more by ion chemistry [2,8,13]. It is therefore important to achieve high ion densities to increase the rates of reactions involving ions.

1.2.2 Debye Length

In a plasma, electrons are attracted to the vicinity of an ion and shield its electrostatic field from the rest of the plasma. Similarly, an electron at rest repels other electrons and attracts ions. This effect alters the potential in the vicinity of a charged particle. If a plasma had an excess of positive or negative particles, this excess would create an electric field and the electrons will move to cancel the charge. The response of charged particles to reduce the effect of local electric fields is called *Debye Shielding* and the shielding gives the plasma its quasi-neutrality characteristic.

The electric potential developed is mostly near the surface of the particle, extending over a distance λ_D , called the *Debye Length*, which is defined by [1,3]

$$\lambda_D = \left(\frac{\epsilon_0 k T_e}{n_e e^2} \right)^{1/2} \quad (1.1)$$

where

- ϵ_0 = permittivity of free space
- k = Boltzmann's constant
- T_e = electron temperature
- n_e = electron density
- e = charge of the electron

As indicated by the above equation, the Debye length decreases with increasing electron density. An ionised gas is considered a plasma only if the density of the charged particles is large enough such that $\lambda_D \ll L$, where L is the dimension of the system [3]

1.2.3 Plasma Sheaths

When ions and electrons reach a surface that is in contact with the plasma, they recombine and are lost from the plasma. Electrons have a much higher thermal velocity than ions and reach the surface faster, leaving the plasma with a positively charged region in the vicinity of the surface. An electric field therefore develops near the surface in such a way as to make the net current zero. As a result, the surface achieves a negative potential relative to the plasma or, in other words, the surface is at a negative self-bias relative to the plasma [3,4]

The plasma is therefore normally at a positive potential relative to any surface in contact with it. The potential developed between the surface and the plasma bulk is confined to a layer of thickness of several Debye lengths. This layer of positive space charge that exists around all surfaces in contact with a plasma is called the *Plasma Sheath*. The sheath potential, V_s , is the electric potential developed across the plasma sheath. Only electrons having sufficiently high thermal energy will penetrate the sheath and reach the surface, which, being negative relative to the plasma, tends to repel the electrons. The value of the sheath potential adjusts itself in such a way that the flux of

these electrons is equal to the flux of ions reaching the surface. Its value, for a planar surface, is given by [1]

$$V_s = \frac{kT_e}{2e} \ln \left(\frac{m_e}{2.3m_i} \right) \quad (1.2)$$

where m_e = mass of electron
 m_i = mass of ion

Sheaths form around any surface in contact with the plasma. For example, a wafer will have a sheath formed around it. The sheath potential will directly influence the energy with which the ions strike the wafer and the resulting kinetic energies can severely affect a growing film or etching process [1,3,8]

1.2.4 Plasma Impedance and RF Harmonics

Models of RF discharges have been proposed by various researchers, and have led to the development of equivalent circuit models of plasmas [45,46,47,52]. By experiment, the electrical characteristics of plasmas can be determined and later verified using the plasma models. For low-pressure RF discharges, a slab of plasma of width l and cross-sectional area A has an impedance that is inductive with a magnitude that is given by [2]

$$Z = \frac{1}{j\omega C} \quad (1.3)$$

with $C = \frac{\epsilon_p A}{l}$ (1.4)

where ϵ_p = plasma dielectric constant
 A = cross-sectional area of plasma slab
 l = width of plasma slab

However, the sheath regions are capacitive and, in many applications, they have a larger impedance than that of the bulk plasma such that almost all of the applied RF voltage appears across the sheaths rather than the bulk plasma.

The width of the sheaths, and hence their impedance, vary with the applied RF current, generating harmonics of the RF voltage. The capacitance of a sheath region can be expressed as [2,48,49,50]

$$C = \frac{\epsilon_s A}{S(t)} \quad (1.5)$$

where ϵ_s = sheath dielectric constant
 A = cross-sectional area of plasma slab
 $S(t)$ = sheath thickness

Chapter 2

MATERIALS PROCESSING USING RF PLASMAS

The semiconductor manufacturing industry is the most important user of plasma-based processes. The plasma process is used as a miniature chemical factory in which precursor gases are broken into positive ions and chemically reactive agents which then flow to and physically react at the surface of the substrate. Plasma processes are used to deposit (grow), etch (remove), or dope (modify) the base material of the substrate. These steps are repeated throughout the manufacture of a modern integrated circuit (IC) and account for over a third of the hundreds of fabrication steps involved in making an IC [2]

2.1 Plasma Processing

Plasma Enhanced Chemical Processing (PECP) takes advantage of the high-energy electrons present in glow discharges to dissociate and ionise the molecules of the gas to form chemically reactive radicals and ions. The chemical processes occurring in plasmas are driven by the energy of the electrons and are not based on nor controlled by the temperature of the gas mixture or of the treated surface [1,8]. The high-energy electrons can transform a normally inert gas into a highly reactive medium that then reacts with the surface of the substrate [1,2]. Because of these conditions, thermal energy is not required to break chemical bonds and reactions can be promoted at low temperatures. This non-thermal, non-equilibrium process has the unique property of efficiently generating chemically reactive species at low temperatures. It is, therefore, possible to process substrates that do not have the thermal stability to withstand processing at higher temperatures [1]

All plasma enhanced chemical processes are the result of chemical reactions, the main difference between the various processes being the state of the final product. The main steps and the plasma processes used in the manufacture of semiconductors can be summarised as

- 1 Cleaning** - used to remove photoresist, residual organics, residual metallic contaminants, and native oxides or other oxides from the surface of the silicon surface. The cleaning plasma produces volatile products that convert the contaminants on the surface into gaseous oxides of carbon and other gaseous products. *Ashing* is a specific wafer cleaning process used for the removal of organic materials from inorganic materials.
- 2 Oxidation** - used to grow oxide films on metal or semiconductor surfaces. An oxygen plasma is normally used and the substrate may or may not be biased. Non-biased oxidation is referred to as *Plasma Oxidation* whereas biased oxidation is referred to as *Plasma Anodisation*.
- 3 Etching** - used for transferring a pattern to the silicon substrate. The pattern to be transferred is defined by mask prepared by lithographic techniques on layers of photoresist. Plasma etching is performed using reactive atoms and radical species that react with the surface to form volatile compounds that evaporate from the surface leaving an etched substrate. Plasma etching has developed into several different processes such as *Plasma Etching*, *Reactive Ion Etching*, and *Magnetically Enhanced Reactive Ion Etching*.
- 4 Deposition** – used to create or grow many different types of films on the wafer surface including insulators, semi-conductors and metals. The range of plasma-deposition processes is broadly divided into two areas: Plasma-Enhanced Chemical Vapour Deposition (PECVD) and Sputter Deposition.

Figure 2 1 shows a typical set of steps to create, for example, a metal film etched with submicron features on a silicon wafer substrate. In (a), the metal film is deposited, in (b), a photoresist layer is deposited over the film and in (c), the photoresist is selectively exposed using the desired pattern. After this, in step (d), the resist is developed, removing the exposed photoresist regions and leaving behind a patterned photoresist mask. During step (e), the pattern is transferred into the film by an etch process while the remaining photoresist protects the underlying film from being etched. Finally, in (f), the remaining photoresist is removed. Of these six steps, plasma processing is generally used for steps (a) and (e), and may be used for steps (d) and (f) [2]

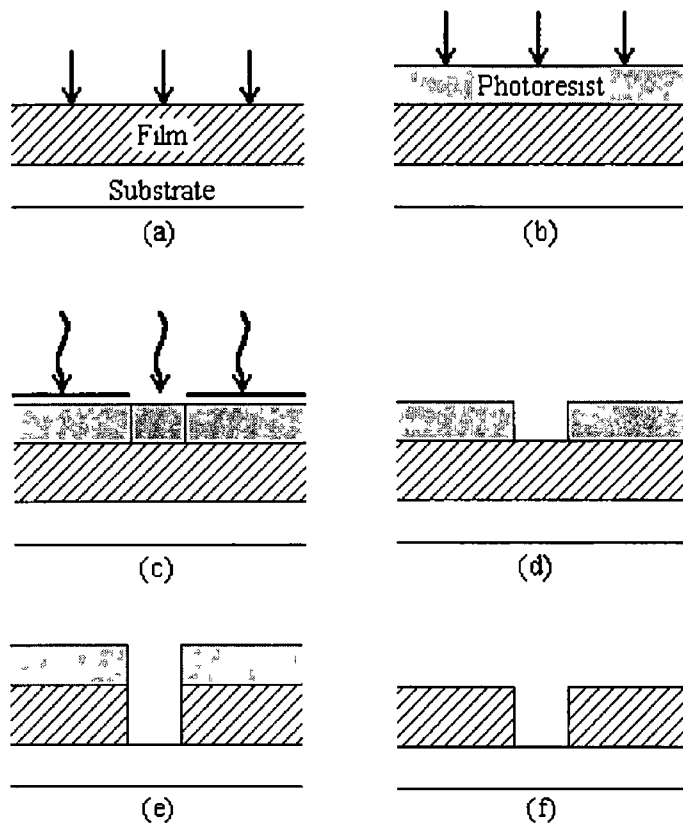


Fig 2 1 – Deposition and pattern transfer in manufacturing an integrated circuit

2.2 Plasma Process Reactors

The most common types of low-pressure plasma discharges for materials processing are those sustained by radio-frequency (RF) currents and voltages. Two classes of RF plasma discharges are presently used, the capacitively coupled and inductively coupled RF plasmas. RF generators are used to drive the discharges, the most widespread frequency being 13.56 MHz. In the manufacture of semiconductors, the wafer or substrate is placed on one electrode (which can be either grounded or biased by a second RF source), precursor gases flow through the discharge, and depleted gases are removed by a vacuum pump. Figure 2.2 shows a block diagram of a plasma system used for material processing.

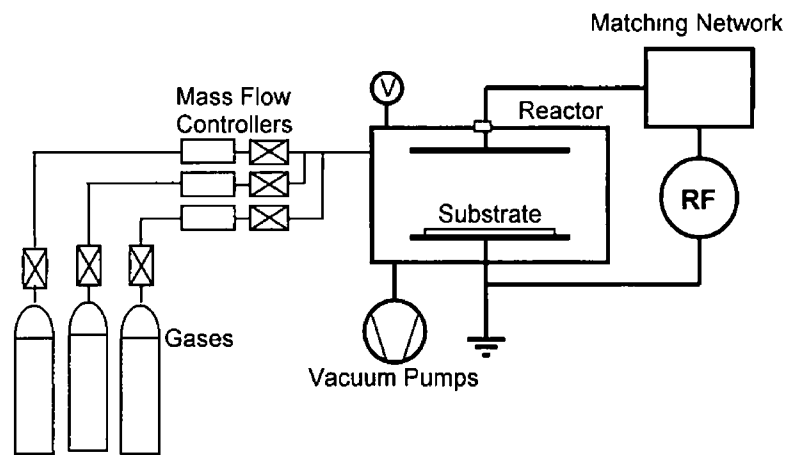


Fig 2.2 – Outline of Plasma System for Material Processing

2.2.1 Capacitive RF Process Reactors

The basic capacitive reactor, or so-called RF Diode, consists of a voltage source that drives RF current through a low-pressure gas between two parallel plates or electrodes. Figure 2.3 shows a capacitive plasma chamber, called a Remberg reactor, which is used for materials processing. The radial flow of the gas assists in uniform processing of the wafers.

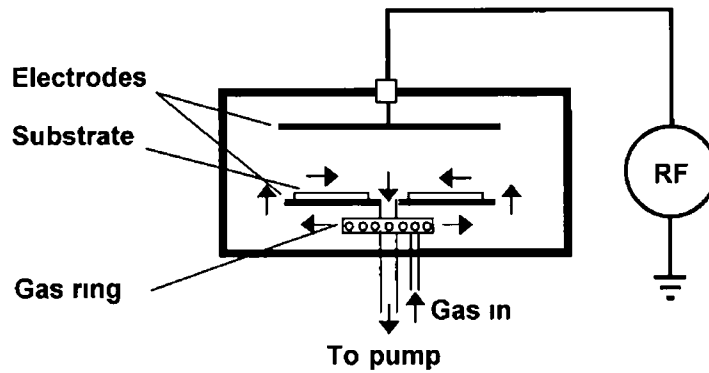


Fig 2 3 - Capacitive RF process reactor

The RF driving voltage, V_{rf} , is typically in the range 100V to 1000V, with an electrode spacing of 2cm to 10cm. Typical pressures are in the range 10mTorr to 100mTorr giving plasma densities of $10^9 - 10^{11} \text{ cm}^{-3}$. The electron temperature is of order 1eV to 20eV and ion acceleration energies (sheath voltages) are high, usually greater than 200V [2,3,7]. When operated at low pressure, with the wafer mounted on the powered electrode and the plasma used to remove substrate material, such reactors are commonly called Reactive Ion Etchers (RIE's).

Increasing the RF driving voltage will increase the flux or density of ions but such a procedure is inevitably accompanied by an unwelcome increase in the energy of the bombarding ions, which can result in substrate damage. Herein lies the intrinsic disadvantage of the capacitively coupled RF plasma as a source of processing plasma - the flux and energy of the bombarding ions cannot be varied independently [2,13,14].

Various methods exist which improve the performance of the common RF diode system by serving to increase or control the ion bombarding energy independently of the ion and neutral fluxes. Some control over the ion-bombarding energy can be achieved by placing the wafer on the undriven electrode and independently biasing this electrode with a second RF source [2,8]. Normally, this second RF source is at a different frequency than the RF source used to generate the plasma, and serves to control the sheath potential. Although RF triode systems are in use, processing rates are still low at low pressures and sputter contamination is an issue.

Magnetically enhanced RF diodes and triodes have also been developed. These include, for example, Magnetically Enhanced Reactive Ion Etchers (MERIEs) or RF Magnetrons [7,9,14], in which a dc magnetic field is applied parallel to the powered electrode on which the wafer sits. The magnetic field increases the efficiency of power transfer from the source to the plasma and also enhances plasma confinement. This results in a reduced sheath voltage and an increased plasma density.

2.2.2 Inductive RF Reactors

The limitations of RF diodes and their magnetically enhanced variants have led to the development of a new generation of low pressure, high-density RF plasma sources for improved process rates [1,2,8,20,23]. A common feature of these sources is that the power (RF or microwave) is coupled to the plasma across a dielectric window [9,10,15], rather than by direct connection to an electrode in the plasma. This non-capacitive power transfer is the key to achieving low sheath voltages and hence, low ion acceleration energies, at all surfaces [2,4,9]. To control the ion energy, the electrode on which the wafer is placed can be independently driven by a capacitively coupled RF source. Hence, independent control of the ion flux (through the source power) and energy (through the wafer electrode power) is possible [8,10,18].

In an RF inductive discharge the plasma acts as a single-turn, lossy conductor that is coupled to a multi-turn non-resonant RF coil. RF power is inductively coupled to the plasma by transformer action. RF current passing through the coil produces an oscillating induced electric field that is capable of generating and maintaining a plasma [9,10,16,21,22,30].

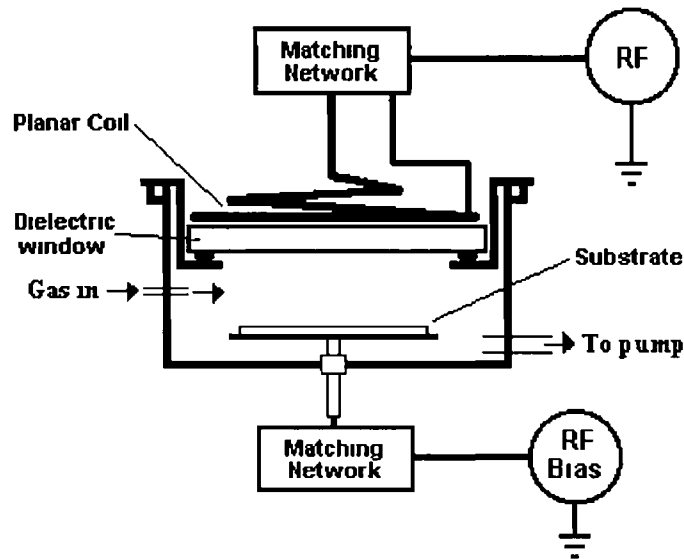


Fig. 2 4 – Planar Inductive RF process reactor

Two coil configurations, cylindrical and planar, are possible. The planar coil [11,12] is a flat helix wound from near the axis to near the outer radius of the discharge chamber, as shown in Figure 2 4. Multipole permanent magnets can be used around the process chamber to increase the radial uniformity of the plasma [10,14]. The planar coil can also be moved closer to the wafer surface, resulting in a close-coupled or near-planar source geometry having good uniformity properties even in the absence of multipole confinement. The planar coil configuration is the most common type of inductively coupled plasma source used in materials processing. It is capable of high density ($10^{10} - 10^{12} \text{ cm}^{-3}$), low pressure ($<50\text{mTorr}$) discharges [10,13,14,20,22].

Although the RF driving voltage (at the high voltage end of the coil) is comparable to that of capacitive discharges, only a small fraction of the voltage appears across the sheath, such that the ion energy loss is considerably lower. Hence, the inductive discharge is significantly more efficient than the capacitive discharge [9,14,16]. In high-density inductive discharges, the sheath thickness, and hence, sheath capacitance, is much smaller than that in capacitive discharges due to the higher electron density [1,2]. Therefore, the sheath voltage is much smaller in an inductive discharge as a result of the capacitive voltage divider effect of the plasma and sheath capacitance's [2].

Chapter 3

PLASMA IMPEDANCE MONITOR

The *Plasma Impedance Monitor* (PIM) is an RF impedance probe that measures the amplitude and phase of the first five Fourier components (harmonics) of the plasma voltage and current. The PIM consists of a novel Current/Voltage Sensor (IV Sensor) and state-of-the-art data acquisition electronics (RF Vector Integrator). The RF Vector Integrator is contained within a 19" rack case that also includes an IBM compatible embedded PC. This assembly is referred to as the embedded system. A standard PC (Host PC) is connected to the embedded system using an RS232 link. Software executed on the embedded PC controls the RF Vector Integrator and communicates with the host PC. The host PC is used mainly to display the plasma parameters calculated by the software analysis routines of the embedded system. A typical process chamber set-up using the PIM is shown in Figure 3.1

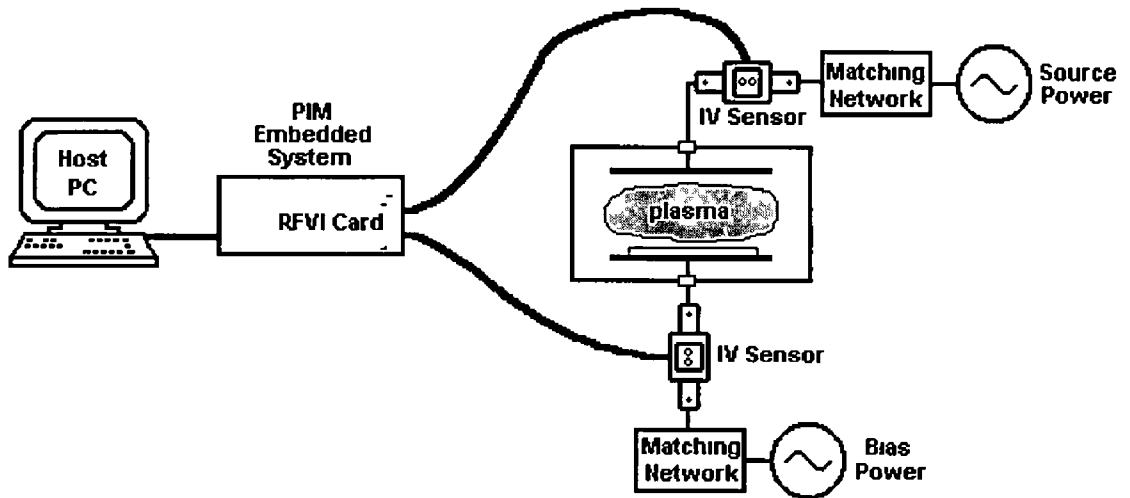


Fig 3.1 – Typical Installation of the PIM System

3.1 Present Measurement Techniques

In principle, voltage and current measurements are straightforward. Some techniques involve either commercial or custom built probes using expensive measuring equipment [19,28,35,42,43]. The most successful methods digitise the sampled RF current and voltage waveforms and analyse the digitised waveforms using digital signal processing techniques to extract the required parameters. However, the low-bit resolution of present time high-speed analogue-to-digital converters results in inadequate accuracy and precision required for plasma diagnostics. In addition, the requirement for extremely fast RAM to store the digitised waveforms makes such a system expensive.

In practice, the main technical difficulties with RF current and voltage sensors are due to inadequate shielding of the current and voltage sensors from stray magnetic and electric fields. The most critical of these effects is that on the calculation of power due to phase measurement errors [18, 19]. For example, an error of 0.5° at a phase angle of 88° results in a 20% to 25% error in the calculated power [18].

3.1.1 Conventional IV Sensor

The standard approach to sensing the current and voltage delivered to the process chamber is shown schematically in Figure 3.2. The RF Current is measured by a single loop current sensor and the RF Voltage by a capacitive pickup placed near the RF power conductor [19].

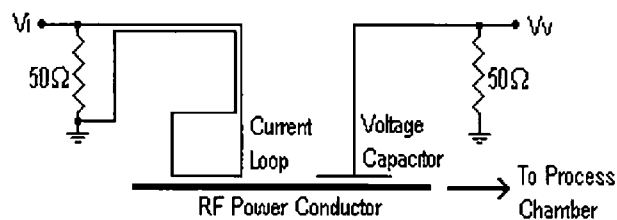


Fig 3.2 - Conventional RF Current/Voltage Sensor

The voltage sensor is capacitively coupled to the RF power conductor and is terminated by a 50Ω resistance. The electric field generated by the RF voltage on the power conductor between the sensor and the power conductor results in a charge being developed on the voltage sensor. The charge is related to the capacitance between the sensor and the power conductor, and to the RF voltage. This charge results in a voltage being developed across the terminating resistance that is given by the equation [19,33,34]

$$V_v = RC \frac{dV_{rf}}{dt} \quad (3.1)$$

where V_v is the voltage produced by the voltage sensor,
 V_{rf} is the RF voltage on the RF conductor,
 C is the capacitance between the sensor and the RF conductor,
 R is the value of the terminating resistance

The current sensor is inductively coupled to the RF power conductor and is also terminated by a 50Ω resistance. The magnetic flux created by the RF current flowing in the power conductor induces a current in the sensor. The induced current is related to the mutual inductance between the loop and the power conductor, and to the RF current. This current then develops a voltage across the terminating resistance that can be represented by the equation [19,33,34]

$$V_i = M \frac{dI_{rf}}{dt} \quad (3.2)$$

where V_i is the voltage produced by the current sensor,
 I_{rf} is the RF current flowing in the RF conductor,
 M is the mutual inductance between the loop and RF conductor,
 R is the value of the terminating resistance

The main problems with this standard type of sensor are:

- electric fields generated by unrelated sources can cause stray voltages to be added to the signals measured by the sensing elements (stray voltage pickup or cross-talk)
- spurious magnetic fields can cause a stray current to be induced in the current sensing loop (stray field pickup)
- physical separation between the current and voltage sensor locations result in a phase error

3.1.2 PIM IV Sensor

The IV sensor used with the PIM was designed to overcome some of the problems associated with conventional methods of sensing the RF current and voltage, and has been granted a US patent [39]. The design is shown schematically in Figure 3.3. The IV sensor contains a unique current sensing loop and a conventional voltage pickup sensor fabricated on a PCB that is contained within a PTFE block, as shown in Figure 3.4.

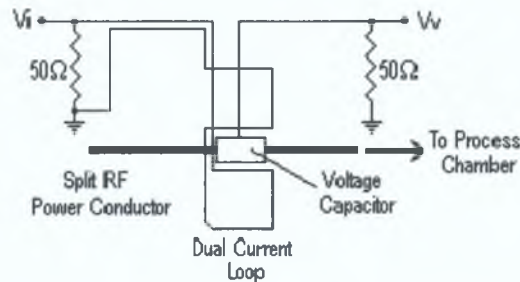


Fig. 3.3 – Schematic of PIM IV Sensor

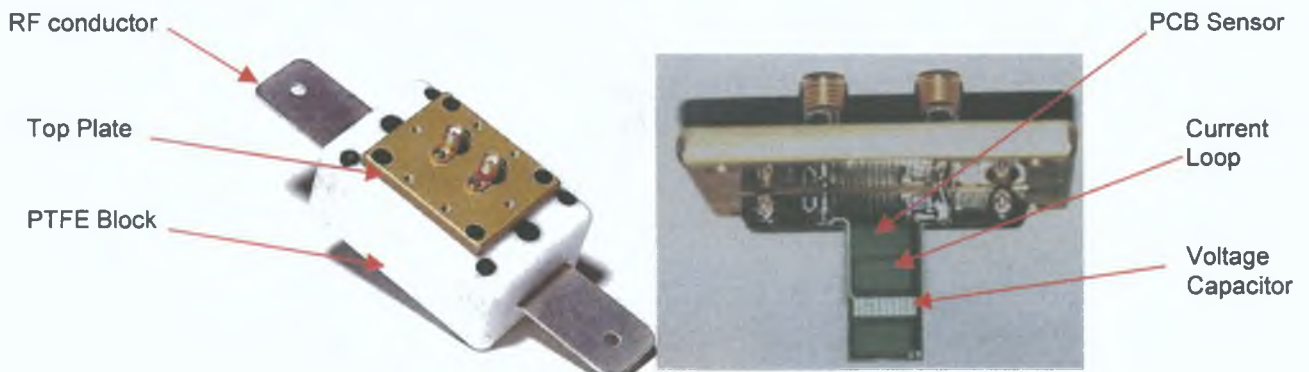


Fig. 3.4 – PIM IV Sensor and Sensor PCB

The RF power conductor is divided into two equal parts along its length so that the same quantity of the RF current flows in each part. The current sensor consists of two loops of equal area that are connected in a figure-of-eight configuration. This sensor is located in the gap between the two divided parts of the RF conductor, as shown in Figure 3.5. The sensor is positioned so that the loops are symmetrically located on each side, top and bottom, of the RF conductor. The voltage sensor is a small capacitor positioned between the loops of the current sensor, and is also located symmetrically about the centre line of the RF power conductor.

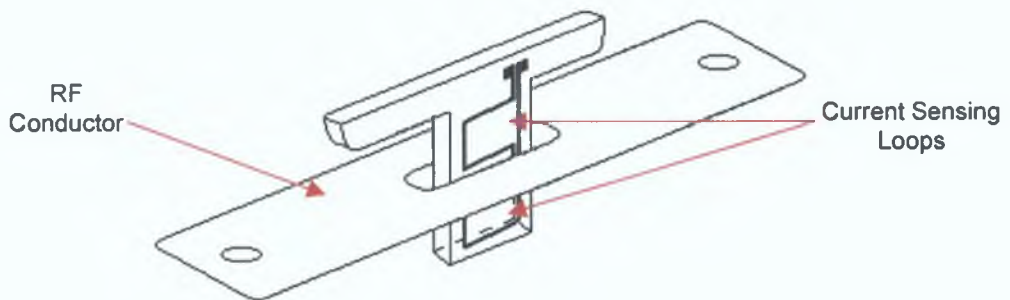


Fig. 3.5 –RF Power Conductor and Sensor PCB Location

The magnetic field created by the RF current flowing in the power conductor induces an equal current in each loop of the current sensor. The induced currents are of opposite direction but the figure of eight configuration results in the induced currents being added together. However, any induced currents due to stray magnetic fields normal to the direction of RF current flow will be induced in each loop equally and in the same direction, and so, will cancel each other out. A third current sensing loop (not shown in Figure 3.3) is also connected to the figure-of-eight loops so that any current induced by stray magnetic fields parallel to the direction of RF current is also eliminated.

To reduce the effects of stray voltage pickup or cross talk, a grounded shield protects the loops of the current sensor. This shield acts as a Faraday shield and reduces the amount of voltage pickup that the loops detect. Finally, there is minimum phase error between the current and voltage sensors since both sensors are located at the same position in the centre of the RF conductor.

3.2 Waveform Sampling

The most common frequency used to drive semiconductor process plasmas is 13.56 MHz. To sample the signals produced by an IV sensor in such an environment, a data acquisition system with a sampling frequency of approx. 135.6 MHz would be required if measuring up to the fifth harmonic. This is based on the Nyquist sampling theorem. Aliasing has been used as a sampling technique to reduce the sample rate to sub-Nyquist frequencies. This technique allows high bit ADCs and low cost RAM to be used to improve the accuracy and precision of the measurements. The technique produces a replica of the RF current and voltage waveforms at much lower frequencies than that of the actual waveforms [35].

The PIM RF Vector Integrator uses a novel sampling technique called *Coherent* sampling to achieve the same results. The sampling technique developed is immune to changes in the frequency of the sampled RF current and voltage waveforms and requires very little RAM to store the digitised waveforms. In addition, the amplitude and phase resolution are significantly improved. This design has been granted a US patent [53].

3.2.1 Coherent Sampling

The Nyquist theorem states that a waveform must be sampled at least twice as fast as the highest frequency component of the waveform. Or, in other words, the highest frequency component cannot exceed one half of the sample rate [36,37]. Coherent sampling is a novel technique that allows sampling of a waveform with a sampling frequency below the Nyquist frequency of the waveform.

During coherent sampling, a set of sample points is recorded at specific time intervals, and over a number of cycles, of the repetitive signals as generated by the IV sensor. The sampling frequency is chosen so that the time interval between sample points results in one cycle of the waveform being fully sampled after an integer, N , number of cycles has elapsed. When the sampling process is complete, it is necessary to organise the sample points into their corresponding phase locations to correctly reconstruct the sampled waveform. The phase locations of successive sample points

reconstruct the sampled waveform. The phase locations of successive sample points will exactly match the phase locations of previous sample points after N cycles of the waveform has elapsed.

With coherent sampling, the sample frequency can be arbitrarily chosen and does not have to meet the Nyquist criteria. There is no loss of information of the signal being sampled as long as the bandwidth of analogue circuitry of the sampling system exceeds that of the signal being sampled. The sampling frequency, F_s , is given by

$$F_s = F(M/N) \quad (3.4)$$

where F is the fundamental frequency of the waveform being sampled, M and N are integers

This means that the waveform will be sampled M times over N consecutive cycles of the waveform. If M and N have no common factor, all the samples measured during the N consecutive cycles will be at unique positions on the waveform. That is, the sample points all have a unique phase relationship relative to the waveform.

Figure 3.7 shows a repetitive waveform being sampled using coherent sampling. If, for example, the fundamental frequency of the waveform is 2MHz, and the values of M and N are 10 and 3 respectively, the sampling frequency is approx 6.67MHz. Figure 3.8 shows one cycle of the signal after the sample points have been re-organised into their respective phase locations.

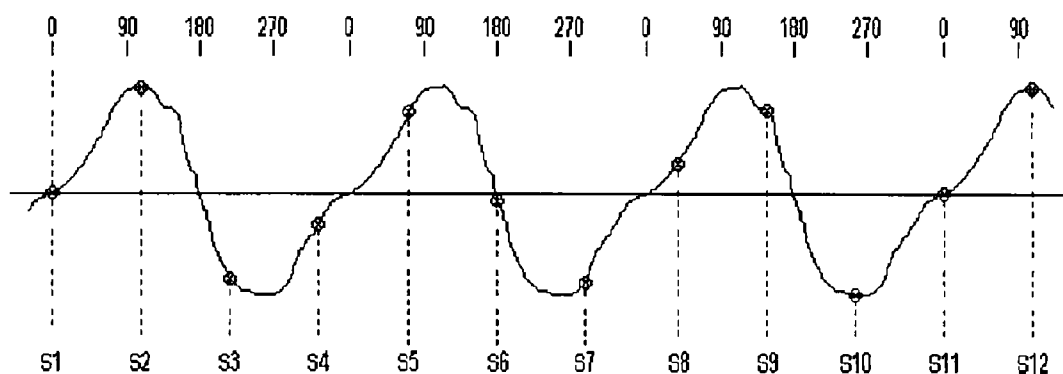


Fig 3.7 – Sample Points of a Repetitive Waveform generated by Coherent Sampling

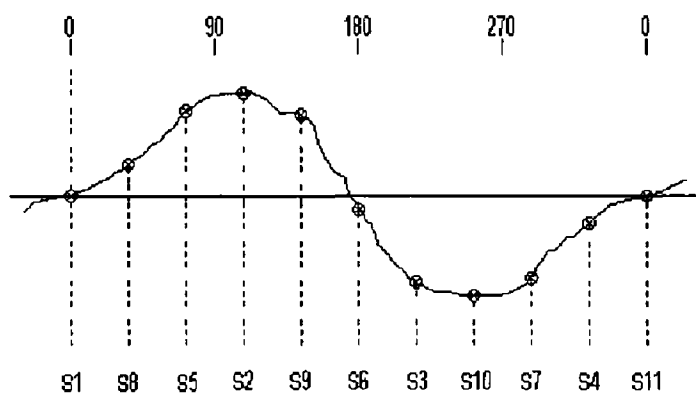


Fig 3 8 – Reconstruction of one cycle of the Repetitive Waveform

In this example, the sample points and their phase locations are as follows

| Sample Point | Phase Location |
|--------------|----------------|
| S1 | 0° |
| S2 | 108° |
| S3 | 216° |
| S4 | 324° |
| S5 | 72° |
| S6 | 180° |
| S7 | 288° |
| S8 | 36° |
| S9 | 144° |
| S10 | 252° |
| S11 | 0° |

For this example, the highest frequency component of the waveform that can be distinguished (using the Nyquist criteria) is calculated as follows

Fundamental Frequency = 2MHz
 Sampling Frequency Multiplier, $M = 10$
 Effective Nyquist Sampling Frequency = $2\text{MHz} \times 10 = 20\text{MHz}$
 Highest Frequency Component = 10MHz

Therefore, although the waveform is sampled with a frequency below the Nyquist frequency, coherent sampling effectively and efficiently implements Nyquist sampling. With coherent sampling it is possible to use high bit digitisers to increase the SNR since sub-Nyquist sampling frequencies can be used. In addition, a relatively low

quantity of RAM is required to store the sample points since the number of sample points required is simply the sampling frequency multiplier, M

For a plasma discharge driven by a frequency of 13.56MHz, coherent sampling can be implemented with the following characteristics to record up to the fifth harmonic

| | |
|------------------------|---------------------|
| Fundamental Freq | = 13.56MHz |
| M/N | = 10/23 |
| Sampling Freq | = 5.89MHz |
| Effective Nyquist Freq | = 135.6MHz |
| Highest Freq Component | = 67.8MHz |
| SNR | = 62dB (10-bit ADC) |
| SNR | = 74dB (12-bit ADC) |

3.2.2 Signal Averaging

To improve the accuracy of the sampled points, signal averaging can be used. Signal averaging allows a signal that is composed of a periodic or repetitive waveform and non-coherent noise to be recorded more accurately. Averaging improves the signal-to-noise ratio (SNR) of the sampled signal by the square root of the number of averages performed [36]. The theoretical SNR of a signal sampled using Nyquist sampling can be calculated from [38,44]

$$SNR = (6.02N + 1.76) \text{ dB} \quad (3.3)$$

where N is the number of ADC bits

For example, a signal with a fundamental frequency of 13.56MHz sampled using an 8-bit ADC would give a SNR of 50dB, if recording the fifth harmonic. By using coherent sampling, a 10-bit or 12-bit converter can be used because the actual sampling frequency is sub-Nyquist. An improvement of 12dB or 24dB in the theoretical SNR as compared to an 8-bit converter can then be achieved. That is, there is an improvement factor of between 4 and 16 in the SNR if using 10- or 12-bit converters rather than an 8-bit converter. However, with coherent sampling, the phase locations of successive sample points will exactly match the phase locations of previous sample points after N cycles of the waveform have elapsed. Therefore, signal averaging can be used to increase the SNR even further.

3.3 RF Vector Integrator Card

The PIM RF Vector Integrator (RFVI) is shown in the block diagram of Figure 3 9 It consists of two identical waveform sampling channels, one each for the current and voltage signals, and is a high frequency, high-resolution system that uses coherent sampling The circuit was fabricated on a full-size PC ISA printed circuit board, as shown in Figure 3 10

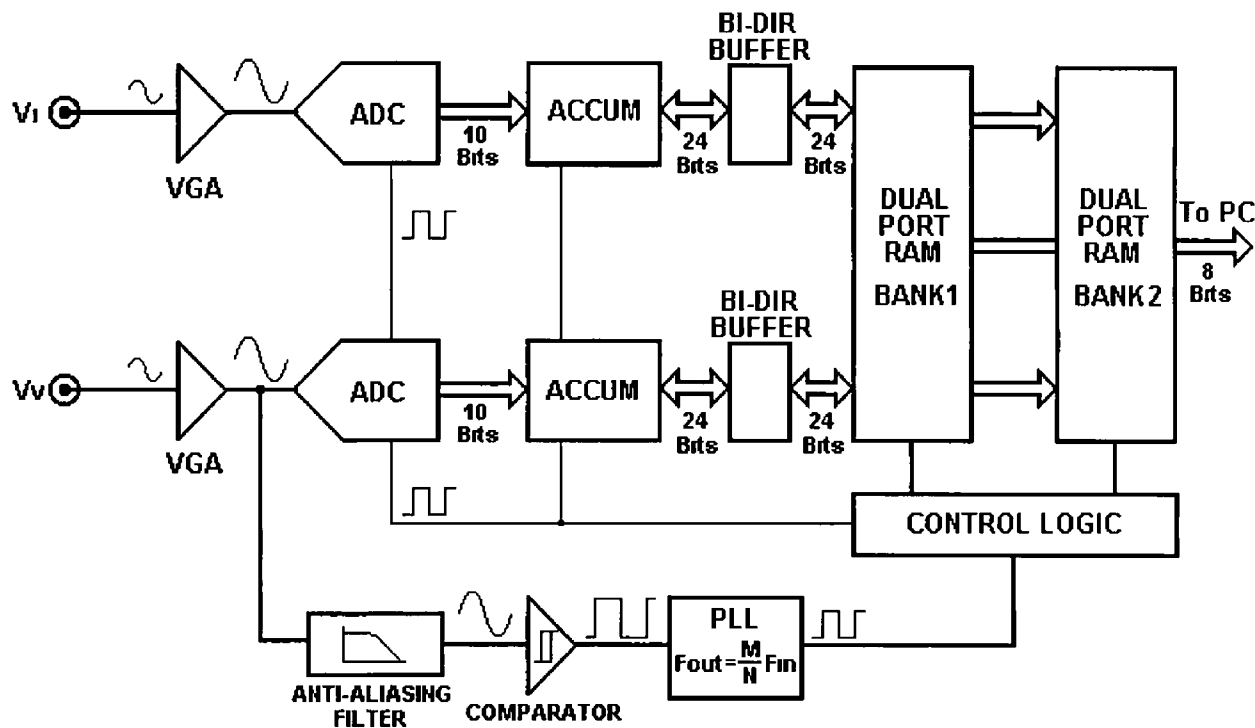


Fig 3 9 – PIM Waveform Sampling Circuit

The RFVI card contains a front-end analogue circuit that consists of two variable gain amplifiers, or VGA's, and two 10-bit sample-and-hold type analogue-to-digital converters, or ADC's The digitised data from the ADC's is passed to a digital circuit that consists of two 24-bit accumulators and two banks of 24-bit wide dual port RAM In addition, the signal in the Voltage channel is used with a clock generation circuit to produce the sampling clock and other higher frequency clocks required by the digital circuit The 24-bit accumulators and RAM allow up to 2^{14} , or 16384, averages of the 10-bit words to be performed This can, theoretically, give an improvement in the SNR of up to 42dB, yielding an overall SNR of up to 104dB or an equivalent resolution of 17-bits



Fig. 3.10 – PIM RF Vector Integrator Card

3.3.1 Principle of Operation

The VGA's either amplify or attenuate the signals produced by the IV Sensor, which are then passed to the ADC's where they are converted to 10-bit words. The 10-bit digitised sample points are passed to the 24-bit accumulators where they are added to previous sample points with identical phase relationships that are stored in the dual-port RAM. The sample points are stored in the order in which they are processed in the RAM. The digital logic that controls the dual-port RAM also incorporates a Look-Up-Table, or LUT, to control the addressing of the RAM so that the sample points are stored in their correct phase order.

To generate the required coherent sampling clock signal, the Voltage signal is also passed to the clock generation circuit. The first stage of this circuit consists of a low-pass or anti-aliasing filter that eliminates any frequency components in the signal above the fundamental frequency. This is required to prevent higher frequency components in the signal generating a sample clock higher in frequency than that required. The sinusoidal Voltage signal is converted to square wave, or clock, signal that is then input to a Frequency Generator Phase Locked Loop (PLL). The PLL generates an output clock signal that has a fixed phase relationship to the input clock signal. In addition, the output clock frequency is determined by programming the PLL with a multiply, M , and divide, N , value. This provides an output clock at a frequency that is phase locked to the input clock and is proportional to the frequency of the input clock. Therefore, the sampling clock, which is the output clock of the PLL, can sample

the IV Sensor signals coherently, regardless of variations in the fundamental frequency of the signals

To utilise the full potential of the RFVI card, the gains of the VGA's are adjusted to keep the signal level at the inputs of the ADC's within specific levels. The VGA's used have a gain range of between -11dB to $+30\text{ dB}$ with a bandwidth of 90MHz . The gain accuracy of the VGA is $\pm 0.5\text{dB}$. Applying a differential DC voltage to the Gain Control inputs of the VGA sets the gain. The control voltage variation is $\pm 500\text{mV}$ and is generated by an 8-bit digital-to-analogue converter, or DAC, with a full-scale output of 2.55V (10mV/bit). This results in a gain-setting resolution of 0.2dB/bit when using a divider ratio of 2 with the DAC.

Two main problems exist with the VGA's. The first problem is that the gain error is periodic with the gain control voltage to approximately $\pm 0.5\text{dB}$. This can result in variations in the output signal level of the VGA. The second problem is that the group delay of the amplifier varies with the control voltage. If the two VGA's have different gains, one signal will be delayed more than the other and this would result in a phase error. To overcome these problems, the VGA's are operated with four gain settings. The actual gains used were calculated to obtain sufficient resolution with the ADC's, with the VGA's being calibrated for both gain and group delay.

The process of Coherent Sampling and accumulation can continue indefinitely. The RFVI card contains two banks of dual-port RAM. Only one bank at a time is used during the sampling and accumulation process, while the other bank is free to be read and analysed by the control software. When one bank has filled its memory locations, the control logic seamlessly switches the banks so that the cleared bank is now used for the sampling and accumulation process leaving the other bank free. The ability to switch banks without stopping and restarting the sampling and accumulation process means that the circuit can continuously collect data, maximising the SNR and eliminating dead-time. For a complete circuit diagram of the PIM RFVI card, refer to the circuit schematic diagrams in Appendix A.

3.3.2 System Software

Two specific software applications were developed for the PIM system. The first software developed is used to set-up and control the RF Vector Integrator card installed in the embedded system. It also calculates the phase and amplitude of the Voltage and Current signals acquired by the card for all harmonics. This software is referred to as the embedded software, or *PIMCode*. The second software developed is a Windows 95™ based application that is a user-friendly environment for displaying, recording, or further processing the signal values calculated by the embedded system. This software is referred to as the host software, or *PIMSoft*.

PIMCode is executed on the processor card of the embedded system. The control routine initialises and enables the process of coherent sampling and also automatically adjusts the gains of the VGA's so that the optimum ranges of the ADC's are used. The analysis routine performs a Discrete Fourier Transform, or DFT, on the digitised sample points stored in the RAM of the card. The DFT provides amplitude and phase information for each harmonic of the Voltage and Current signals digitised by the RF Vector Integrator card.

PIMSoft is executed on a standard PC as a Windows 95™ application. It communicates with the embedded system via an RS232 serial communications link. It allows the user to either graph the data generated by the embedded system or simply display the present values in a meter-type window. It also allows the data to be automatically saved to the hard disk of the PC for future analysis or comparison.

Chapter 4

PIM CALIBRATION

The PIM is a high-resolution system that requires careful and accurate calibration. There are two stages to the PIM calibration procedure. The first stage is the calibration of the RFVI and the second stage being the calibration of the IV Sensor. This second stage is accomplished using the calibrated RFVI card.

As there is no standard instrument comparable to the PIM system, it is necessary to use secondary instruments to calibrate the PIM. The most universal and acceptable method of verifying the calibration and accuracy of an impedance probe is that of RF power measurement in a 50Ω environment. If accurate power measurement can be proved, the basic RF measurements of Voltage, Current and Phase must be correct. The power is calculated by

$$P = VI \cos \phi \quad (3.1)$$

where V = voltage
 I = current
 ϕ = phase between voltage and current

This, however, is only true if at least two of the basic measurements can be proven to be accurate. For example, it is possible to calculate the right value of power if, say, the voltage and current measurements are inaccurate by 2%. That is, if the voltage is measured at 2% below the correct value and the current as 2% above the correct value, then the calculated power will still be correct. With this in mind, a NIST traceable RF power meter is used for power measurement and an oscilloscope is used for RF voltage measurement. In addition, a commercial impedance meter is used to measure the impedance of the RF load.

4.1 RF Vector Integrator Calibration

To calibrate the RFVI card a stable sinusoidal signal is injected into both the inputs of the card simultaneously. The signal amplitude is set to the lower and upper limits of each gain range that the card uses and the magnitudes of the fundamental values (Voltage, Current and Phase), as measured by the PIM, are recorded. The same signal amplitudes are then measured by a calibrated oscilloscope. Amplitude and phase calibration figures for each gain range are then calculated. Figure 4.1 shows the set-up used to calibrate both channels of the RFVI card. A graph showing the relationship between the RFVI card measurements and the scope measurements after the RFVI card has been calibrated is shown in Figure 4.2.

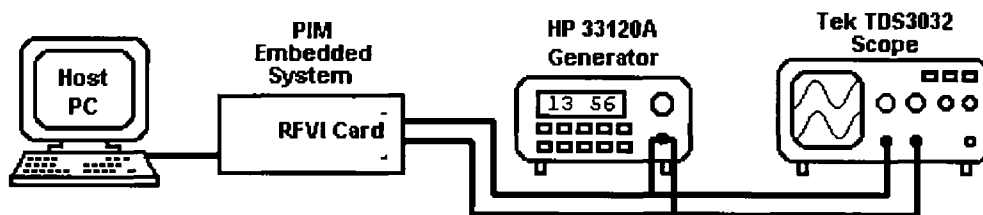


Fig 4.1 – Amplitude Calibration of RF Vector Integrator

The signal source used was a HP 33120A Waveform Generator. It is capable of generating sinusoidal signals of between 18mV_{RMS} and 3.5V_{RMS} into a 50Ω termination. This adequately covers the full range that the RFVI card was designed to measure. The oscilloscope used for voltage measurement was a Tektronix TDS3032 DPO oscilloscope. It is a 9-bit digital storage oscilloscope with a measurement accuracy of $\pm 2\%$ of its measurement.

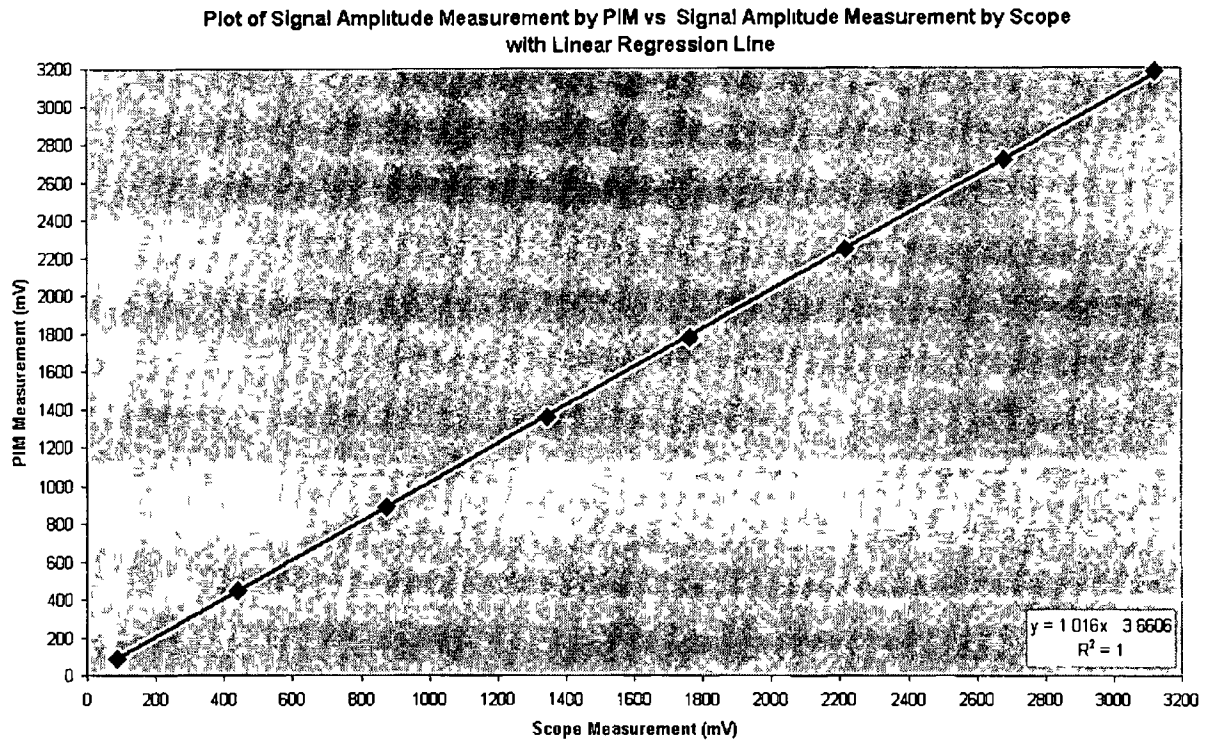


Fig 4 2 – Graph of RFVI Card Measurements vs Scope Measurements

4.2 IV Sensor Calibration

To calibrate the IV Sensor, the sensor is installed into a RF circuit and its response at various values of power and load impedance is recorded. Initially, the load is set to 50Ω resistive (phase is zero). This condition is verified with a Hewlett Packard 4193A Impedance Meter. RF power is passed through the circuit and the fundamental values of Voltage, Current and Phase are measured using the previously calibrated RFVI card. An oscilloscope is used to measure the actual RF voltage. By measuring the load and actual RF voltage, the RF current is calculated using Ohm's Law. Calibration figures for the IV Sensor are then calculated.

Once the initial calibration at 50Ω is complete, the load impedance is changed to a capacitive load and then to an inductive load. Measurements at low power values (to prevent damage to the loads) are recorded using the PIM system and again, the scope is used to measure the RF voltage as an absolute check. The reference power sensor is not used with these loads because it cannot accurately measure power with non- 50Ω loads. The sensor calibration set-up is shown in Figure 4.3. A graph of the PIM power measurements and the reference power measurements are shown Figure 4.4.

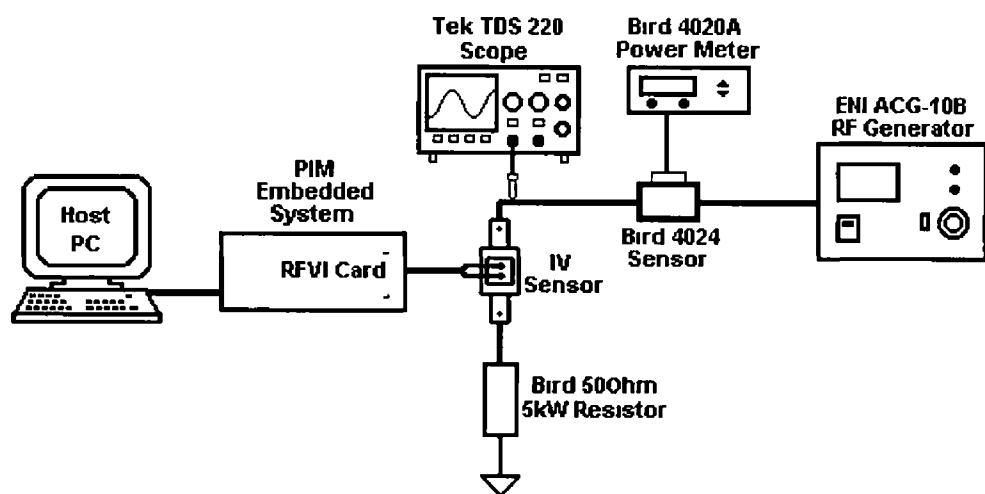


Fig 4.3 – Calibration of IV Sensor

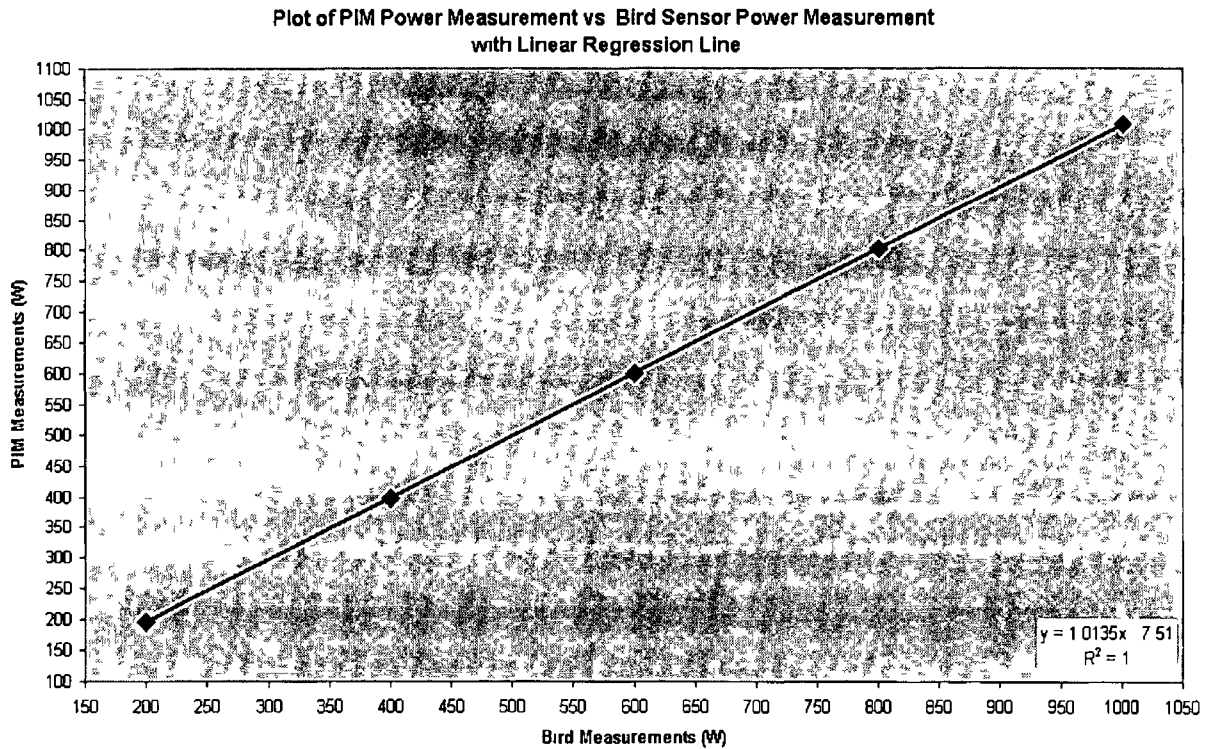


Fig 4 4 – PIM Power Measurement vs Bird Sensor Power Measurement

The reference power sensor used was a Bird 4024 RF Power Sensor and Bird 4020A Power Meter. It is calibrated to NIST standards and is capable of measuring RF power between 3W and 10kW within a frequency band of 1.5MHz to 32MHz. The accuracy of this instrument is $\pm 3\%$ of its reading (at its maximum range). The oscilloscope used for voltage measurement was a Tektronix TDS 220 oscilloscope. It is an 8-bit digital storage oscilloscope with an accuracy of $\pm 2\%$ of its measurement, and is capable of voltage measurements up to $150V_{RMS}$. Finally, the RF generator used was an ENI ACG-10B 1kW 13.56MHz generator.

Calibration figures relating to the RF Vector Integrator and IV Sensor are recorded in separate files on the embedded system, and are used by the analysis routines of PIMCode.

4.3 Testing

Testing of the PIM involves installing the IV Sensor in a test rig that can simulate, to a certain extent, the RF electrical properties of a plasma. To this end, a test rig called a Dynamic Dummy Load, or DDL, is used. The DDL consists of two identical Capacitor-Inductor networks that are connected to a RF power source and a 50Ω power resistor. A schematic diagram of the DDL is shown in Figure 4.5.

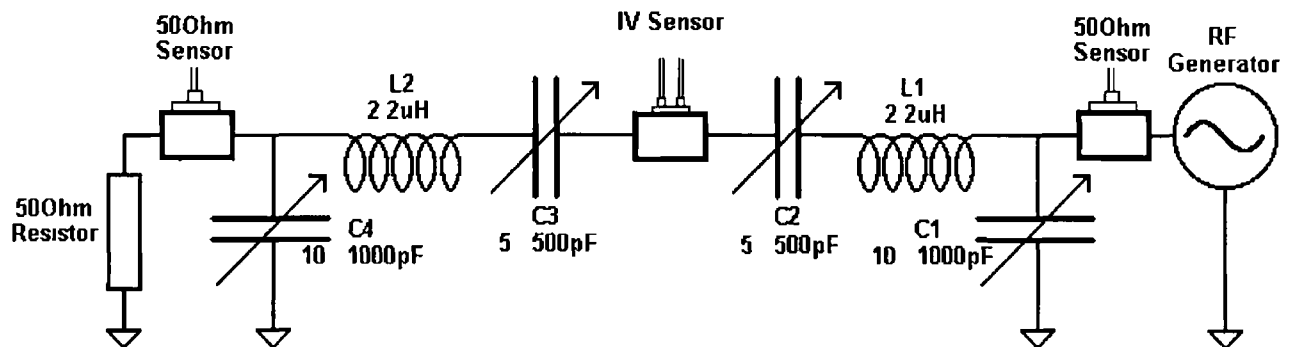


Fig 4.5 – Plasma Simulation Rig, or DDL

The IV Sensor is installed between the Capacitor-Inductor networks of the DDL. The Capacitor-Inductor network that is connected to the RF generator is referred to as the Match and the second network as the Load. This circuit is electrically similar (in terms of fundamental parameters) to a plasma processing system with the Load network acting as the plasma. By varying the settings of the Load capacitors, it is possible to simulate a wide range of plasma RF conditions, in terms of the fundamental RF Voltage, Current and Phase. The Match is adjusted to minimise the amount of reflected power at the RF generator. 50Ω power sensors are located at the input and output of the Match and Load networks respectively. It is assumed that the power loss in the networks is negligible, as their construction is identical, but it is conceivable that non-equal power loss will occur. Unfortunately, it was not possible to measure or determine the actual power loss. Therefore, the power that passed through the IV Sensor is assumed to be the average of the input and output powers as measured by the 50Ω sensors, with any reflected power taken into account.

The capacitors and inductors of the Match and Load networks are contained within aluminium boxes and are fitted with dials that are used to set and record their positions. The power sensor input and output connectors of the Match and Load networks use N-type bulkhead connectors with N-type cable being used with the RF generator and load resistor. The IV Sensor is fixed to rectangular metal straps that connect to the series capacitors of both networks. The top plate of the IV Sensor is secured to an aluminium plate that is connected between both networks. A photograph of the DDL test rig is shown in Figure 4.6.



Figure 4.6 – DDL Test Rig

A set of measurements taken with a PIM system using the DDL is given in Table 4.1. The generator used with the DDL was an ENI ACG-10B 1kW 13.56MHz RF generator. The reference powers were measured using Adtec 50Ω power meters. The Adtec system allows the measurement and display of the forward and reflected powers of both sensors simultaneously. It was assumed that the power that passes through the PIM IV sensor is the average of the input and output powers of the DDL as measured by the Adtec sensors. It should be noted that the Adtec sensors were calibrated against a Bird 50Ω digital power sensor (model no.: 4024) using the 50Ω power resistor as a load.

The results in Table 4 1 demonstrate the range of electrical parameters possible with the DDL system. It also highlights the performance of the PIM system.

| PIM VALUES (Fundamentals only) | | | | ADTEC VALUES | | | | |
|-----------------------------------|----------------|----------------|------------------|---------------------------|----------------------------|-------------------------|---------------------------|-----------------------|
| RF V (Vrms) | RF I (Arms) | Phase (Deg) | Power (Watts) | Input Power (Watts) | Output Power (Watts) | Avg Power (Watts) | Power Error (Watts) | Power Error (%) |
| 207 85 | 5 47 | -74 61 | 301 73 | 300 00 | 285 00 | 292 50 | 9 21 | 3 16 |
| 379 80 | 9 77 | -74 70 | 979 14 | 1000 00 | 950 00 | 975 00 | 4 14 | 0 42 |
| 89 65 | 3 83 | -31 77 | 291 91 | 300 00 | 292 00 | 296 00 | 4 09 | -1 38 |
| 167 30 | 6 95 | -31 64 | 989 91 | 1000 00 | 980 00 | 990 00 | 0 09 | -0 01 |
| 125 20 | 2 36 | 0 93 | 295 43 | 300 00 | 295 00 | 297 50 | 2 07 | -0 69 |
| 232 50 | 4 29 | 1 08 | 997 25 | 1000 00 | 985 00 | 992 50 | 4 75 | 0 48 |
| 102 90 | 3 82 | 42 40 | 290 27 | 300 00 | 291 00 | 295 50 | 5 23 | -1 77 |
| 190 00 | 6 94 | 41 60 | 986 05 | 1000 00 | 974 00 | 987 00 | 0 95 | -0 10 |
| 135 15 | 6 28 | 70 62 | 281 64 | 300 00 | 279 00 | 289 50 | 7 86 | -2 72 |
| 246 80 | 11 15 | 70 29 | 928 08 | 1000 00 | 932 00 | 966 00 | 37 92 | -3 93 |
| | | | | | | | MAX | 3 16 |
| | | | | | | | MIN | -3 93 |
| | | | | | | | MEAN | -0 65 |
| | | | | | | | STD DEV | 1 96 |

Table 4 1 – DDL Results

The powers at the high phases show higher errors than those at the lower phases. It must be remembered that the PIM calculates the power using the Voltage, Current and cosine of the Phase measurements. Therefore, small errors in the measurement of phase will lead to large errors in the cosine of the phase and the calculated power. For example, an error of 0.5° at a phase angle of 88° results in a 20% to 25% error in the calculated power [18].

Chapter 5

PIM MEASUREMENT PERFORMANCE

To demonstrate the measurement resolution and repeatability of the PIM system, three identical sensors were installed on a plasma tool and the RF Voltage, Current and Phase at three different power levels was recorded. The plasma tool was an Applied Materials DPS Centura tool which uses a 2MHz non-resonant coil to generate the plasma and has a wafer bias of 13.56MHz. The sensors were used to measure the wafer bias when the power was increased from 75W to 150W and then to 225W.

Calculations on the measurements, which are summarised on the following pages, were performed on the portions of the data where the measurements had stabilised (refer to Figures 5.7 to 5.9). That is, the measurements where the power was changing have been omitted in order to present a true indication of the system performance. The portion of the measurements used and their corresponding power levels were

| Points | Power Step |
|-----------|------------|
| 5 to 35 | 75W |
| 60 to 75 | 150W |
| 85 to 100 | 225W |

For each RF parameter recorded, the average value for the 3 sensors was calculated. The difference between the maximum and minimum of the individual sensor measurements and the average value for the 3 sensors was then calculated as a percentage of the average value. In addition, the difference between the individual sensor measurements and the average value was calculated (and is shown as an absolute value). The difference in the Phase measurements is not shown as a percentage value as the real difference is a more useful parameter for representing Phase repeatability.

It must be remembered when considering the following results that the measurements taken with each sensor would be prone to slight differences as it would be impossible to maintain the exact same experimental conditions for each sensor. Two types of error would be present. It is conceivable that there would exist a “plasma repeatability error” which is due to the difference between the plasmas generated after each individual sensor has been installed on the tool. In addition, there would be a “sensor repeatability error” which is the measurement error that each sensor would have due to slight calibration or installation differences between each sensor.

Both these errors would result in an uncertainty as to the true value of the RF measurements made by each sensor. Therefore, the measurement error is a combination of the repeatability of the sensors and the repeatability of the plasmas.

Finally, the measurements shown were made possible due to the kind permission of a commercial corporation to allow this experiment to be performed. Unfortunately, due to the tight constraints of access to commercial plasma process reactors, it was not possible to repeat the experiment or to perform any on-site analysis of the data captured. As a result, some doubt remains regarding the sources of errors of some of the measurements and to the reproducibility of the measurements or plasma conditions.

5.1 Voltage Measurement Repeatability

Although the Voltage Fundamental measurements are relatively low (the PIM used could measure up to 2kV), good repeatability can be seen. The largest error is observed with the measurements of *Sensor 2*, and is approx 5.9%. This corresponds to a real voltage difference of 1.4V when the nominal voltage was 23.7V. The smallest error is also seen with *Sensor 2*, and is approx 0.13% or 51mV on a nominal voltage of 40.5V.

I cannot explain, without doubt, why the measurements at a power of 150W (especially for *Sensor 2*) are not as repeatable as those taken during the 75W and 225W power steps. It may be that the plasma state was not the same during the 150W step as at the other power steps, or that the power was not set exactly the same when the measurements with *Sensor 2* were performed at this power step. From the graph shown in Figure 5.7, it can be seen that the measurements taken with *Sensor 2* during the 150W power step deviate from those taken with *Sensor 1* and *Sensor 3*.

Also, it is interesting to note that the measurements of the Voltage 3rd Harmonic at the 150W power step display a different trend than those of the corresponding Current measurements. However, it should also be noted that the three sensors record similar trends so it would seem that this effect is not a sensor effect but is either due to the plasma condition or due to the external RF hardware.

Values for Voltage Fundamental

| Power | | Avg (V) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) |
| 75W | Min | 10.78 | -1.29 | 139.05 | -1.30 | 139.82 | -0.62 | 66.39 |
| | Max | 10.96 | -0.26 | 28.53 | 1.59 | 173.86 | 1.60 | 175.74 |
| 150W | Min | 23.69 | 1.09 | 258.67 | -5.89 | 1396.38 | 3.45 | 818.34 |
| | Max | 24.05 | 1.64 | 394.06 | -5.03 | 1209.79 | 4.65 | 1118.50 |
| 225W | Min | 39.65 | -1.25 | 495.84 | -1.12 | 443.46 | 0.51 | 203.30 |
| | Max | 40.52 | -0.39 | 156.31 | -0.13 | 51.46 | 2.23 | 903.77 |

Values for Voltage 1st Harmonic

| Power | | Avg (V) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) |
| 75W | Min | 10.118 | -1.06 | 106.95 | 0.23 | 22.87 | 0.05 | 5.53 |
| | Max | 10.311 | -0.50 | 51.57 | 0.75 | 77.83 | 0.53 | 54.19 |
| 150W | Min | 16.028 | -0.58 | 93.05 | -0.83 | 132.57 | 0.72 | 115.81 |
| | Max | 16.204 | -0.30 | 48.22 | -0.28 | 45.20 | 1.41 | 228.09 |
| 225W | Min | 3.575 | -1.36 | 48.58 | 1.00 | 35.64 | -0.19 | 6.88 |
| | Max | 3.620 | -0.93 | 33.73 | 1.34 | 48.35 | 0.15 | 5.43 |

Values for Voltage 2nd Harmonic

| Power | | Avg (V) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) |
| 75W | Min | 33.199 | -0.78 | 257.90 | -1.22 | 406.01 | 0.30 | 98.00 |
| | Max | 36.630 | 0.74 | 269.69 | 0.32 | 117.19 | 1.18 | 433.91 |
| 150W | Min | 70.592 | 0.07 | 46.37 | -1.23 | 865.11 | 0.27 | 193.14 |
| | Max | 71.974 | 0.37 | 265.29 | -0.58 | 418.74 | 1.07 | 770.82 |
| 225W | Min | 29.119 | -1.33 | 388.59 | 1.56 | 455.36 | -1.33 | 386.60 |
| | Max | 29.301 | -0.82 | 240.28 | 2.15 | 629.31 | -0.24 | 70.13 |

Values for Voltage 3rd Harmonic

| Power | | Avg (V) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) |
| 75W | Min | 0.751 | 0.48 | 3.60 | -8.06 | 60.54 | -0.73 | 5.46 |
| | Max | 1.443 | 7.16 | 103.35 | -0.04 | 0.58 | 3.47 | 50.11 |
| 150W | Min | 3.583 | -0.29 | 10.40 | -0.66 | 23.63 | -0.76 | 27.34 |
| | Max | 3.695 | 0.30 | 11.19 | 0.46 | 17.00 | 0.69 | 25.64 |
| 225W | Min | 3.880 | -1.28 | 49.64 | 1.14 | 44.19 | -2.11 | 81.91 |
| | Max | 3.969 | -0.25 | 9.85 | 2.36 | 93.66 | -0.01 | 0.46 |

Values for Voltage 4th Harmonic

| Power | | Avg (V) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) | % Error | Abs Diff (mV) |
| 75W | Min | 0.647 | -0.11 | 0.74 | -6.63 | 42.87 | -0.15 | 0.96 |
| | Max | 1.053 | 5.08 | 53.53 | 0.04 | 0.45 | 3.50 | 36.85 |
| 150W | Min | 5.674 | 0.44 | 25.24 | -4.45 | 252.65 | 1.93 | 109.38 |
| | Max | 5.914 | 1.17 | 69.48 | -2.99 | 176.89 | 3.76 | 222.54 |
| 225W | Min | 2.414 | -1.20 | 28.87 | 1.08 | 26.12 | -2.03 | 49.02 |
| | Max | 2.464 | -0.18 | 4.55 | 2.22 | 54.58 | -0.03 | 0.81 |

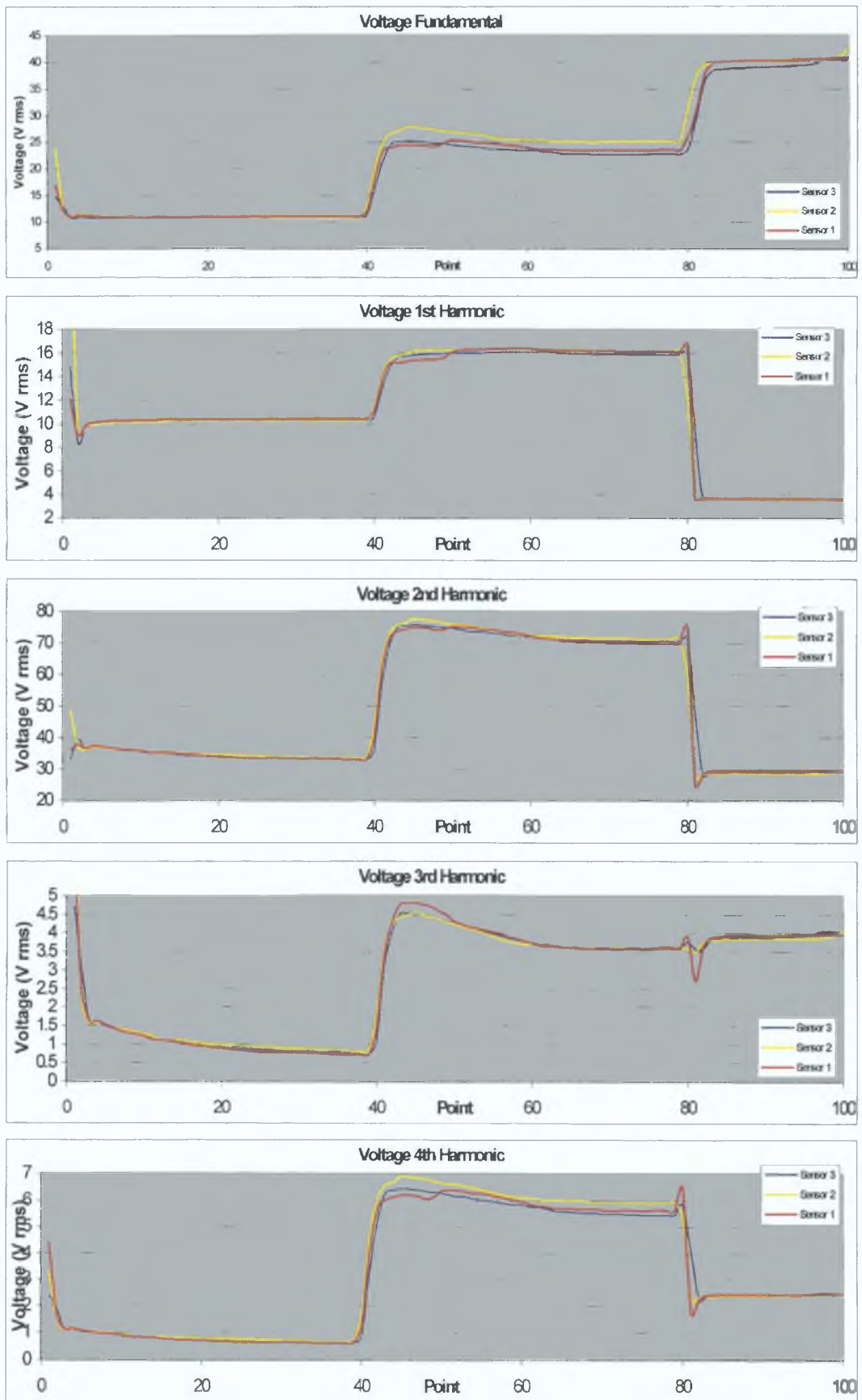


Figure 5.7 – Voltage Measurements for 3 Sensors

5.2 Current Measurement Repeatability

Again, as with the Voltage Fundamental measurements, very good repeatability can be seen with the Current Fundamental measurements. The largest error is observed with the measurements of *Sensor 2*, and is approx 1.9%, corresponding to a real current difference of 177mA when the nominal current was 9.24A. The smallest error is seen with *Sensor 3*, and is just 0.1% or 9.6mA on a nominal current of 9.24A.

Values for Current Fundamental

| Power | | Avg (A) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) |
| 75W | Min | 5.433 | -1.54% | 83.705 | 0.29% | 15.542 | 0.46% | 25.049 |
| | Max | 5.469 | -0.97% | 52.824 | 0.87% | 47.632 | 0.87% | 47.573 |
| 150W | Min | 7.847 | -1.25% | 98.147 | 0.49% | 38.429 | 0.53% | 41.317 |
| | Max | 7.853 | -1.10% | 86.527 | 0.59% | 46.680 | 0.75% | 58.976 |
| 225W | Min | 9.212 | -1.88% | 173.488 | 1.68% | 154.528 | -0.33% | 30.565 |
| | Max | 9.242 | -1.59% | 146.561 | 1.92% | 177.274 | 0.10% | 9.612 |

Values for Current 1st Harmonic

| Power | | Avg (A) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) |
| 75W | Min | 0.018 | 4.41% | 0.773 | -9.58% | 1.679 | -0.33% | 0.058 |
| | Max | 0.021 | 9.08% | 1.922 | -5.44% | 1.152 | 1.83% | 0.388 |
| 150W | Min | 0.117 | 1.38% | 1.618 | -7.30% | 8.550 | 3.94% | 4.609 |
| | Max | 0.122 | 1.97% | 2.402 | -5.70% | 6.964 | 5.77% | 7.052 |
| 225W | Min | 0.008 | 1.18% | 0.099 | -1.83% | 0.154 | -2.24% | 0.189 |
| | Max | 0.009 | 2.91% | 0.248 | -0.66% | 0.056 | -0.02% | 0.002 |

Values for Current 2nd Harmonic

| Power | | Avg (A) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) |
| 75W | Min | 0.116 | -1.15% | 1.334 | -5.73% | 6.650 | 5.00% | 5.805 |
| | Max | 0.130 | 0.58% | 0.758 | -4.15% | 5.383 | 5.95% | 7.720 |
| 150W | Min | 0.289 | 0.19% | 0.544 | -6.20% | 17.905 | 4.84% | 13.965 |
| | Max | 0.297 | 0.58% | 1.731 | -5.33% | 15.796 | 5.90% | 17.493 |
| 225W | Min | 0.103 | -1.79% | 1.843 | -2.65% | 2.735 | 3.26% | 3.362 |
| | Max | 0.104 | -1.28% | 1.328 | -1.98% | 2.063 | 4.43% | 4.618 |

Values for Current 3rd Harmonic

| Power | | Avg (A) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) |
| 75W | Min | 0.022 | -2.63% | 0.574 | -5.99% | 1.308 | 4.47% | 0.976 |
| | Max | 0.028 | 1.08% | 0.308 | -2.37% | 0.675 | 6.55% | 1.866 |
| 150W | Min | 0.137 | -1.27% | 1.738 | -4.31% | 5.899 | 3.73% | 5.105 |
| | Max | 0.143 | -0.65% | 0.925 | -2.93% | 4.178 | 5.43% | 7.755 |
| 225W | Min | 0.026 | -3.67% | 0.941 | 0.97% | 0.248 | 1.16% | 0.298 |
| | Max | 0.026 | -2.82% | 0.729 | 1.69% | 0.436 | 2.58% | 0.666 |

Values for Current 4th Harmonic

| Power | | Avg (A) | Sensor 1 | | Sensor 2 | | Sensor 3 | |
|-------|-----|------------|----------|------------------|----------|------------------|----------|------------------|
| | | | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) | % Error | Abs Diff (mA) |
| 75W | Min | 0.014 | -4.08% | 0.557 | -0.62% | 0.084 | 1.84% | 0.251 |
| | Max | 0.014 | -1.65% | 0.233 | 1.00% | 0.141 | 3.34% | 0.472 |
| 150W | Min | 0.036 | -2.80% | 1.006 | 1.81% | 0.651 | -0.43% | 0.153 |
| | Max | 0.037 | -2.19% | 0.807 | 2.70% | 0.997 | 0.67% | 0.248 |
| 225W | Min | 0.019 | -4.05% | 0.786 | 3.33% | 0.646 | -0.11% | 0.021 |
| | Max | 0.020 | -3.46% | 0.681 | 3.65% | 0.717 | 0.50% | 0.099 |

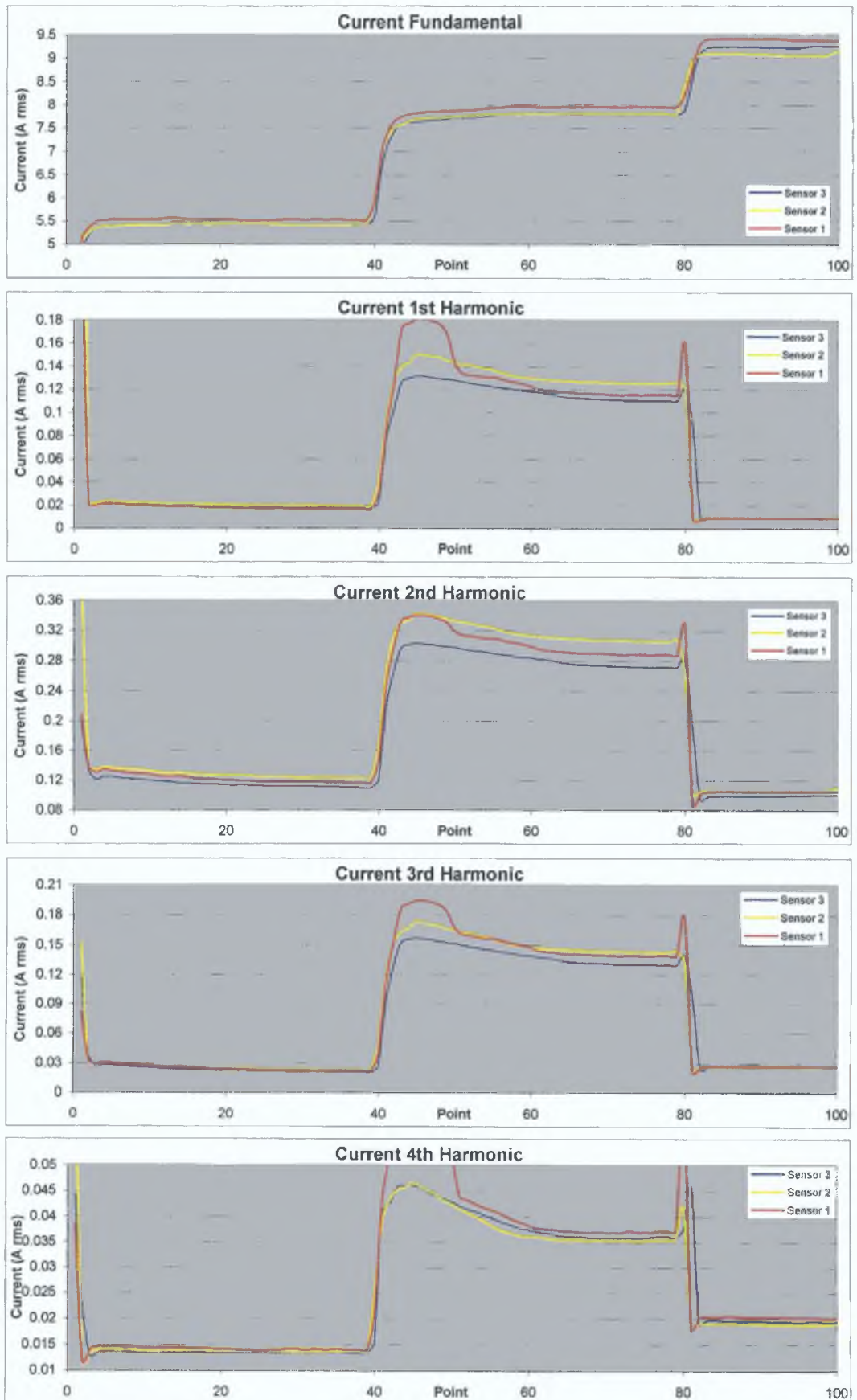


Figure 5.8 – Current Measurements for 3 Sensors

5.3 Phase Measurement Repeatability

Good phase repeatability and accuracy are very much influenced by the quality of the installation of the sensor, and its location. That is, if the sensor is located in an environment where there are significant RF fields or the grounding of the top plate of the sensor is not good in terms of an RF ground, the Phase measurement suffers as it is derived from the Voltage and Current measurements.

However, the measurements do show good repeatability. The smallest error is observed with *Sensor 1* (0.2° on a nominal phase of -68.8°) and the largest error is also observed with *Sensor 2* (5.7° with a nominal phase of -8.8°). Again note that from Figure 5.9, the measurements taken with *Sensor 2* during the first power step, where the phase is changing from approx -15° to 0° , deviate from those taken with *Sensor 1* and *Sensor 3*.

Values for Phase Fundamental

| Power | | Avg (Deg) | Sensor 1 Abs Diff (Deg) | Sensor 2 Abs Diff (Deg) | Sensor 3 Abs Diff (Deg) |
|-------|-----|-----------|----------------------------|----------------------------|----------------------------|
| 75W | Min | -8.78 | 0.566 | 5.687 | 3.166 |
| | Max | 6.89 | 1.964 | 3.869 | 4.250 |
| 150W | Min | -42.07 | 0.530 | 2.414 | 1.552 |
| | Max | -41.74 | 0.715 | 2.246 | 1.848 |
| 225W | Min | -68.79 | 0.196 | 0.582 | 0.203 |
| | Max | -68.28 | 0.305 | 0.448 | 0.343 |

Values for Phase 1st Harmonic

| Power | | Avg (Deg) | Sensor 1 Abs Diff (Deg) | Sensor 2 Abs Diff (Deg) | Sensor 3 Abs Diff (Deg) |
|-------|-----|-----------|----------------------------|----------------------------|----------------------------|
| 75W | Min | -42.82 | 4.367 | 4.404 | 1.442 |
| | Max | -41.53 | 3.608 | 5.633 | 0.657 |
| 150W | Min | -39.99 | 1.329 | 2.033 | 1.102 |
| | Max | -39.52 | 1.182 | 2.312 | 0.848 |
| 225W | Min | -52.28 | 3.084 | 4.604 | 2.338 |
| | Max | -51.04 | 2.266 | 4.907 | 1.794 |

Values for Phase 2nd Harmonic

| Power | | Avg (Deg) | Sensor 1 Abs Diff (Deg) | Sensor 2 Abs Diff (Deg) | Sensor 3 Abs Diff (Deg) |
|-------|-----|-----------|----------------------------|----------------------------|----------------------------|
| 75W | Min | 80 62 | 3 426 | 3 084 | 0 496 |
| | Max | 81 20 | 3 563 | 2 977 | 0 397 |
| 150W | Min | 79 98 | 3 498 | 2 784 | 0 798 |
| | Max | 80 06 | 3 559 | 2 736 | 0 752 |
| 225W | Min | 81 79 | 3 380 | 2 778 | 0 658 |
| | Max | 81 83 | 3 408 | 2 734 | 0 617 |

Values for Phase 3rd Harmonic

| Power | | Avg (Deg) | Sensor 1 Abs Diff (Deg) | Sensor 2 Abs Diff (Deg) | Sensor 3 Abs Diff (Deg) |
|-------|-----|-----------|----------------------------|----------------------------|----------------------------|
| 75W | Min | 92 54 | 1 891 | 3 566 | 0 052 |
| | Max | 99 30 | 2 840 | 1 998 | 1 213 |
| 150W | Min | 149 72 | 2 334 | 0 141 | 2 665 |
| | Max | 150 10 | 2 729 | 0 102 | 2 380 |
| 225W | Min | 97 33 | 2 241 | 2 690 | 0 203 |
| | Max | 98 30 | 2 557 | 2 343 | 0 342 |

Values for Phase 4th Harmonic

| Power | | Avg (Deg) | Sensor 1 Abs Diff (Deg) | Sensor 2 Abs Diff (Deg) | Sensor 3 Abs Diff (Deg) |
|-------|-----|-----------|----------------------------|----------------------------|----------------------------|
| 75W | Min | -125 02 | 0 630 | 0 863 | 3 485 |
| | Max | -109 80 | 1 880 | 2 727 | 2 066 |
| 150W | Min | -143 78 | 0 792 | 2 180 | 1 766 |
| | Max | -143 40 | 0 494 | 2 428 | 1 482 |
| 225W | Min | 134 41 | 1 757 | 2 239 | 0 173 |
| | Max | 135 45 | 2 076 | 1 903 | 0 322 |

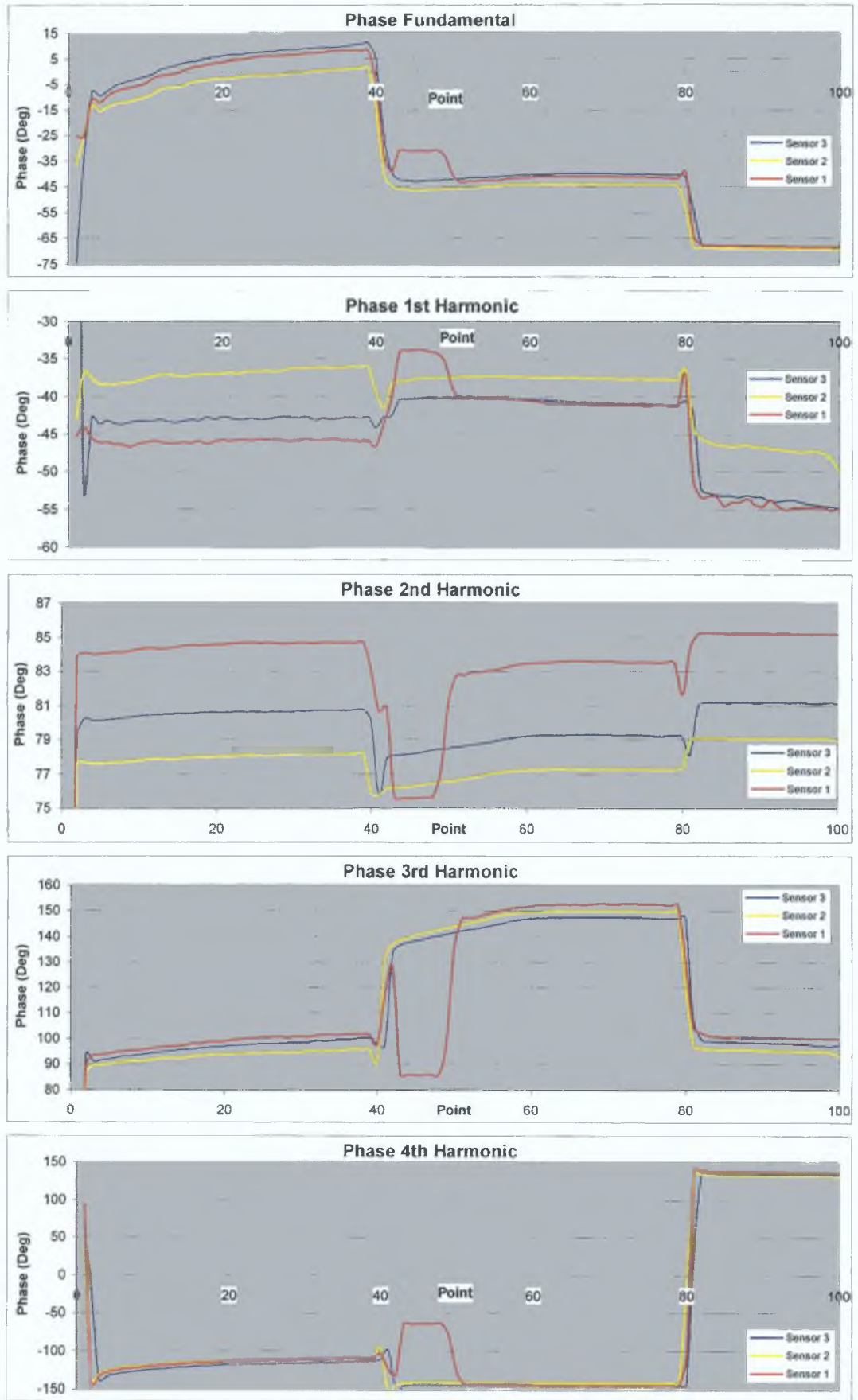


Figure 5.9 – Phase Measurements for 3 Sensors

5.4 Measurement Resolution

The PIM is a high resolution system due to the method of coherent sampling and signal averaging employed. The table below highlights the measurement resolution (for fundamental values only) of the system using the data recorded from the 3 Sensor experiment. The resolution is calculated as a percentage of two subsequent measurements, according to the equation

$$\frac{M_2 - M_1}{\text{Mean}(M_1 + M_2)} \times 100\% \quad (5.1)$$

where M_1 = first measurement
 M_2 = second, subsequent measurement

Note: The Phase resolution is calculated as a difference as this a more useful parameter for representing Phase resolution

Resolution of Sensor 1

| Fundamental Voltage | | | | |
|---------------------|-----------|-----------|----------------|--------------|
| Power | M_1 | M_2 | Abs Diff (mV) | Abs Diff (%) |
| 75W | 10.85335 | 10.85346 | 0.11 | 0.0010% |
| 150W | 23.42547 | 23.42437 | 1.10 | 0.0047% |
| 225W | 40.49251 | 40.48890 | 3.61 | 0.0089% |
| Fundamental Current | | | | |
| Power | M_1 | M_2 | Abs Diff (mA) | Abs Diff (%) |
| 75W | 5.51046 | 5.51024 | 0.214 | 0.0039% |
| 150W | 7.94006 | 7.94007 | 0.004 | 0.0001% |
| 225W | 9.35374 | 9.35369 | 0.053 | 0.0006% |
| Fundamental Phase | | | | |
| Power | M_1 | M_2 | Abs Diff (Deg) | |
| 75W | 8.08136 | 8.04230 | 0.0390 | |
| 150W | -41.24897 | -41.24741 | 0.0016 | |
| 225W | -68.03867 | -68.03732 | 0.0014 | |

Resolution of Sensor 2

| Fundamental Voltage | | | | |
|---------------------|----------------|----------------|----------------|--------------|
| Power | M ₁ | M ₂ | Abs Diff (mV) | Abs Diff (%) |
| 75W | 10 70467 | 10 70397 | 0 70 | 0 0067% |
| 150W | 25 10377 | 25 10351 | 0 26 | 0 0010% |
| 225W | 42 83236 | 42 83621 | 3 85 | -0 0090% |
| Fundamental Current | | | | |
| Power | M ₁ | M ₂ | Abs Diff (mA) | Abs Diff (%) |
| 75W | 5 40943 | 5 40945 | 0 02 | 0 0003% |
| 150W | 7 80764 | 7 80771 | 0 07 | 0 0009% |
| 225W | 9 08658 | 9 08660 | 0 03 | 0 0003% |
| Fundamental Phase | | | | |
| Power | M ₁ | M ₂ | Abs Diff (Deg) | |
| 75W | -2 82429 | -2 84311 | 0 0188 | |
| 150W | -44 16699 | -44 16213 | 0 0049 | |
| 225W | -68 87457 | -68 87550 | 0 0009 | |

Resolution of Sensor 3

| Fundamental Voltage | | | | |
|---------------------|----------------|----------------|----------------|--------------|
| Power | M ₁ | M ₂ | Abs Diff (mV) | Abs Diff (%) |
| 75W | 10 86950 | 10 86908 | 0 42 | 0 0039% |
| 150W | 22 60520 | 22 60702 | 1 82 | 0 0081% |
| 225W | 40 53396 | 40 53754 | 3 58 | 0 0088% |
| Fundamental Current | | | | |
| Power | M ₁ | M ₂ | Abs Diff (mA) | Abs Diff (%) |
| 75W | 5 41181 | 5 41188 | 0 07 | 0 0012% |
| 150W | 7 78799 | 7 78785 | 0 14 | 0 0018% |
| 225W | 9 23948 | 9 23944 | 0 04 | 0 0004% |
| Fundamental Phase | | | | |
| Power | M ₁ | M ₂ | Abs Diff (Deg) | |
| 75W | 6 64538 | 6 65834 | 0 0130 | |
| 150W | -39 97995 | -39 98192 | 0 0020 | |
| 225W | -68 62762 | -68 62940 | 0 0018 | |

Chapter 6

PLASMA DIAGNOSTICS AND PIM APPLICATIONS

In the absence of thermodynamic equilibrium, the state of the plasma is determined by external parameters such as electrical power, pressure, gas flow rate, and internal parameters such as the rate constants of reactions. Plasma technology has reached the stage where it is possible to control empirically the right combination of parameters to obtain highly reproducible results in the same or similar system. Changes in these macroscopic variables will generally change the basic plasma conditions, but the precise manner of these changes is, in most cases, unknown.

6.1 Plasma Diagnostics

The objective of plasma diagnostics is to obtain information about the state of the plasma by examination of the physical processes occurring in it or controlling it. Plasma diagnostics can be classified into ex-situ and in-situ techniques. Ex-situ, or off-line techniques, sample the contents of the plasma and transfer the sample outside the chamber for examination. In-situ, or on-line techniques, provide real-time measurement of at least one plasma or process parameter. A brief outline of the most used techniques for plasma diagnostics is given below.

Mass Spectrometry

- Identifies molecules, radicals, atoms, or ions by their molecular or atomic weight
- Used for quantifying plasma composition or for process control
- Types available are Magnetic Analyser, Time-of-Flight Spectrometer, and Quadrupole Mass Spectrometer (QMS)
- QMS is most used type and analyses ions on the basis of their mass to charge ratio (m/e)
- Can be used for end-point detection in plasma etching
- Ex-situ, intrusive technique (considered intrusive because the sampling port is in direct contact with the plasma)

Electrostatic Probes

- Allows the determination of plasma density (n), electron temperature (T_e), plasma potential (V_p), and floating potential (V_f)
- Analysis of the probe IV characteristic yields the plasma parameters
- Most used types are single or double Langmuir probes
- In-situ, intrusive technique

Optical Methods

- Uses photons to transmit information from the plasma to the detection tool
- Techniques based on analysis of optical radiation and absorption by the plasma
- Provides information about the concentration of plasma species and their temperature
- Techniques include Optical Emission Spectroscopy (OES), Absorption Spectroscopy, Laser Induced Fluorescence, Raman Spectroscopy, Laser Interferometry
- OES can be used for process control in etch and deposition processes
- In-situ, non-intrusive technique

6 1 1 Impedance Probes

Process engineers generally understand the effects of traditional process variables such as gas flow rate, pressure, substrate temperature and bias. However, measurement of the electrical RF parameters of plasmas and process chambers is being recognised as a very interesting diagnostic for plasma research and has shown great potential for semiconductor manufacturing [18,19,24,25]. IV probes can be used to predict and diagnose machine faults and to monitor and control etch and deposition processes [18,24,25,29,32]. For example, etch rate and etch uniformity are often used as tool-performance indicators [29] and the performance of the device manufactured can be affected by the performance of the etch process [13,31].

Including an IV sensor between the matching network and plasma chamber allows new electrical variables to be monitored and controlled [24,31,32]. This can give engineers valuable assistance in diagnosing process variations. Problems such as poor RF connections and faulty matching boxes [24,29], process changes due to changes in RF power, gas pressure and gas flow rates [18,24,25,31] can all be detected and solved

more easily by using RF impedance probes. RF probes are an in-situ diagnostic that do not require complex set-up and calibration and can lead to improved wafer yield and overall equipment effectiveness. The following sections discuss some applications of the PIM system.

6.2 PIM Applications

The most important parameters that generally control or affect materials processing by plasmas are the following [18,24,31,32]

- Electrical power and frequency
- Partial pressures of feed gases and their flow rates
- Total reactor pressure
- Substrate temperature and bias
- Reactor geometry and material
- Chamber wall and electrode condition

These parameters have to be carefully controlled to define the plasma and achieve the desired process results. For example, the RF power determines the plasma current and voltage. The gas mixture composition can affect the chemical reactions inside the plasma reactor and the properties of the final product. The combination of the gas feed, reactor pressure, and electrical power will each affect the rates of the reactions. The reactor geometry and substrate temperature and bias can also affect the reaction rates. A variation of the frequency can affect the number and energy of the ions that can follow the alternating field, thus changing the flux and energy of the particles bombarding the plasma-treated surface.

By choosing a suitable reactor and adjusting the plasma parameters, it is possible to control the interaction between the physical and chemical mechanisms that determine the plasma to achieve the required process characteristics. In the future, it may be possible to model the plasma and predict the outcome of each process step [26], and even to generate an alarm or shut down the tool when the process drifts, if allowed to continue, would result in product damage [27].

6 2 1 RF Power Delivery and Match Unit Variations

The only aspect of the RF power that has historically been monitored has been the power transferred between the RF generator and the matching network, namely the forward and reflected powers. Matching network losses are generally unaccounted for and can be quite high and variable [18,25,31]. Therefore, it may be difficult to know the actual power delivered to the plasma, and hence, its effect on the process.

Traditionally, the RF setpoint for a plasma process is the generator output power and it is this power that is controlled during the process period. However, the power measured is the power that is delivered to the matching network and plasma, and does not indicate the plasma power alone. Power losses that occur in the matching network and the RF delivery path can be considerable and it is, therefore, not possible to determine how much of the generator power is actually consumed by the plasma [18,29,31]. In addition, hardware differences from tool to tool may also effect the power transfer efficiency and result in variations in the process performance for the same nominal process conditions [18,19,25,31].

By installing an IV sensor after matching networks, post-match measurement can be performed with this information being used for feedback control of the generator or match network [18]. It may even be possible to use the sensor for diagnostics of the complete tool hardware [24,29]. For example, Figure 6 2 shows the Voltage and Current measurements taken by a PIM system that was installed on an Applied Materials DPS poly-silicon etch tool [40].

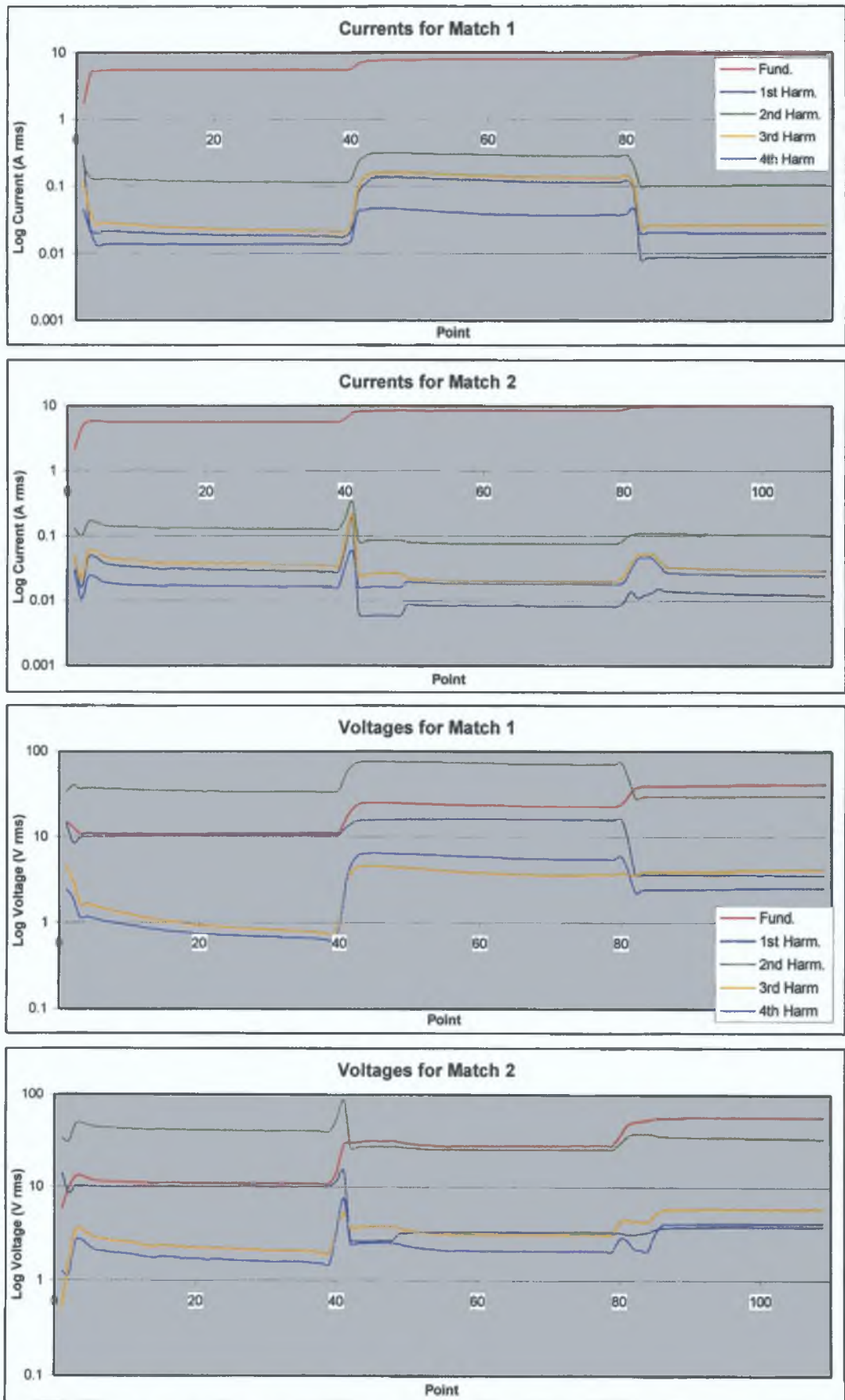


Fig. 6.2 – RF Voltage and Current for Two Matching Units

During this experiment, the matching network used with the tool was replaced by an identical type and the same process steps performed. During the process, the power is increased in three steps, as can be seen by the increase in the Fundamental Voltage and Current measurements. However, the performance of the second matching network is considerably different from that of the first at the harmonics. The harmonics of the second match do not increase as they do for the first match. In addition, the Second harmonic of the Voltage for the first match is significantly larger than the Fundamental. This is to be expected for an ICP reactor, but is not observed when the second match is installed.

Matching networks maximise the generator output power delivered to the plasma by transforming the complex load of the plasma so that it appears as a purely 50Ω resistive load. This does not, however, guarantee that the efficiency of the power transfer is 100%. The efficiency, η , is given by [18,25]

$$\eta = \frac{R_L}{R_L + R_M} \quad (6.1)$$

where R_L = load resistance
 R_M = match resistance

Therefore, the efficiency only approaches 100% when $R_L \gg R_M$. The efficiency depends on the load resistance, which in turn, depends on the plasma, and hence process conditions. However, any differences in the match resistance will lead directly to differences in the power delivered. In addition, variations in the stray capacitance of the RF circuit can reduce the overall efficiency of RF power delivery by dissipating more power in the matching network. Therefore, changes in the process, matching network resistance and stray capacitance can produce variations in tool to tool performance [18,25]

Patrick et al [18] have shown, using a LAM Research TCP 9400PTX silicon etching system, that there is a 5% increase in etch rate when one match is compared to another. They have also shown that the etch rate decreases if the stray capacitance (from chuck to ground) is increased. These factors have been attributed to the poor match efficiency.

6.2.2 Chamber Clean End Point

Rather than just measure the power dissipated in the plasma, the PIM measures the plasma Voltage, Current and Phase angle between the voltage and current. It performs these measurements for the fundamental and first four harmonics. A process change may not be seen by simply observing the power but will be indicated by changes in either the voltage, current or phase [18,24,25]. In addition, once the basic RF parameters have been measured, the plasma impedance can be determined.

The plasma impedance is a complex quantity, with resistive, inductive and capacitive components. A change in any one component can affect the plasma process and hence, the quality of the product being processed. By accurately measuring the plasma RF parameters, changes in the load impedance can be compensated for. In fact, any change in the plasma process such as gas pressure and mixture, RF power, chamber condition, and wafer condition will each affect the measured RF parameters [17,18,24,25,31,32].

Figure 6.3 shows the variation of the plasma impedance during a Silicon Nitride chamber clean process measured by a PIM system installed on a commercial process tool. Observe how the 3rd Harmonic of the Impedance abruptly changes as the end point of the chamber clean is reached. A similar change in the 2nd Harmonic is also observed, but is less severe. The end point was determined using a conventional optical method.

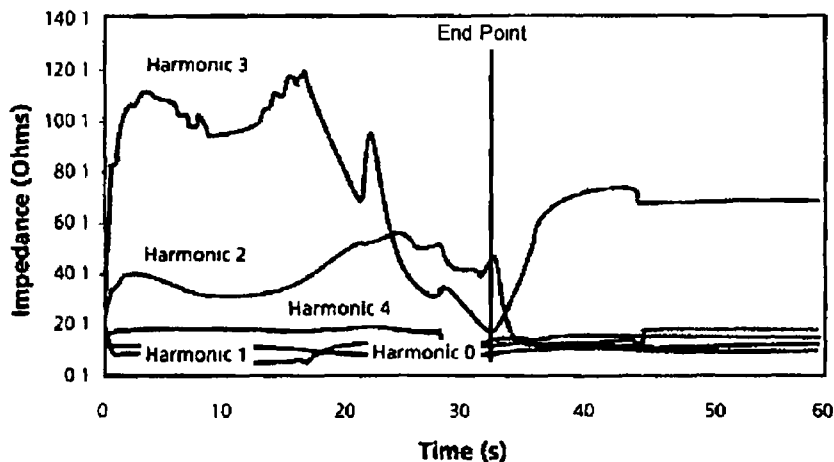


Fig. 6.3 – Plasma Impedance Variation during Chamber Clean

6.2.3 Etch End Point

Plasma etching is a complex process to control [13,29], and can account for almost one-third of the total number of wafers scrapped during present day semiconductor fabrication [29]. As the endpoint of the etch process is reached, the chemical composition of the plasma changes as the area of the etched material decreases [18]. This can cause the underlying film to be damaged if the process is not stopped in time. As device complexity continues to push plasma etch tools toward the limit of their capabilities, understanding all the sources of variability that can exist in the etch process becomes imperative.

Monitoring the plasma RF current and voltage can provide valuable data that can be used to detect etch endpoint [18,41]. Several RF parameters undergo parallel changes at an etch endpoint stage and this information can be used to determine proper process termination. Problems associated with optical endpoint detection, such as optical window clouding, detector drift and optical set-up, can then be avoided.

Figure 6.4 shows the end point of an oxygen photoresist etch process being detected by a PIM system installed on a commercial etch tool. The optical endpoint occurred at approx. 140secs and can be seen to correspond to a dramatic change in the impedance of the 5th Harmonic of the Impedance.

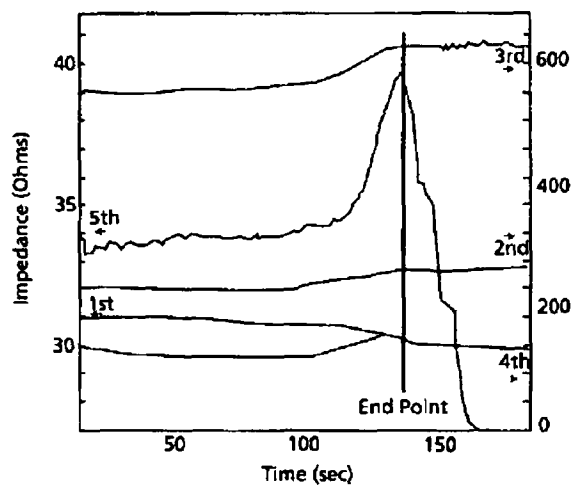


Fig 6.4 – Etch Endpoint Detection

6.3 Other Possible Applications

As the manufacture of semiconductor devices becomes more complex, tighter control of the processes and process equipment is required. In addition, it is becoming critical to better understand the properties and effects of the plasma when processing different materials. Plasma impedance sensors, such as the PIM, can perform this important role as they are non-intrusive, provide the accuracy and resolution required, and measure and report the data in real time.

A summary of possible applications of impedance sensors is given below.

- Power Levelling – the plasma process is a function of plasma power and not generator output power. IV sensors can be used to accurately measure plasma power.
- Etch Endpoint – a change in the gas composition due to the endpoint stage results in a plasma density change and corresponding plasma impedance shift.
- Clean Endpoint – removal of polymer coatings on the chamber walls results in a plasma impedance change.
- Chamber Matching – chamber condition after a preventative maintenance cycle can be checked by running a simple plasma and comparing known RF parameters.
- Chamber Fingerprinting – by characterising the chamber in response to changes in a specific input parameter (e.g. power, pressure, flow rates), changes during a process run can be diagnosed.
- RF Supply and Grounding – problems associated with chamber RF grounds, RF generators, match networks or RF delivery paths can be checked by measuring the RF parameters at known conditions.
- Process Control and Fault Detection – variations in the conventional control parameters can be detected by using IV sensors, and the detection of malfunctions can reduce defective manufacturing.

Chapter 7

CONCLUSION

An instrument capable of measuring the amplitude and phase of the first five Fourier components of the plasma Voltage and Current of RF plasma processing systems has been designed, tested and applied in real world applications. The complete system design, including the technique of Coherent sampling that has enabled the superior measurement performance has been described as has the novel sensor design, which addresses some of the design flaws of conventional IV sensor design.

The *Plasma Impedance Monitor* is capable of improving our understanding of plasmas by verifying current plasma equivalent models and may become as universally accepted as Langmuir probe measurements are today.

It may also become an invaluable process control sensor for the semiconductor manufacturing process, or for any process where accurate control of RF plasmas are required. It has been shown here and by others that knowledge of RF power generation, impedance matching and the RF delivery path to process chambers is not a simple matter. It requires careful consideration and design if accurate device manufacture and high yield are required from an RF plasma process.

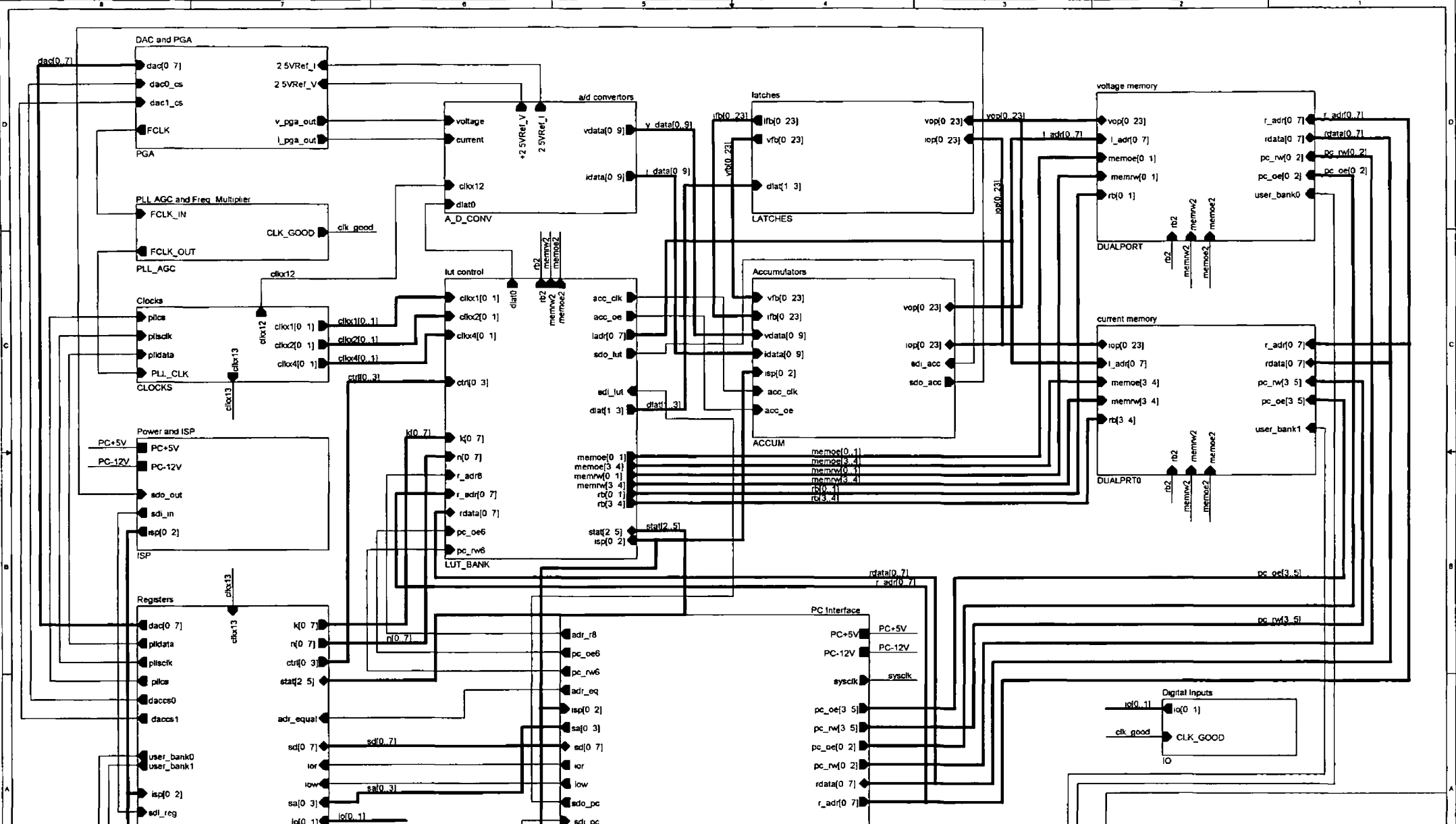
It seems astounding that present day semiconductor manufacture is now capable of producing devices with feature sizes smaller than $0.18\mu\text{m}$ but that the only location where the RF power is controlled is where the RF generator and the matching network connect. It has been shown that power losses in matching networks are high and may vary during the period of the process. The RF power is the energy source that sustains the plasma, and it is the plasma that is the mechanism by which the process of etching or deposition proceeds. It would seem, therefore, that accurate control of the RF power delivered to the plasma be imperative to obtaining satisfactory process results.

The design of the *PIM* can be customised to suit a particular process or chamber, providing the level of control and functionality required. It may even be possible to use *Artificial Intelligence* or *Expert Systems* with plasma or process models to predict the outcome of the process and control the tool accordingly.

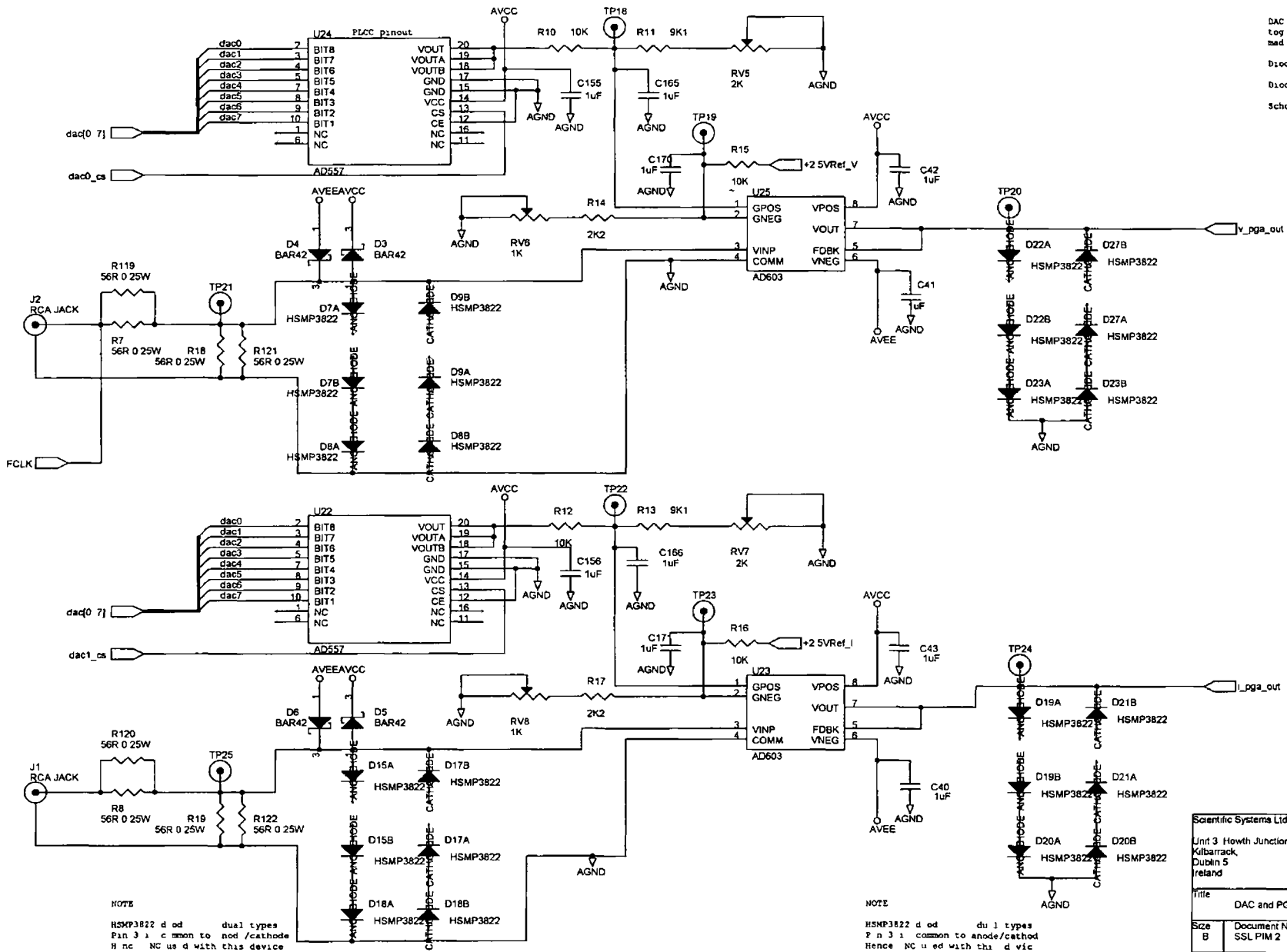
APPENDIX A

The following pages contain the circuit schematic diagrams of the *RF Vector Integrator*, and are detailed as follows

- Sheet 1 Hierarchical view of the circuit sections
- Sheet 2 Input termination and Programmable Gain Amplifiers
- Sheet 3 Analogue to Digital Converters and Voltage References
- Sheet 4 Clock Signal Auto Gain Amplifier and Comparator
- Sheet 5 Sampling Frequency Generator (PLL) and Multiplier
- Sheet 6 Logic Array IC for Registers
- Sheet 7 Logic Array IC for PC Interface
- Sheet 8 Logic Array IC for Acquisition and Look Up Table RAM
- Sheet 9 RAM Feedback Registers
- Sheet 10 Logic Array IC's for 24-Bit Accumulators
- Sheet 11 Voltage Signal RAM
- Sheet 12 Current Signal RAM
- Sheet 13 External Digital Input
- Sheet 14 Analogue Voltage Supply Generation and Decoupling



| | | |
|---|-----------------------------|---------------|
| Title | | |
| Plasma Impedance Monitor PC Card Root Schematic Sheet | | |
| Size | Document Number | Rev |
| B | | 30 |
| Date | Wednesday, October 27, 1999 | Sheet 1 of 14 |



DAC Ground - Connect DAC analog and digital ground together at the device. If single ground connection is made, use analog ground.

Diodes and Schottkys are 50723

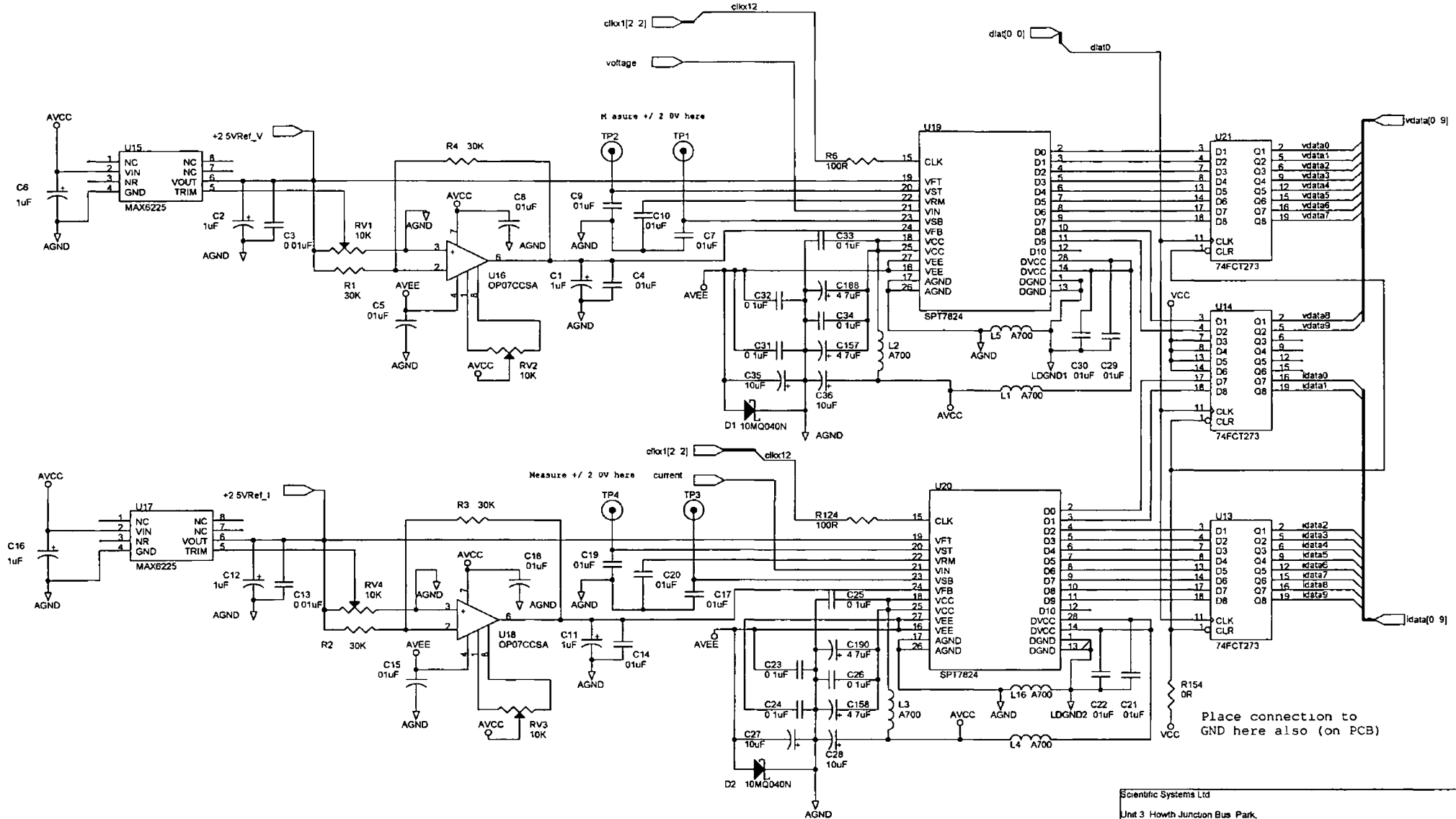
Diodes are RF type

Schottky diodes are ultra fast

NOTE:
 HSMP3822 diodes - dual types
 Pin 3 is common to anode/cathode
 If not used with this device

NOTE:
 HSMP3822 diodes - dual types
 Pin 3 is common to anode/cathode
 Hence not used with this device

| | | |
|--|------------------------------|-----------|
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| Unit 3 Howth Junction Bus Park Kilbarrack, Dublin 5 Ireland | | |
| Title DAC and PGA Circuit | | |
| Size B | Document Number SSL PIM 2 | Rev 30 |
| Date Monday, September 18, 2000 | Sheet 2 | of 14 |

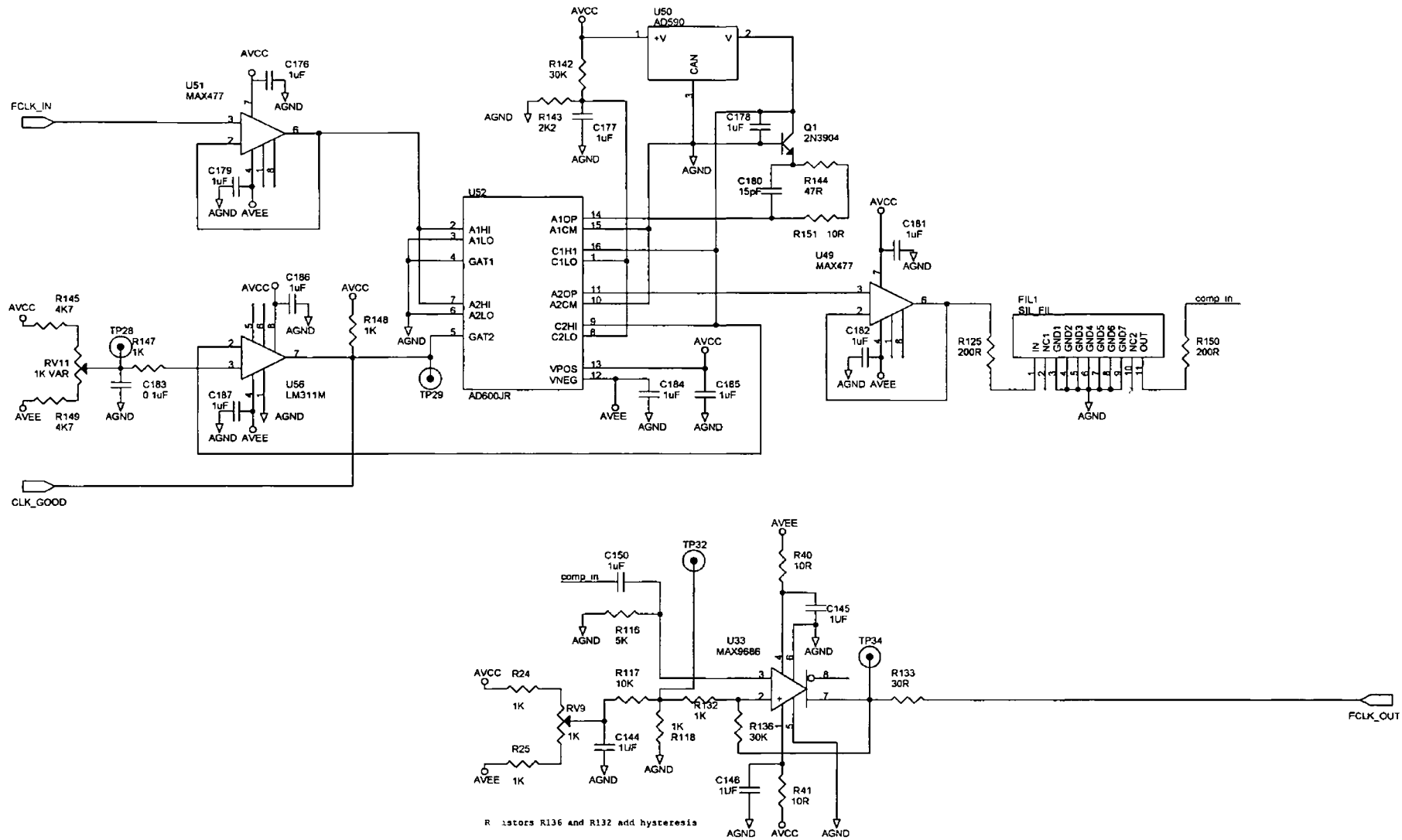


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Title
 Analog to Digital Convertors

| | | |
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| Size B | Document Number SSL PIM 4 | Rev 3.0 |
|-----------|------------------------------|------------|

Date Wednesday, May 05, 1999 Sheet 3 of 14

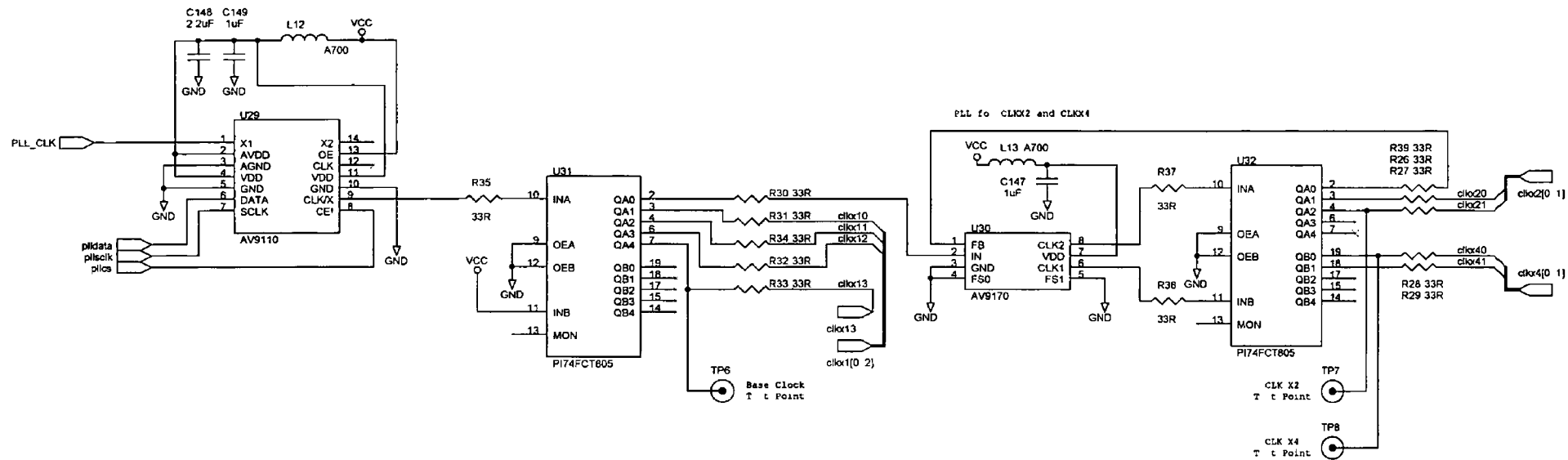


Resistors R136 and R132 add hysteresis

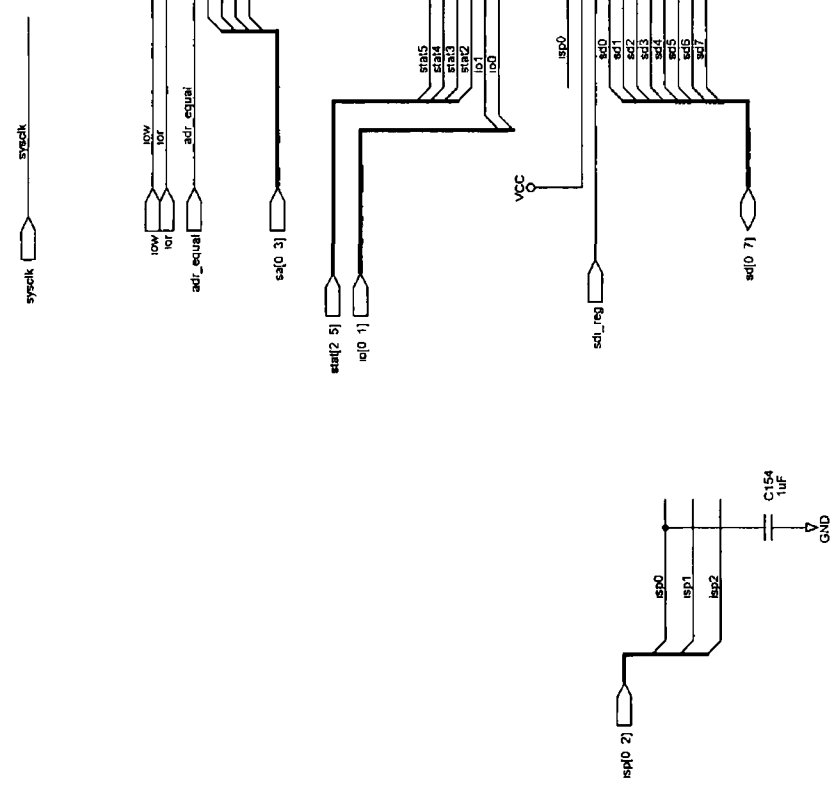
The MAX9686 should be placed as close as possible to the signal join between the analog and digital power and ground joints

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| | | |
|--|-------------------------------|------------|
| Title Clock Signal AGC and Freq. Multiplier Circuit | | |
| Size B | Document Number SSL PIM 14 | Rev 3.0 |
| Date Monday, September 18, 2000 | Sheet 4 | of 14 |



| | | |
|--|------------------------------|------------|
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| Title Clock Generator Circuit | | |
| Size B | Document Number SSL PIM 3 | Rev 3.0 |
| Date Monday, September 18, 2000 | Sheet 5 | of 14 |

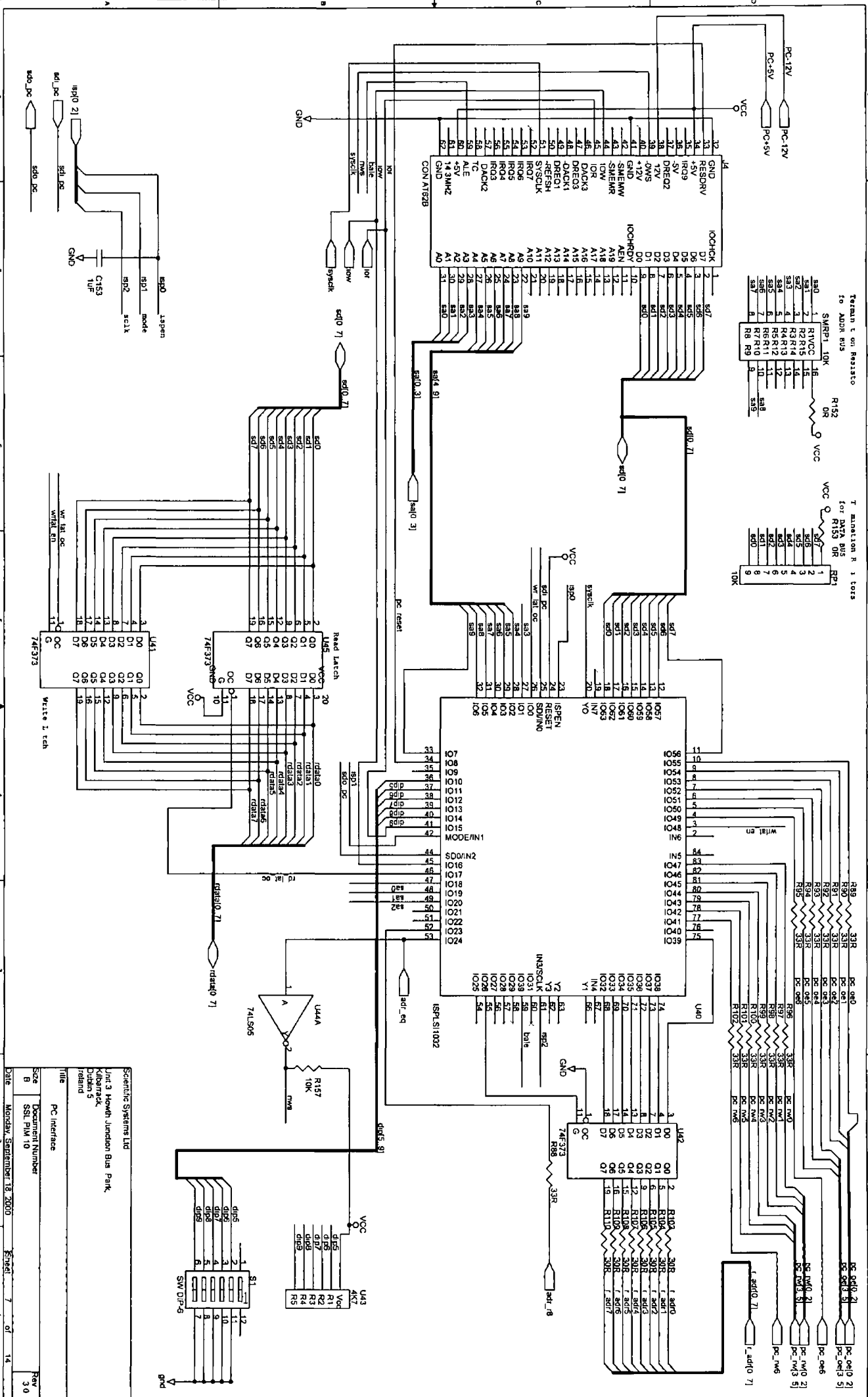


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 Kiltarnack,
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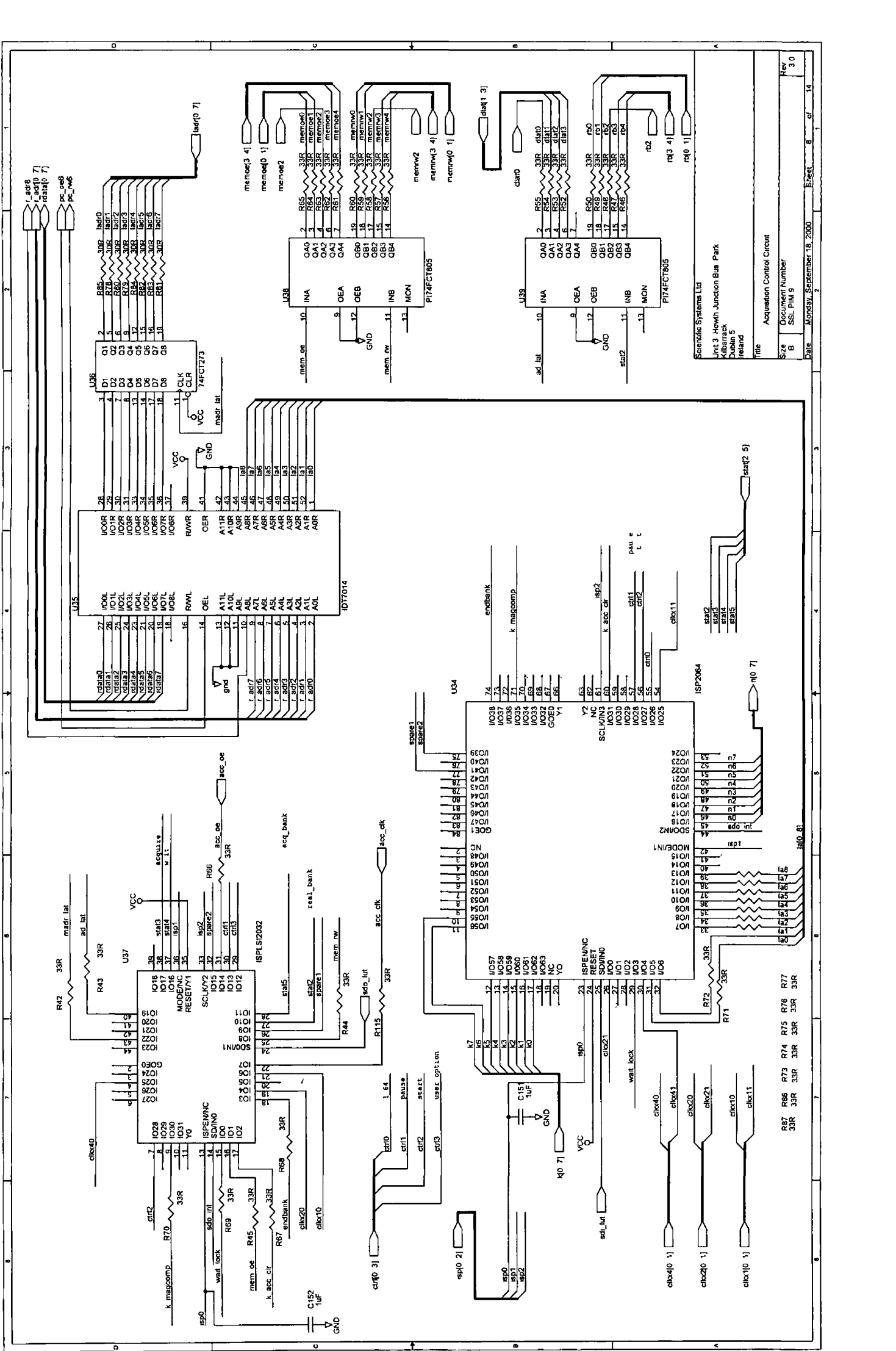
| | | |
|------|----------------------------|------------------------|
| File | | Register PLD Schematic |
| Size | B | Document Number |
| Rev | 3.0 | SSL PIM 11 |
| Date | Monday, September 18, 2000 | Sheet 6 of 14 |

For test purposes n[0:7] and k[0:7] can be examined by scope & they do not change dynamically.
 Two 128 DAC input test points only test timing other bits by scope

E. amin



| | |
|--------------------------------|----------------------------|
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| Kilbarney | |
| Dublin 15 | |
| Ireland | |
| Title | PC Interface |
| Size | Document Number |
| B | SSL PIM 10 |
| Date | Monday, September 18, 2000 |
| Sheet | 7 of 14 |
| Rev | 3.0 |



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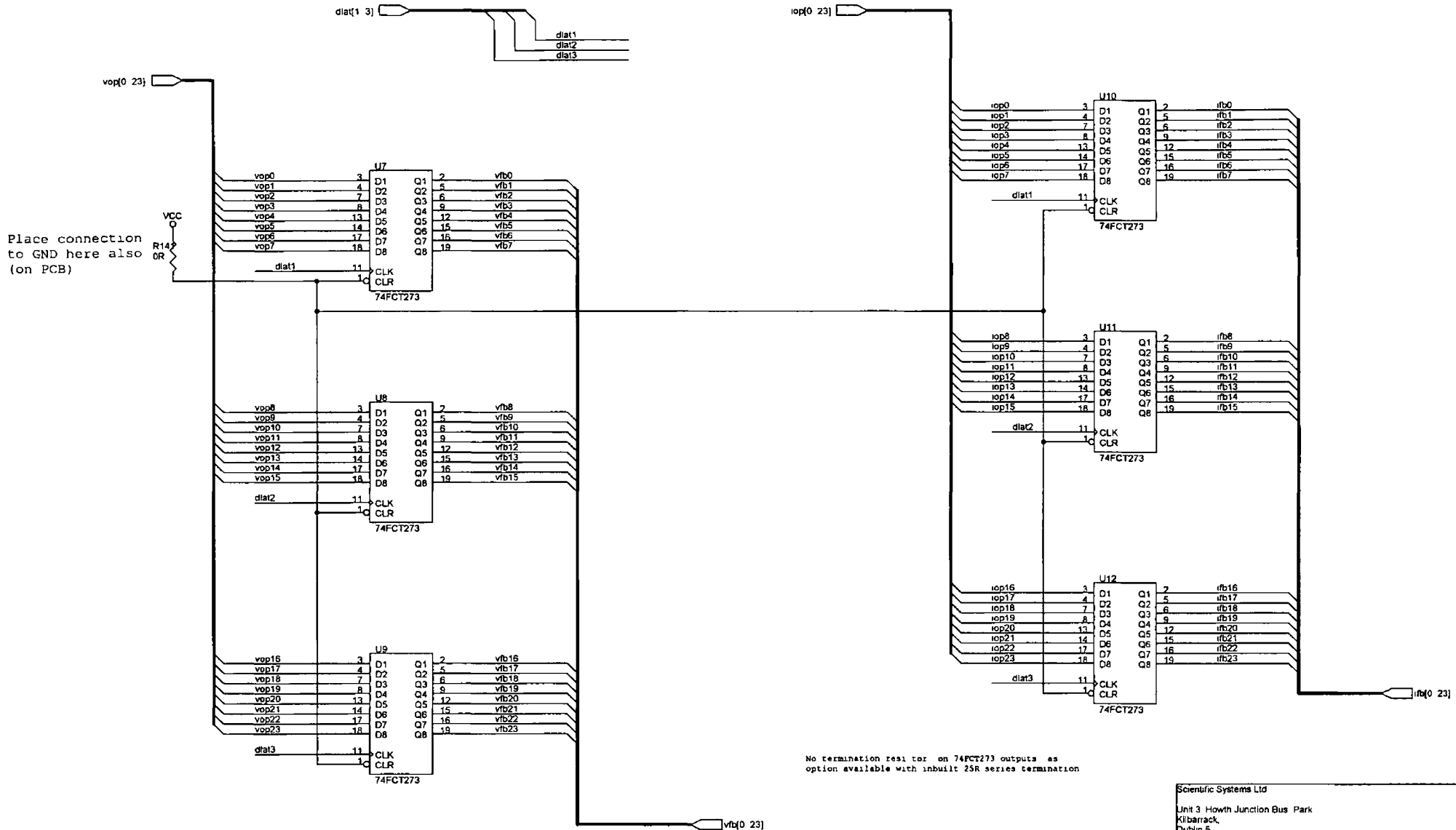
Title Acquisition Control Circuit

Size B Document Number SSL PIM 9

Date Monday, September 18, 2000 Sheet 8 of 14

Rev 30

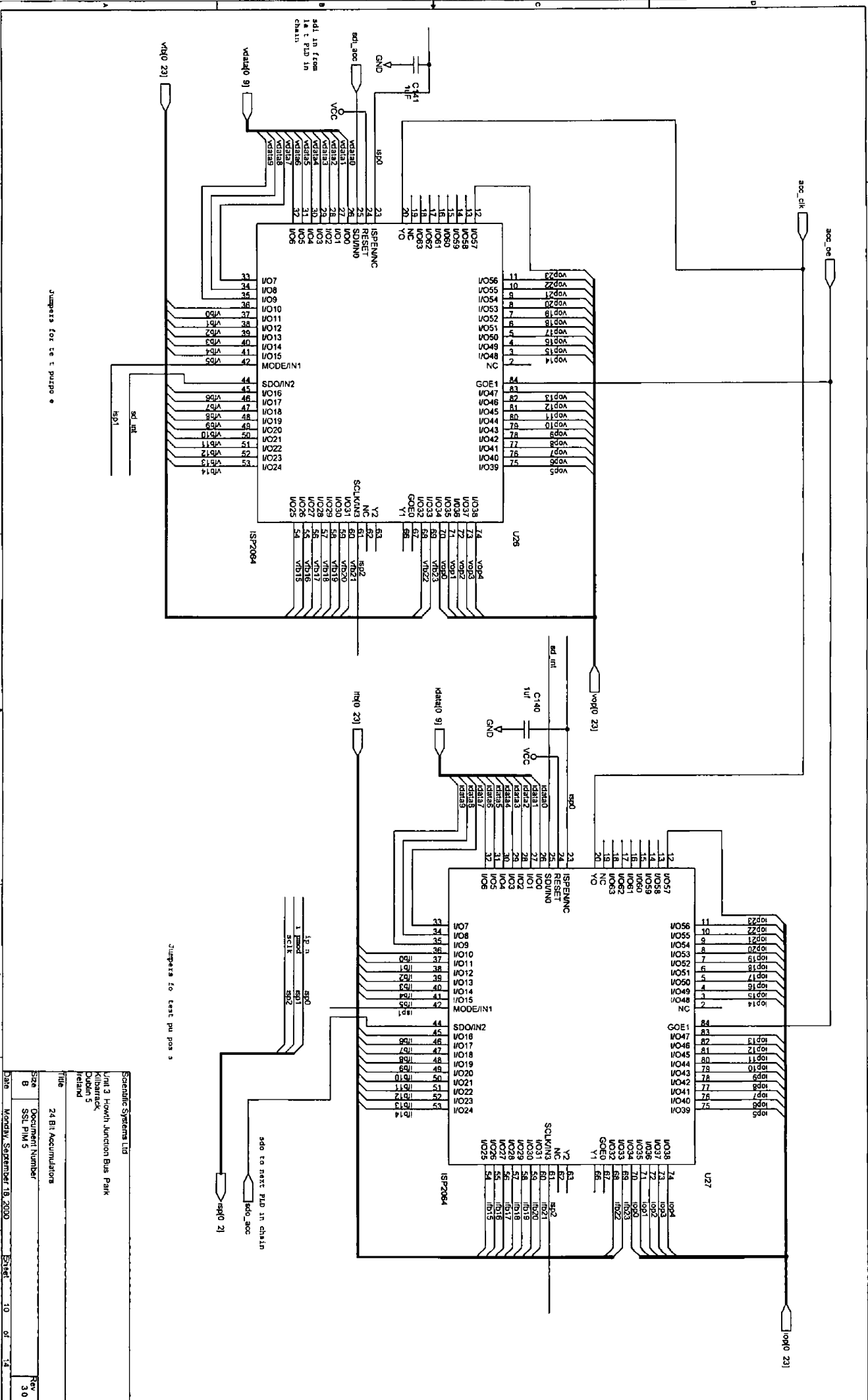
R87 R86 R73 R74 R75 R76 R77
 33R 33R 33R 33R 33R 33R 33R



Place connection to GND here also (on PCB)

No termination res tor on 74FCT273 outputs as option available with inbuilt 25R series termination

| | | |
|--|------------------------------|------------|
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| Unit 3 Howth Junction Bus Park Kilbarrack, Dublin 5 Ireland | | |
| Title Memory Data Feedback Latches | | |
| Size B | Document Number SSL PIM 8 | Rev 3 0 |
| Date Wednesday, May 05, 1999 | Sheet 9 | of 14 |

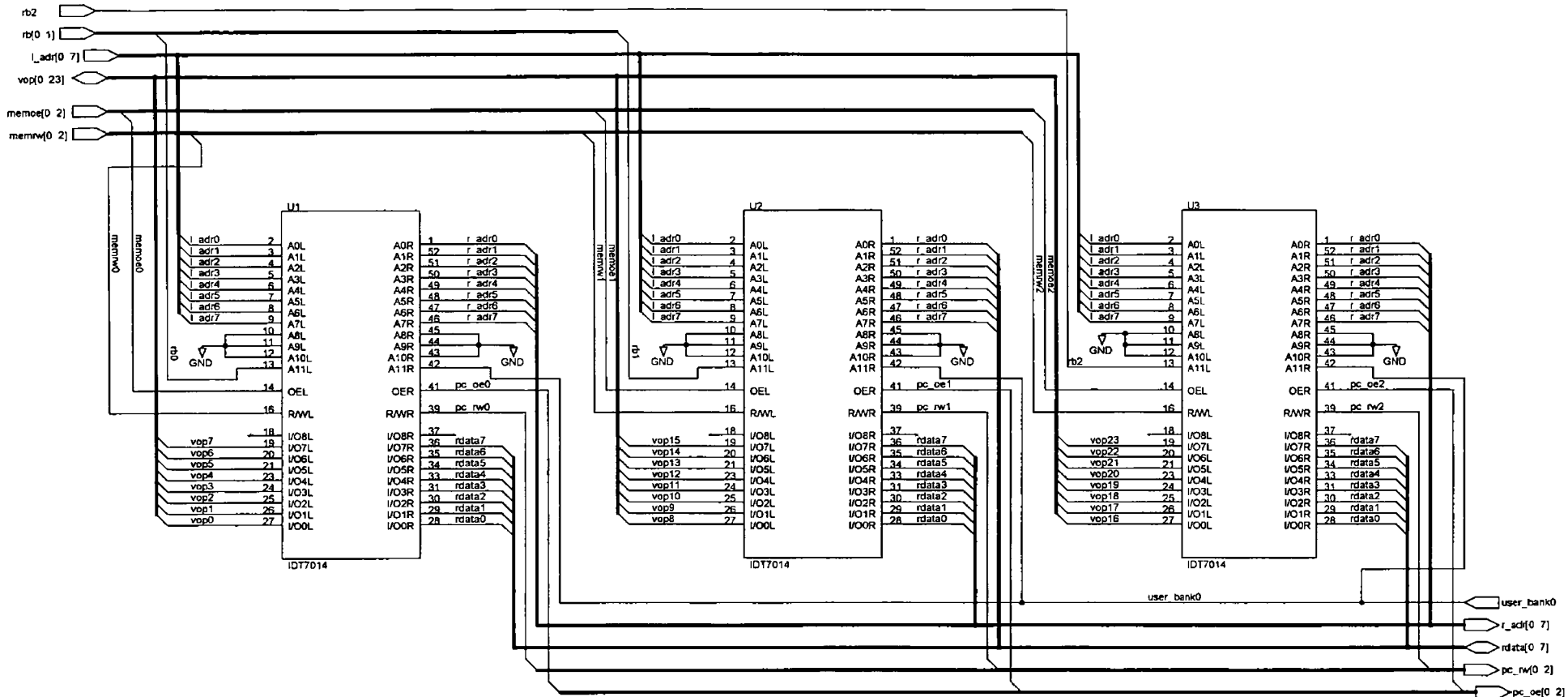


Jumpers for test purpose

Jumpers to test pin pos 3

sdo to next PUD in chain

| | |
|--------------------------------|----------------------------|
| Scientific Systems Ltd | |
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| Kilbarack | |
| Dublin 5 | |
| Ireland | |
| Title | 24 Bit Accumulators |
| Size | Document Number |
| B | SSL PIM 5 |
| Date | Monday, September 18, 2000 |
| Sheet | 10 of 14 |
| Rev | 3.0 |



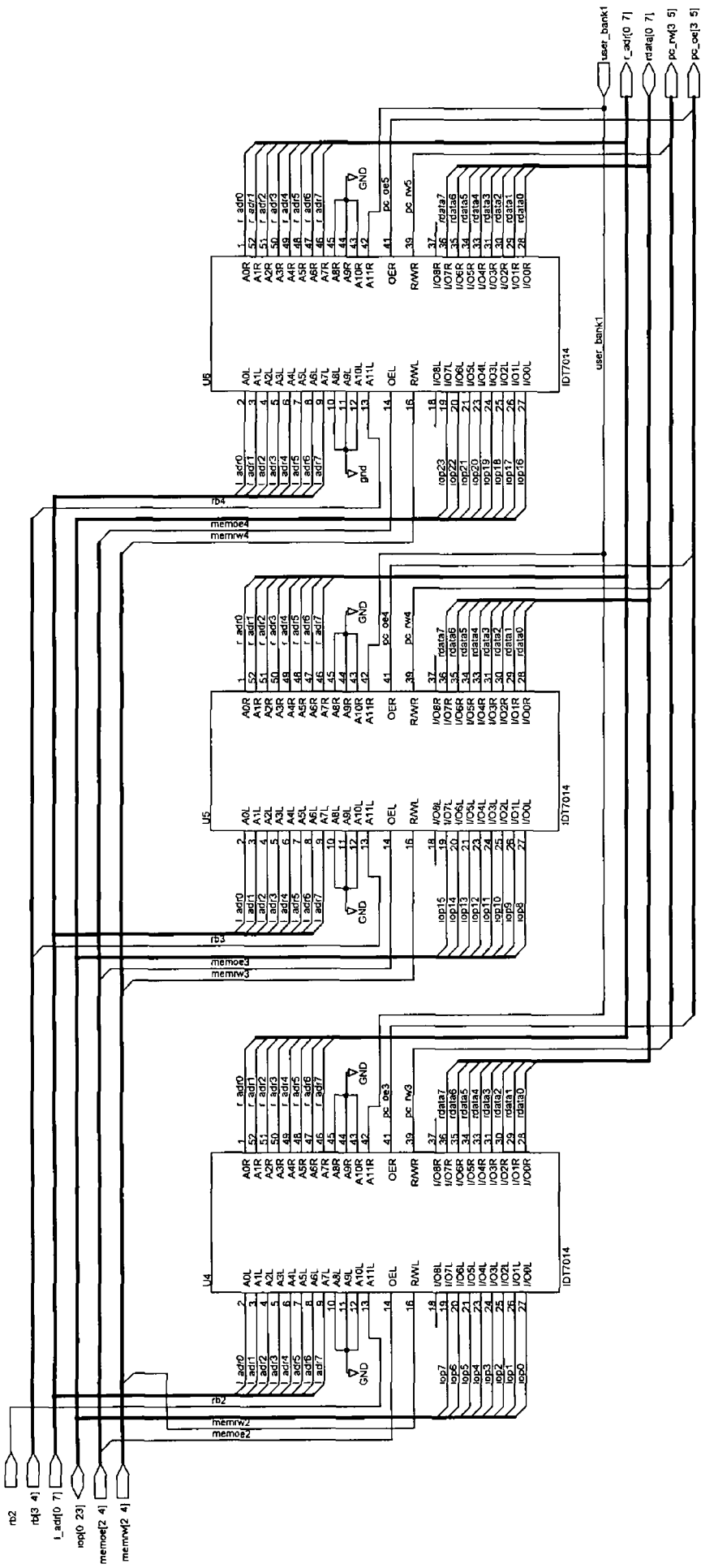
PC Side of Memory

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Title Voltage Signal Dual Port Memory

| | | |
|---------------------------------|---------------------------|---------|
| Size B | Document Number SSL PIM 6 | Rev 3 0 |
| Date Monday, September 18, 2000 | Sheet 11 of 14 | |

A Circuit to S d of Memory



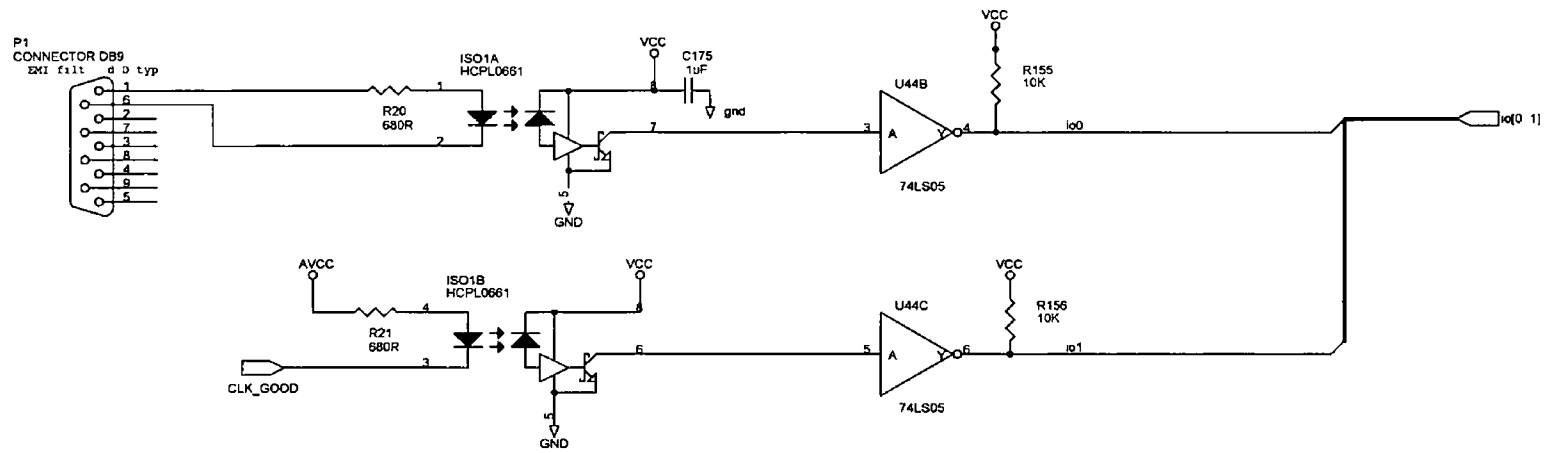
PC side of Memory

Scientific Systems Ltd
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Title: Current Signal Dual Port Memory
 Size: Document Number
 B: SSI, PIM 7
 Date: Monday, September 18, 2000
 Sheet: 12 of 14
 Rev: 3.0

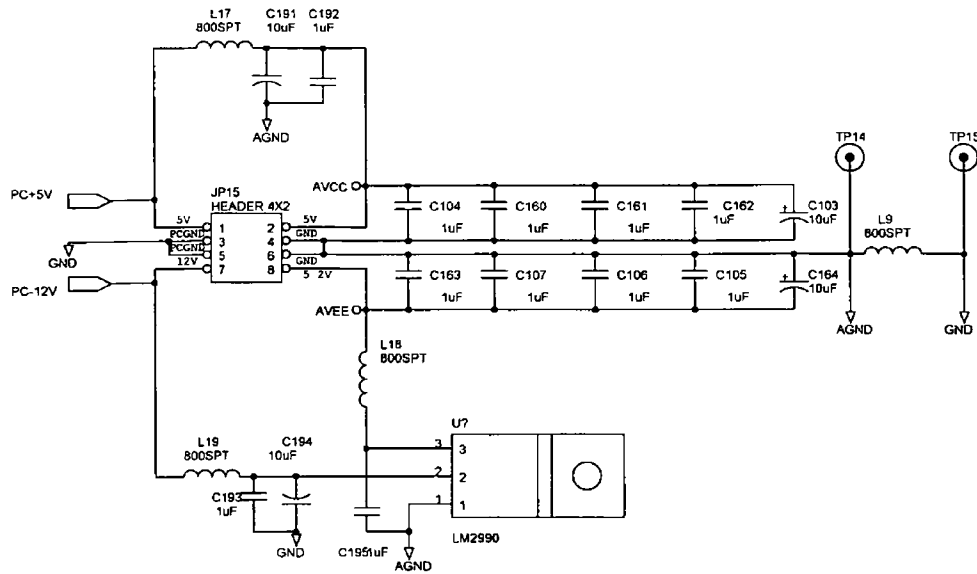
External Trigger Optoisolators

U HCPL 0661 to 1 w speed triggers
(Up to 10Kbd)

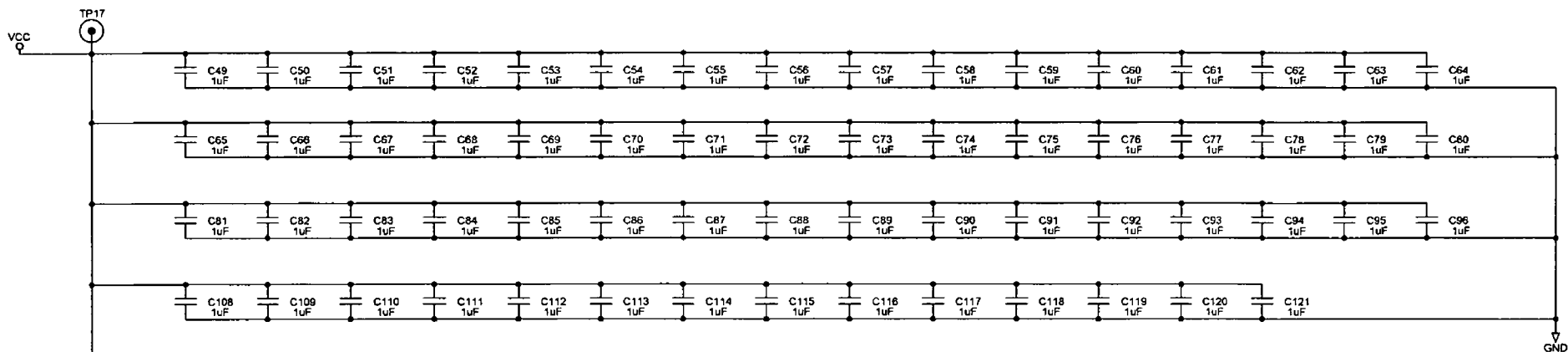
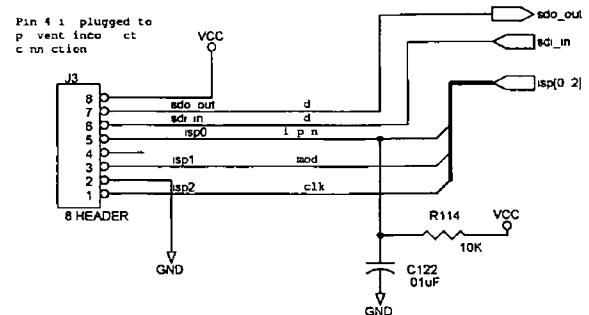


| | | |
|--|-------------------------------|------------|
| Scientific Systems Ltd | | |
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| Title Digital Inputs | | |
| Size B | Document Number SSL PIM 12 | Rev 3.0 |
| Date Monday, September 18, 2000 | Sheet 2 | of 14 1 |

Analog Section Voltage Supply



ISP Programming



Decoupling and Storage Capacitors for Digital Sections

Place minimum one 0.1uF capacitor per power pin on each device and place one 10uF capacitor for each main logic sheet.

| | | |
|--|-------------------------------|------------|
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| Title ISP and Decoupling | | |
| Size B | Document Number SSL PIM 13 | Rev 3.0 |
| Date Monday, September 18, 2000 | Sheet 14 | of 14 |

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