

25 **1 INTRODUCTION**

26 Laser surface modification is a beneficial processing method that can be used to enhance the
27 mechanical properties of a material, such as hardness [1], wear resistance [2], fatigue strength
28 [3], and corrosion resistance [4]. Surface nitriding is a thermochemical method of surface
29 modification, in which nitrogen is incorporated into a metal or other material, at an elevated
30 temperature. It can increase wear resistance, corrosion resistance, fatigue life, and hardness of
31 parts [5]. In its most basic form, gas nitriding, it is performed by heat-treating the material in
32 a pure nitrogen, or often ammonia [5], atmosphere. The process requires a long exposure
33 time, up to 75 hr. The advantages of conventional furnace gas nitriding include the improved
34 hardness, sliding wear resistance, and corrosion resistance, that it can be performed below the
35 phase transformation temperature, that it requires no further processing such as quenching
36 (which could introduce warping or cracks), and that the modified layer does not alter the
37 dimensions of the part. The main disadvantage of conventional furnace gas nitriding is its
38 processing time. Other common types of nitriding are plasma nitriding and ion-beam
39 nitriding, which can decrease the time and temperature needed compared to gas nitriding [6].

40 Laser nitriding is a novel method which combines laser surface modification with nitriding.
41 In laser nitriding, a laser is used as the heat source, focused on the surface of the material to
42 locally heat the surface, either in an atmosphere of nitrogen or with a jet of nitrogen delivered
43 to the laser heated site. The technique was first reported by Katayama et. al. in 1983 [7], and
44 has been successfully applied to many different materials and alloys, such as iron, carbon
45 steel, stainless steel, aluminium, and titanium [5][8][9][10]. Laser nitriding compares
46 favourably to other nitriding methods, achieving comparable hardnesses and treatment depths
47 to gas nitriding in the shortest treatment time compared to gas, plasma, or ion-beam methods
48 [6].

49 Laser surface modification alone can improve the hardness and wear resistance of metal
50 surfaces. Aqida et. al. improved the surface hardness of AISI H13 tool steel from ~300 HV to
51 up to 1017 HV using a 1.5 kW CO₂ laser at powers of 825-1050 W, with a jet of argon
52 delivered in line with the beam [4]. Majumdar et. al. compared the results obtained using a jet
53 of argon, nitrogen, or a 50/50 mix of the two gases, with a 2 kW CO₂ laser on the surface of
54 SAE 52100 tool steel [1]. The authors found increases of microhardness ranging from ~100-
55 200 HV for the argon jet, up to 650 HV for the nitrogen jet, and up to 700 HV for the 50/50
56 mixture. The wear resistance was found to improve with the hardness.

57 Using 100% N₂ gas may result in surface cracks and brittleness. Sun et. al. and Mridha et. al.
58 found the formation of the surface macro/micro-cracks in a Ti-6Al-4V alloy laser nitrided
59 with 100% N₂, due to the high cooling rates [11,12]. Sun et. al. reported that optimising the
60 main laser processing parameters could reduce the residual stresses in the altered layer, and
61 thus reduce the occurrence of surface cracks [11]. Alternatively, the application of diluted
62 nitrogen, typically diluted with argon, can reduce cracks. However, this may also reduce the
63 hardness achieved. Several researchers have used different ratios of argon-nitrogen gas
64 mixtures [1,12–18]. Argon gas is typically chosen as the diluting gas because it decreases the
65 surface tension of the molten material melted by the laser, allowing deeper penetration of the
66 nitrogen in the mixture [19].

67 Nitriding to improve the properties of steel has possible applications in making rolling fatigue
68 resistant gears [20], cut blades [21], bipolar plates in proton exchange membrane fuel cells
69 [22], and biomedical applications such as surgical instruments [23]. In this work, the effect of
70 laser processing 316L stainless steel using an argon-nitrogen mix jet, with varied laser
71 powers (P), pulse repetition frequencies (PRF), and percentage overlap (OV%) was
72 investigated. The resulting samples were characterised in terms of their microstructure, phase
73 types, microhardness, and wear.

74 2 Materials and methods

75 In this work, a computerised numerical control (CNC) CO₂ laser machine Rofin DC-015 of
76 1.5 kW maximum average power and a laser beam focus diameter of 0.2 mm was used. Gas
77 could be delivered in line with the beam, using either pure argon or a mixture of 20% argon
78 and 80% nitrogen at 0.3 mPa. A higher pressure jet may cause spreading and loss of molten
79 material, the pressure of 0.3 mPa was found to give good results in terms of hardness with
80 acceptably low physical material impingement. The materials used were 316L stainless steel
81 cylindrical pins of 10 mm diameter. The cylindrical samples were processed by rotating the
82 pin while scanning the laser linearly, to scan the laser spot over the surface of the pin in a
83 spiral. The rotational and linear speeds could be controlled to adjust the overlap of
84 subsequent laser spots, as well as the overlap of each line of the spiral with the previous line
85 [24].

86 The laser parameters were applied according to the Box-Behnken experiment design shown
87 in Table 1, varying the laser power (P), pulse repetition frequency (PRF), and percentage
88 overlap (OV%) to produce 17 samples. In each case, the percentage overlap value was
89 applied both for the overlap between consecutive laser spots and the overlap between
90 consecutive laser tracks. Negative values of overlap correspond to the laser spots and tracks
91 being spaced apart by a given percentage of the spotsize. The energy density threshold for
92 melting for 316L SST is in the range of 22-25 J/mm². The parameters in the DoE were
93 chosen to be slightly above the melting threshold, to give minimal material loss via ablation.
94 The laser pulse durations corresponding to the PRF values used are 5, 2.5, and 1.67 ms for
95 100, 200, and 300 Hz, respectively. One parameter set was reproduced on flat stainless steel,
96 converting the rotational speed to linear speed and rastering back and forth in lines, using
97 argon or nitrogen, to allow for pin-on-disc wear testing.

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Table 1 Parameters and levels used for the Box-Behnken design of experiment.

	Level 1	Level 2	Level 3
Power (W)	300	400	500
PRF (Hz)	100	200	300
Overlap (%)	-20	0	20

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Table 2 Mass percentages for the chemical composition of the

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cylindrical 316L stainless steel samples.

C	Mn	Cr	Ni	Si	P	S	Mo	N	Cu	Co	Fe
0.018	1.77	17	11.1	0.34	0.033	0.029	2.06	0.029	0.34	0.15	Bal

102

103 After processing, the microhardness, microstructure, and wear resistance were characterised.

104 To observe the microstructure, samples were cross-sectioned, then ground and polished using

105 a Buehler Motopol 2000. Successive grades of SiC paper of 400, 600, 800, and 1200 were

106 applied under water flow. Final polishing was then performed using a Textmet cloth with

107 successive diamond and alumina suspensions of 9, 6, 3, and 0.05 μm particle size. The

108 polished surfaces were then etched with a 5% nital etchant, made up of 95% nitric acid and

109 5% ethanol, by applying to the surface for 3-5 seconds with a cotton swab before rinsing. The

110 etched surfaces were then observed by Carl Zeiss LS15 scanning electron microscope. The

111 microhardness was measured in terms of the Vickers microhardness using a Leitz mini-load

112 tester. The hardness indents were taken according to ASTM E18-15 with the average of five

113 indents at specified distances from the surface recorded. A distance of five times the indent

114 surface displacement was also used between indents in order to ensure no interference from

115 possible strain hardening effects from previous indents. The wear was tested by the ASTM

116 G-99 pin-on-disc standard, using a 2.5 kg load, a rotational speed of 200 RPM, a track radius

117 of 4 mm, and a testing time of 120 minutes. The pins used were tungsten carbide punch pins

118 from LinkTooling, with a hardness of 775-834 HV.

119 **3 Results and discussion**

120 **3.1 Altered surface hardness**

121 The average hardness of the untreated stainless steel cylindrical samples was found to be 250-
122 280 HV. The hardness after laser processing with the argon-nitrogen gas mixture was found
123 to have increased significantly for a number of samples. The hardness recorded for the Box-
124 Behnken samples laser processed with 20%Ar-80%Ni or with 100% Ar can be seen in Table
125 3. The highest value, of 590 HV found for sample 6 treated with the argon-nitrogen mix, is
126 over double the untreated hardness. The average hardness for the five replicates at 400 W,
127 200 Hz, and 0% overlap with 20%Ar-80%Ni is 333 HV, with a 95% confidence interval of
128 16 HV. The improvement in the hardness had depths of up to 900 μm . In Figure 1, a plot of
129 hardness vs depth for sample 1 and sample 6 of the set processed with 20%Ar-80%Ni, the
130 samples with the highest hardness at the surface, is shown. The hardness decreases with
131 depth, with sample 6 reaching the initial bulk hardness at $\sim 900 \mu\text{m}$ below the surface, and
132 remaining $>500 \text{ HV}$ for over 400 μm . These depths are significantly above those noted for
133 plasma nitriding of 316L SST, where Biehler et. al. for example measured nitriding depths of
134 $\leq 7.2 \mu\text{m}$ for plasma nitriding with 300 Pa pressure [25]. However, these authors achieved
135 surface hardness of up to 1,662 HV.

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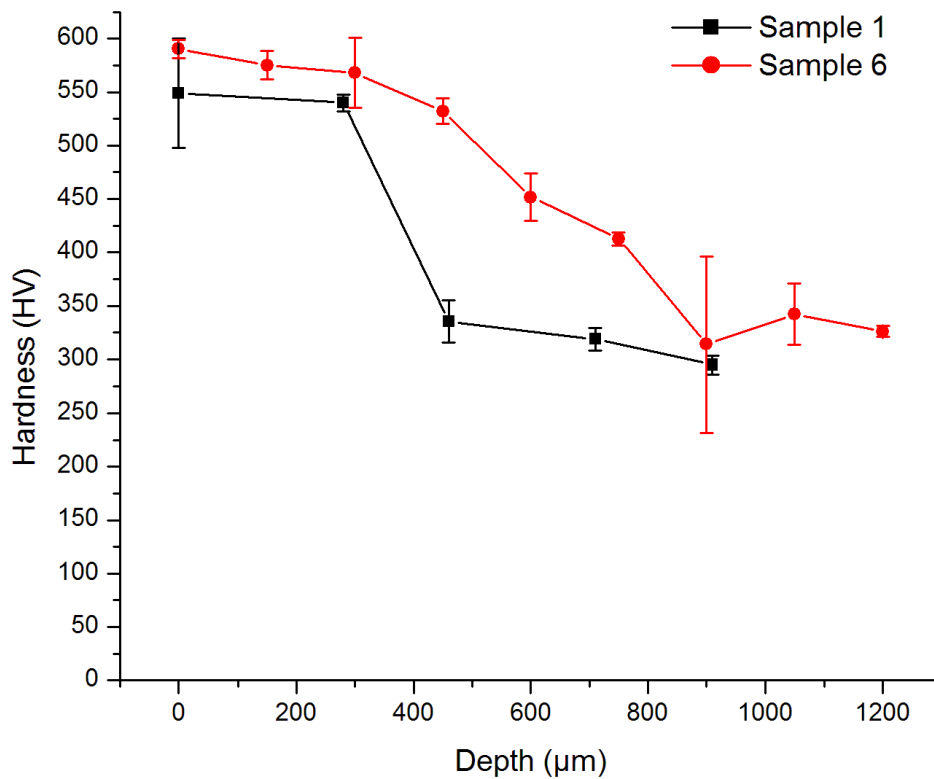
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143 **Table 3** Laser parameters and resulting microhardness for the laser processed SST pin samples.

Sample	Power (W)	PRF (Hz)	OV%	20%Ar-80%Ni	100%Ar
				Microhardness (HV)	Microhardness (HV)
1	300	200	-20	549	342
2	400	200	0	301	304
3	400	300	-20	446	338
4	400	200	0	342	304
5	500	200	-20	363	324
6	400	300	20	590	347
7	500	300	0	331	346
8	400	200	0	339	304
9	400	200	0	345	304
10	400	100	20	307	313
11	400	100	-20	462	313
12	300	300	0	300	344
13	300	200	20	326	343
14	500	100	0	315	343
15	500	200	20	286	243
16	300	100	0	310	313
17	400	200	0	338	304

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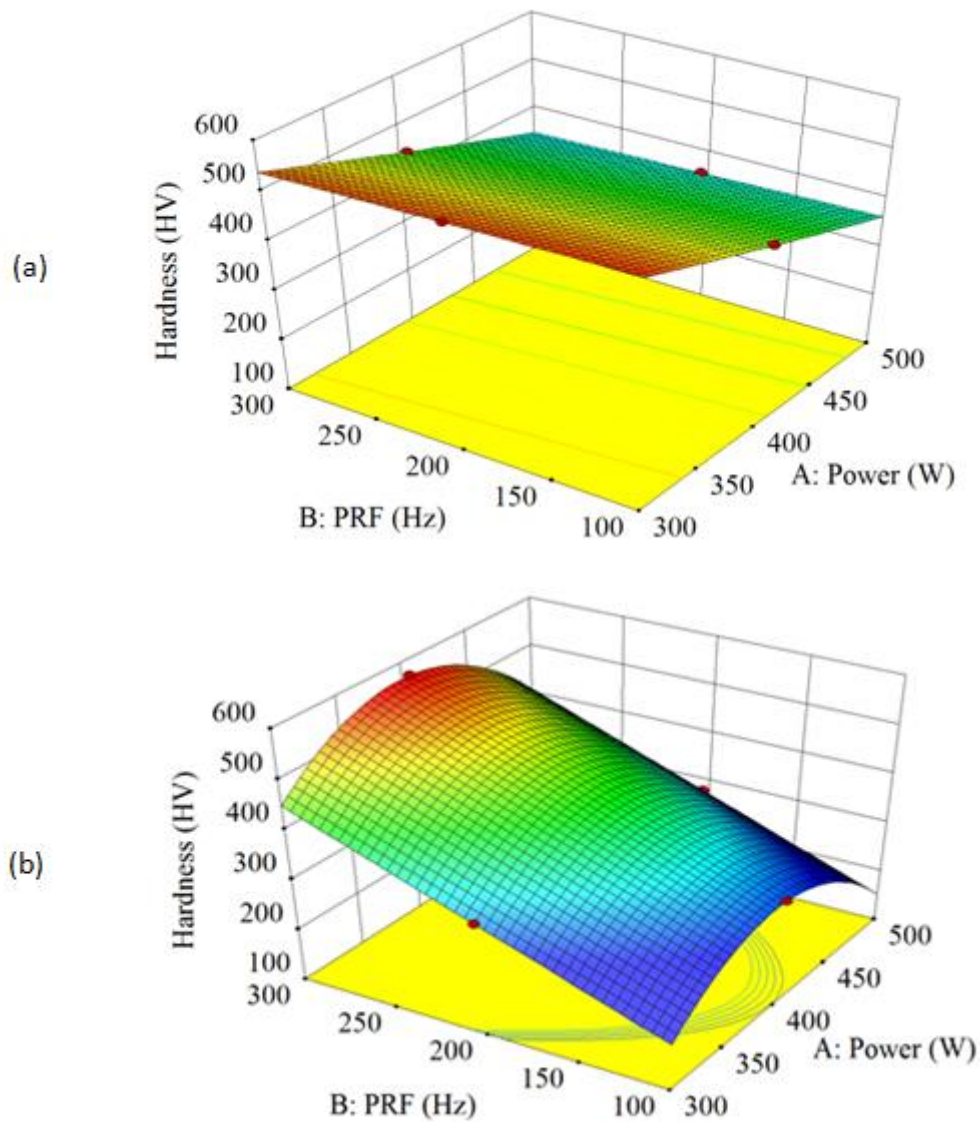
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146 **Figure 1** Plot of surface microhardness vs. depth from the surface for sample 1 and sample 6.

147 The data from Table 3 is shown as response surface graphs in Figure 2 to illustrate the effect
 148 of the laser processing parameters on the resulting micro-hardness of the 316L stainless steel
 149 cylindrical samples. Figure Figure 2 (b) indicates a strong direct proportionality between the
 150 PRF and the resulting hardness at the surface. This agrees with trends reported in the
 151 literature [5]. This relationship can be explained by the higher PRF leading to a shorter
 152 residence time and therefore faster solidification which is known to result in a harder surface
 153 material. Achieving the same overlap with a higher PRF requires using higher linear and
 154 rotational speeds, and at higher speeds the laser will be resident on a given area for less time.
 155 This shorter residence will lead to higher cooling rates, and higher cooling rates are known to
 156 give increased hardnesses [26]. The hardness is highest at the middle power level. The
 157 increased heating at higher powers produces more melting, and slower cooling and re-

158 solidification, of the metal at the surface. The heating can act as annealing and allow
159 relaxation of the grains, giving lower hardness. Conversely, low power may lead to
160 insufficient heating/melting.

161 However, Figure Figure 2 (a), in which the laser tracks are spaced apart did not show a strong
162 proportionality with PRF. For this negative overlap, the power is the significant factor, with
163 an inverse proportionality with the hardness. Again, high power may lead to slower re-
164 solidification of the molten material, leading to lower harnesses.



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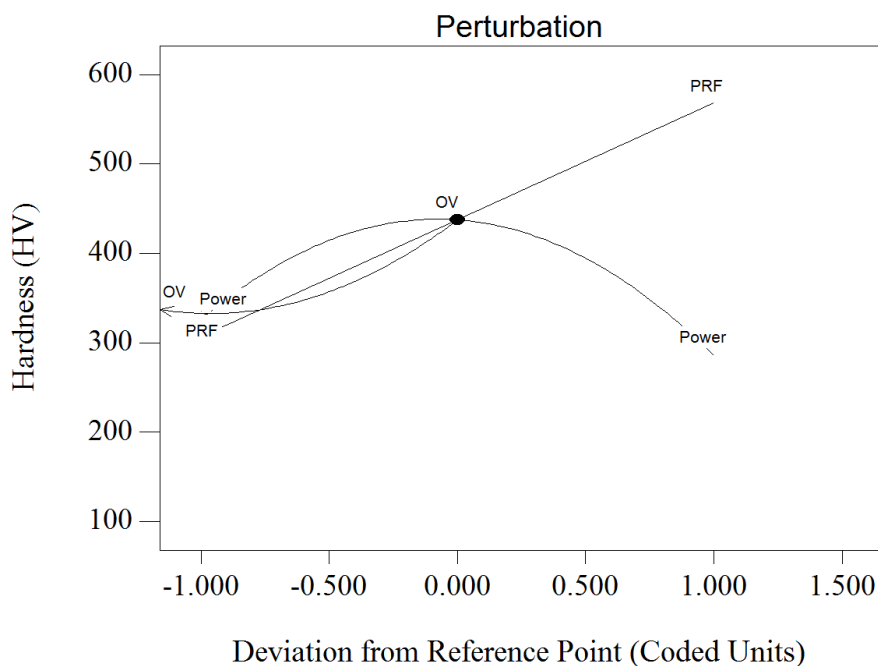
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Figure 2 3D RSM plots of the hardness response for the stainless steel

167 pins processed with 20%Ar-80%Ni with (a) -20% and (b) +20% overlap.

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169 The correlation is also shown in the perturbation plot, see Figure 3, which is taken at 400 W,
170 200 Hz, and 20% overlap. In a perturbation plot, a single parameter in the RSM model
171 (shown in FigureFigure 2) is varied, while keeping the other parameters constant, to
172 determine the effect of all factors at a given point in the DoE. The x-axis is given in coded
173 units, where -1 indicates a level lower and 1 indicates a level higher. The plot shows that the
174 percentage overlap also has a strong, direct proportionality on the micro-hardness, at this
175 point. It can also be concluded that lower pulse energy and fluence gives higher surface
176 hardness. This conclusion was also reached by Schaaf [5]. Figure 4 shows the measured
177 values against the modelled values (with the equation for the model included), from the RSM
178 model seen in Figure 2, for the box Behnken experimental design, with good agreement
179 between the model and the experimental data.

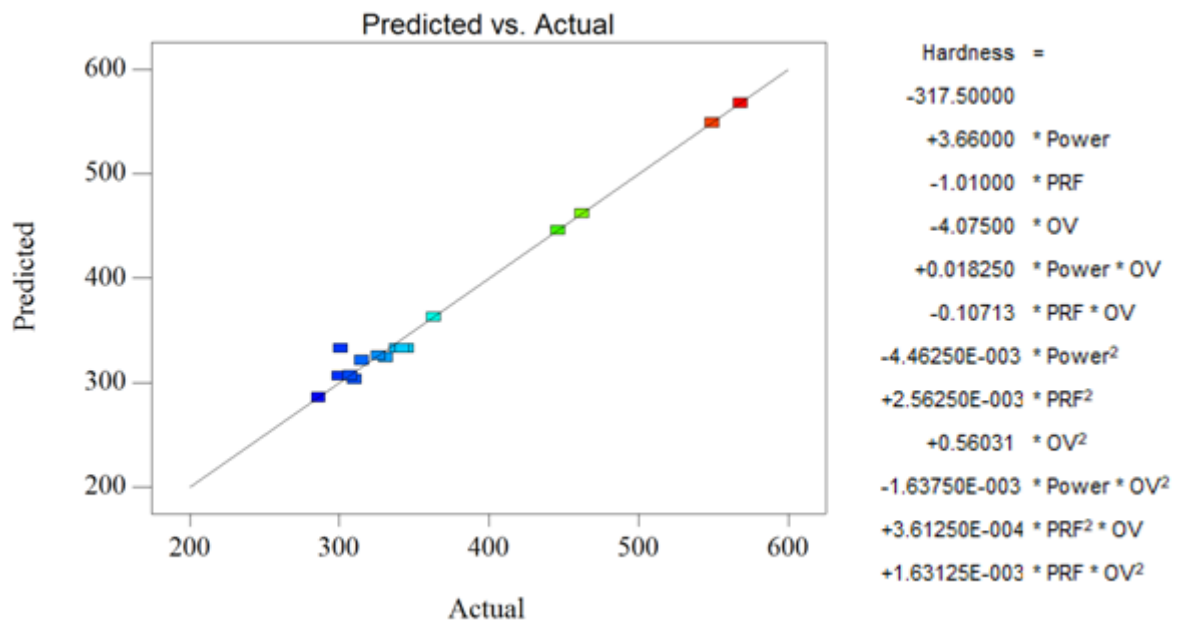


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181 **Figure 3** Perturbation plot of the processing parameters and resulting hardness

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taken at 400 W, 200 Hz, and 20% overlap, using 20% Ar-80% Ni.



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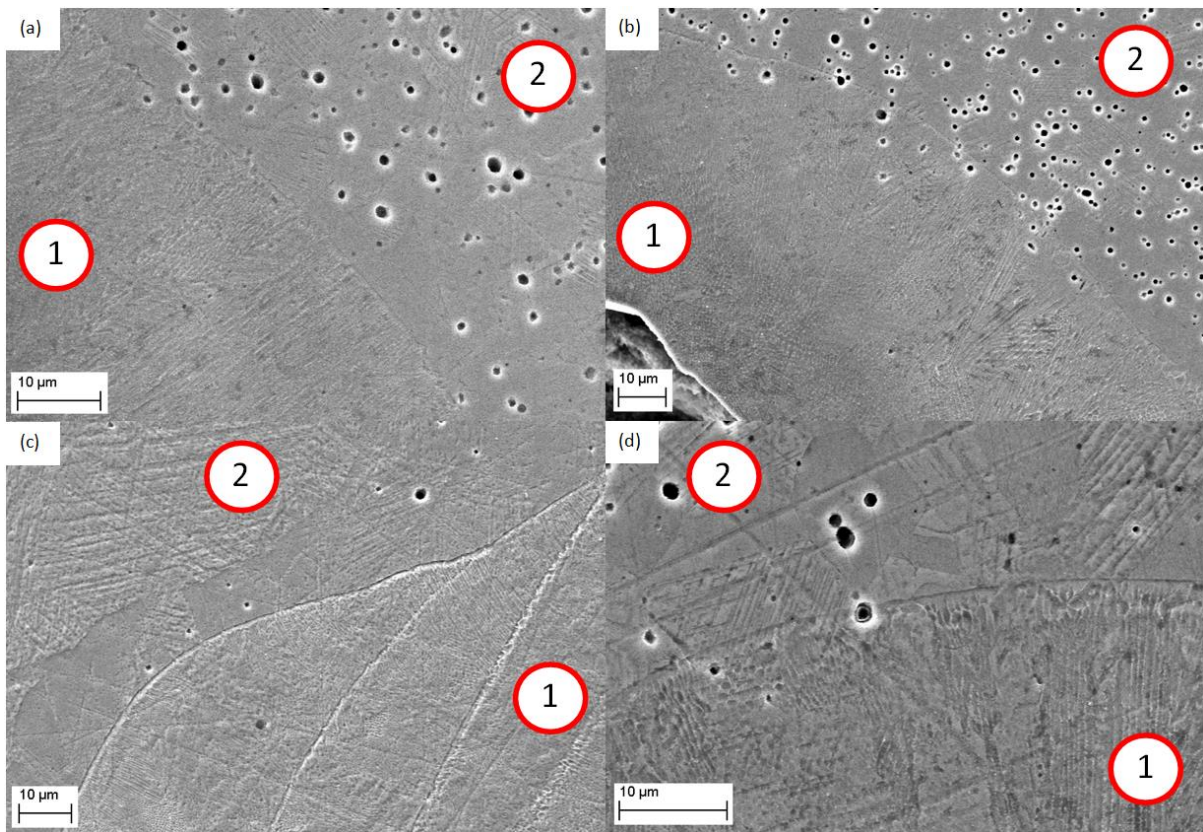
184 **Figure 4** Plot of predicted data vs the actual data for the box Behnken experiment design for the
 185 samples processed with 20% Ar-80% Ni, and the equation for the model, using the model shown in
 186 Figure 2.

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188 3.2 Microstructure

189 Figure 5 shows a comparison of the microstructure of 316L stainless steel pin samples laser
 190 processed using argon and 20% argon-80% nitrogen, respectively, with the parameters
 191 corresponding to sample 1 and 11 in Table 3. The altered region is indicated by 1, and the
 192 bulk substrate material by 2. Martensite phase microstructure can be seen in the altered
 193 region, which is not present in the austenitic un-altered region. The composition was
 194 measured by EDX. The EDX data for sample 6, which exhibited the highest microhardness,
 195 is presented in Figure 6, and the composition found is presented in Table 4. The table gives a
 196 nitrogen weight percentage of 1.41%. However it can be seen on the inset image in Figure 6
 197 that there is no discernible nitrogen peak. Thus it can only be concluded that the nitrogen

198 content is below the limit of detection. Surface back-scatter electron images showing a top-
199 down view of the surface of samples processed with argon or the 20% argon 80% nitrogen
200 mix can be seen in Figure 7. The bright material visible in the images is the hard martensite,
201 and the dark material is the softer ferrite. The bright material was not visible for in the as-
202 received sample material, as 316L SST is only austenite in structure. The bright martensite
203 structure is visible in the processed samples, due to the melting and resolidification of the
204 surface material. More of the bright material is visible in the sample processed with 20%Ar-
205 80%Ni mixture than the sample processed with the pure argon.

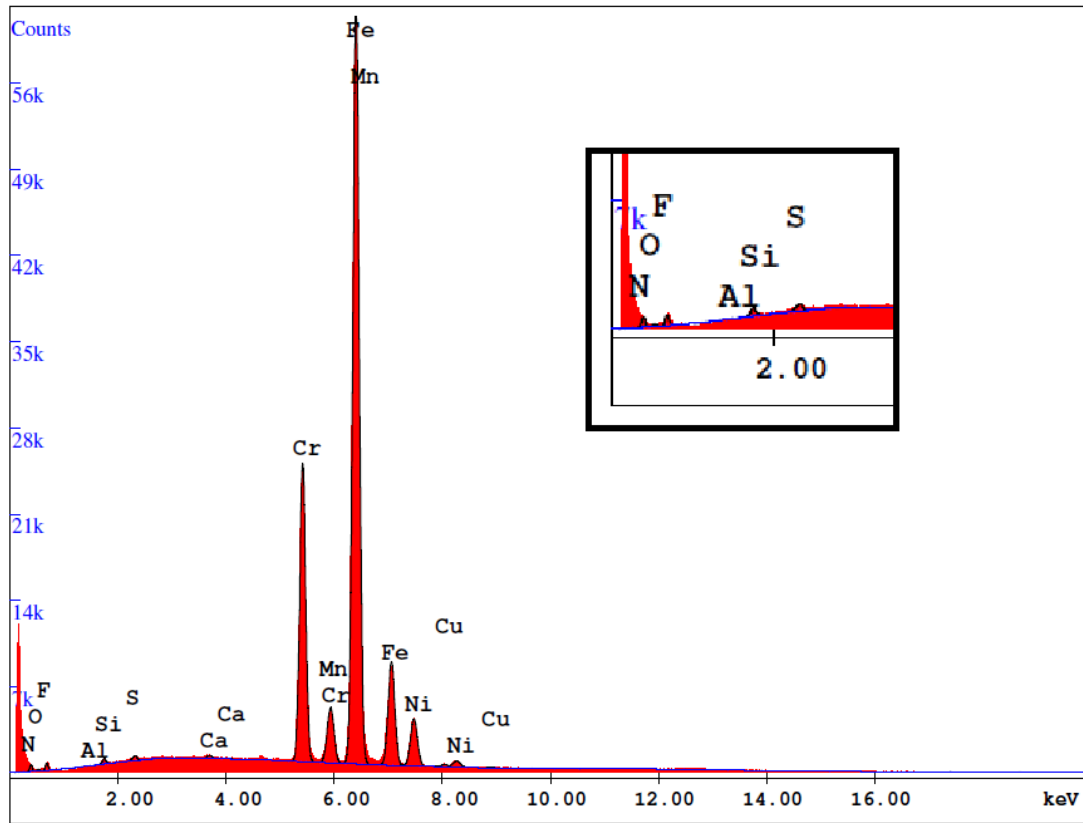


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207 **Figure 5** SEM cross-section micrographs of 316L samples (a) sample 1 processed with argon, (b)
208 sample 1 processed with 20% argon 80% nitrogen, (c) sample 11 processed with argon, and (d)
209 sample 11 processed with 20% argon 80% nitrogen.

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213 **Figure 6** EDX plot for DoE sample 6, with inset showing a close up on the expected location of the
 214 nitrogen peak.

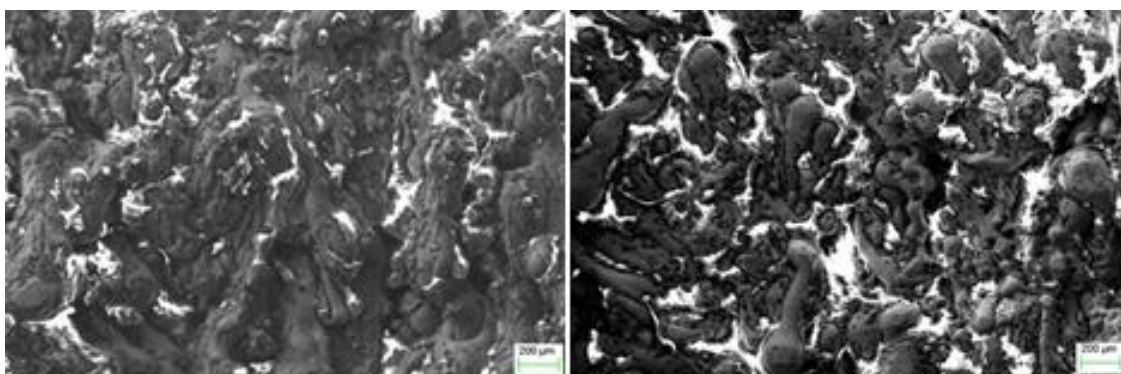
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216 **Table 4** Composition table from EDX plot shown in Figure 6.

Element	N	O	F	Al	Si	S	Ca	Cr	Mn	Fe	Ni	Cu
Weight %	1.41	0.14	0.68	0.00	0.41	0.24	0.13	17.01	1.61	70.97	7.01	0.40

217

218



219

220

(a)

(b)

221 **Figure 7** Surface back-scatter electron images of the surface of stainless steel samples processed with
 222 (a) pure argon and (b) a 20% argon 80% nitrogen mix.

223 From the analysis of hardness test results, EDX, and the surface BSE images, it can be
224 concluded that laser processing in nitrogen gas atmosphere boosts the formation of the hard
225 martensite (the bright portion in the BSE image) compared to the soft ferrite (dark). The
226 absorption of nitrogen by the metal surface is below the limits of detection in the EDX
227 measurement. One factor affecting the nitrogen absorption is the low CO₂ laser photon
228 energy of 0.12 eV, which is below the 15.6 eV required to ionise the nitrogen gas and the 9.8
229 eV required for the dissociation. The power density applied in this experiment was 1.2×10^6
230 kW/cm² which is also small compared to the irradiation of 3×10^{10} kW/cm² needed for the gas
231 breakdown. As such, this lowers the amount of nitrogen that can be absorbed into the molten
232 metal, compared to methods using ionised nitrogen such as plasma nitriding or ion-beam
233 nitriding. The difference in microstructure and hardness could be influenced by incorporation
234 of nitrogen in amounts below the threshold for detection by EDX, however it seems more
235 likely that the main mechanism is the increased cooling rates for nitrogen, compared to argon,
236 leading to increased martensite formation.

237

238 **3.3 Wear testing**

239 Flat 316L stainless steel samples were laser processed to create a flat equivalent for sample
240 11 in Table 3, for pin-on-disc wear testing, using either argon or a 20%Ar-80%Ni mix. The
241 results of the wear testing can be seen in Table 5. There was some improvement in the wear
242 resistance by processing with argon, and a greater improvement processing with the argon
243 nitrogen mixture.

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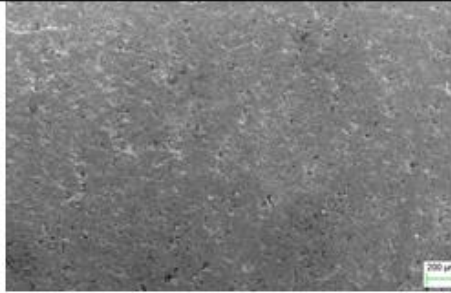
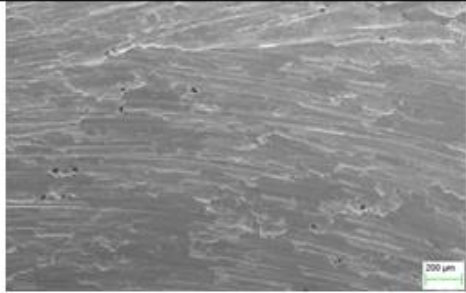
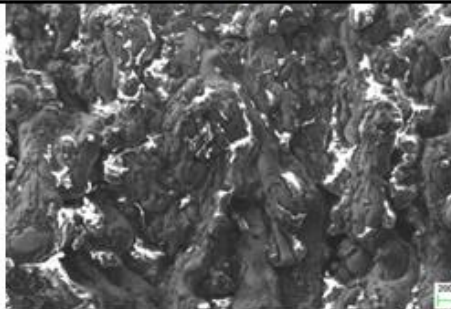
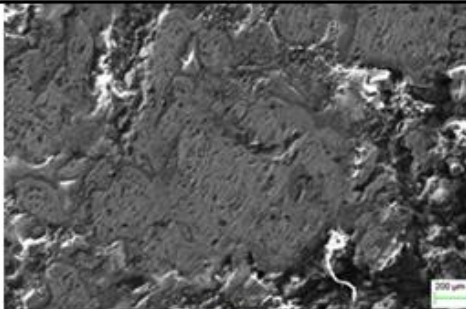
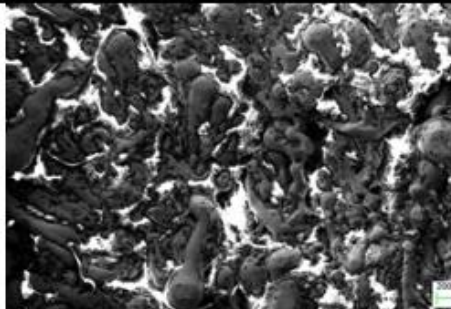
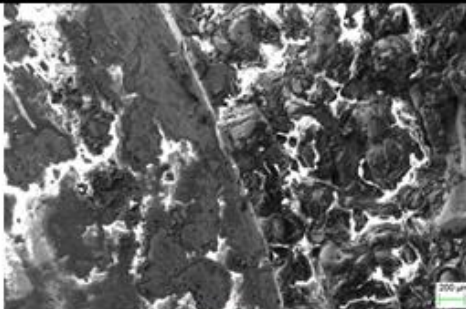
246 **Table 5** Wear behavior of 316L stainless steel without laser processing, and after laser processing
 247 with either only argon or the argon-nitrogen mixture.

Process gas	Mass Loss (g)	Reduction in Wear (%)
As-received	0.0203	-
Laser process with argon gas	0.0089	56.15
Laser process with 20%Ar-80%Ni mix	0.0007	96.55

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249 The improvement can be explained by the increase in surface hardness, due to the harder
 250 martensite in the modified layer. The argon-nitrogen mixture performs better due to
 251 nitrogen's suppression of the formation of softer ferrite microstructure. Nitrogen is known to
 252 have higher thermal conductivity than argon [27], which allows it to achieve higher cooling
 253 rates during processing. Martensite microstructure is formed under rapid quenching [26], so
 254 laser processing with nitrogen will encourage the formation of hard martensite over the other
 255 softer microstructures. Figure 8 shows SEM images of the worn and un-worn surface for the
 256 samples processed with pure argon or an argon-nitrogen mixture. The wear track suggests an
 257 abrasive and adhesive wear mechanism that is the removed material smears the sample
 258 surface. The bright material visible in the images is the hard martensite, and the dark material
 259 is the softer ferrite. The bright material is not visible for the as-received sample material, and
 260 more of the bright material is visible in the sample processed with 20%Ar-80%Ni mixture
 261 than the sample processed with the pure argon. This supports this interpretation that the
 262 higher hardness and wear resistance is due to the harder microstructure.

263

Shielding gas	Surface micrograph	Wear test track
As-received	 (a)	 (b)
Argon	 (c)	 (d)
20%Ar- 80% N2	 (e)	 (f)

264

265 **Figure 8** Surface of 316L SST flat samples (a) & (b) as received; (c) & (d) laser processed in argon;

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and (e) & (f) laser processed in argon-nitrogen mix.

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268 **4 Conclusion**

269 In this work, laser surface treatment of 316L stainless steel, under a jet of either pure argon or

270 a 20% argon 80% nitrogen mixture, was investigated. For the samples, which had an initial

271 hardness of 250-280 HV, the highest hardness of 590 HV was achieved with the parameters

272 of 400 W, 300 Hz, and 20% overlap using the 20%Ar-80%Ni mix. A strong direct
273 proportionality between the pulse repetition frequency and the hardness was observed for the
274 positive overlap. The material's hardness decreased with depth into the sample, but was
275 significantly raised for (>500 HV) for over 400 μm . While plasma nitriding has previously
276 been shown to achieved higher hardness results at the surface for 316L [25], the depth
277 reported, $\sim 7 \mu\text{m}$, was significantly lower than the depths found in this work. For applications
278 where parts are subject to wear eroding the surface, the depth of the treatment may be a more
279 important factor than the highest hardness at the surface. The wear resistance of flat SST
280 samples was seen to improve with processing, with greater improvement found from using
281 the 20%Ar-80%Ni mix. The microstructure examination showed that a martensite phase had
282 been created in an altered layer at the surface by the laser processing, with more present for
283 the samples treated with the 20%Ar-80%Ni mix than the pure argon.

284 These results indicate that laser processing improves the hardness by creating a harder
285 martensite microstructure in a layer at the surface, with the nitrogen creating a more
286 martensite microstructure leading to the greater improvement in mechanical properties.

287 **Acknowledgments**

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